

# **Designing coastal defense strategies in an era of uncertain sea-level rise**

**D.J. Rasmussen**

*STEP PhD seminar, May 2019*

*Maeslant Surge Barrier, Netherlands*





[Eastern Scheldt Storm Surge Barrier]



# Research Area:

## Engineered coastal flood defense in an era of sea-level rise



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“When to act?” & “the decision to build”: Political science/ Policy/ Environmental Law



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- How high to build coastal defense strategies?
  - Modeling the return periods of extreme water levels
  - Characterizing uncertainty in future sea-level rise projections (under specific climate policies, like the Paris Agreement)



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**More mature  
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[Eastern Scheldt Storm Surge Barrier]



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[IHNC-Lake Borgne Surge Barrier]



# Overview

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1. Background/ motivation
  - What are the key processes driving sea-level rise and coastal flooding?

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3. Methods/ approach

[IHCN-Lake Borgne Surge Barrier]





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4. Results

[IHCN-Lake Borgne Surge Barrier]



D.J. Rasmussen, R.E. Kopp, and M. Oppenheimer (in prep): **A damage allowance framework for calculating the design heights of coastal flood protection options under deeply uncertain future Antarctic ice melt.**

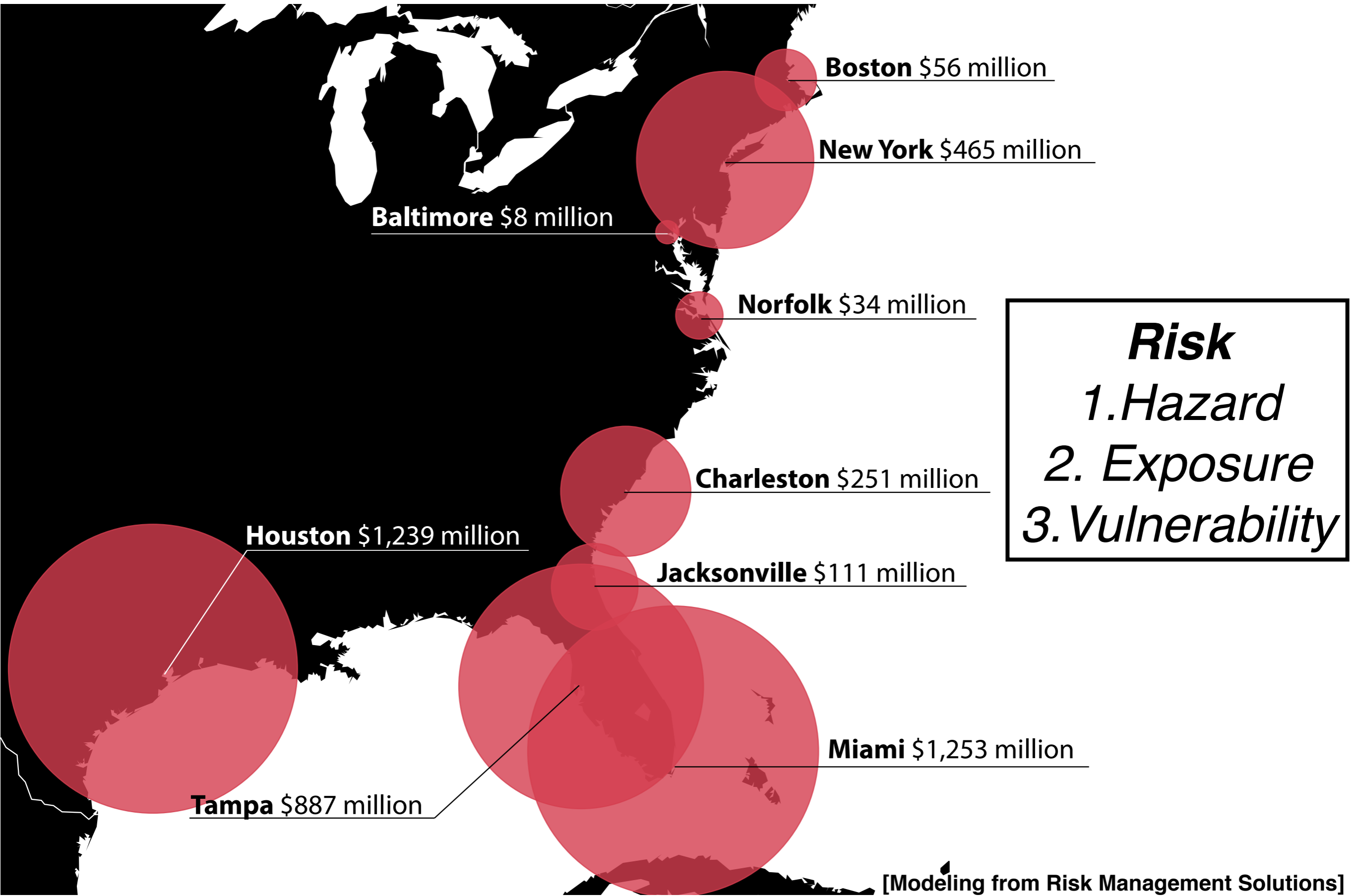
**Available at : [bit.ly/2VFd1nJ](https://bit.ly/2VFd1nJ)**





# Cities around the eastern U.S. are exposed to coastal flood risk

*Annual average loss due to flooding (present)*

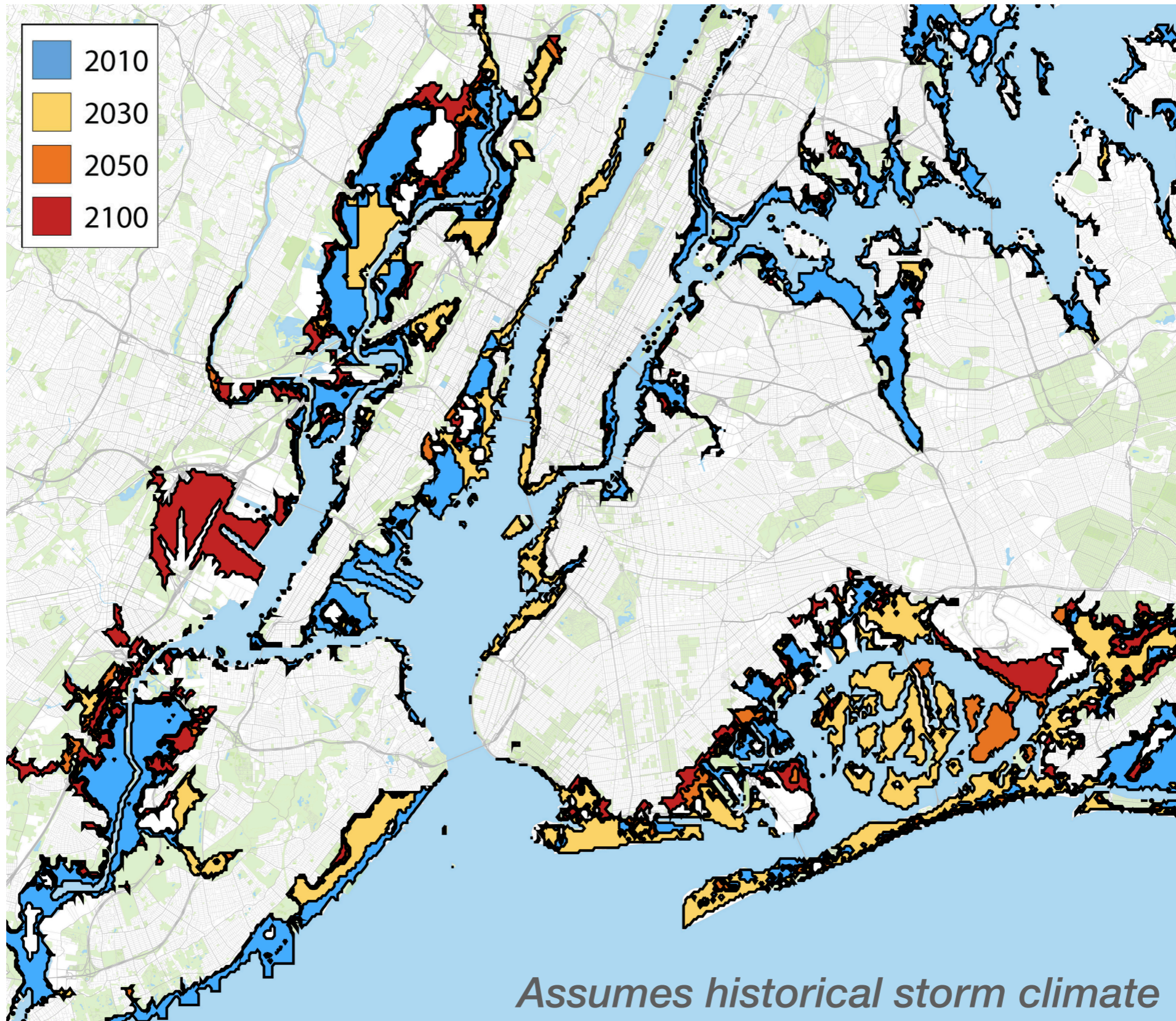


***Risk***  
*1. Hazard*  
*2. Exposure*  
*3. Vulnerability*




# Sea-level rise leads to expanding flood zones

*1% annual probability of flooding, New York City and New Jersey*



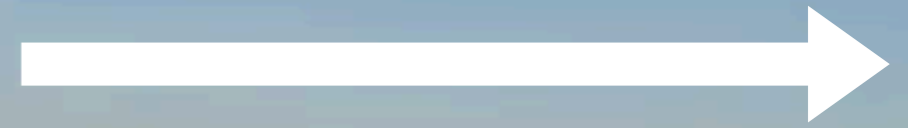


An aerial photograph of New York City, showing the dense skyline of Manhattan in the background, the Hudson River in the middle ground, and a large port area in the foreground filled with colorful shipping containers and cranes. The sky is blue with some light clouds.

**So what can we do to  
reduce coastal flood risk?**



# Relocate



[Isle de Jean Charles, Louisiana]



[Mexico Beach, Florida/ Hurricane Michael]



Accommodate/

Elevate ↑



# Protect

[Maeslant Surge Barrier]



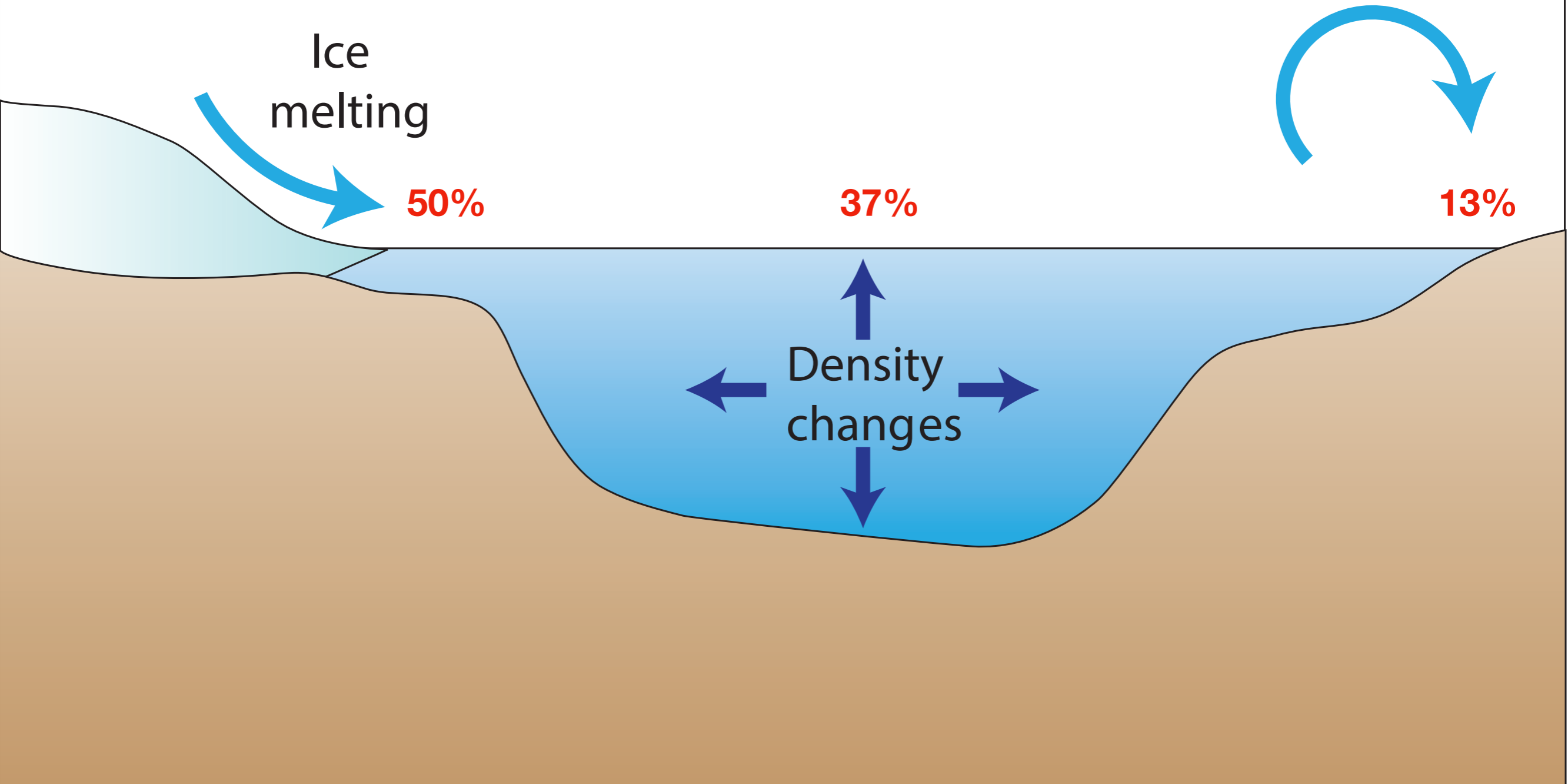
The design of these strategies must consider the key processes that drive coastal flooding:

1. Changing mean sea-levels
2. Extreme events



# Sources of global mean sea-level change

Contributions over 1993-2010 that comprise trend of  $3.0 \pm 0.7$  mm/yr



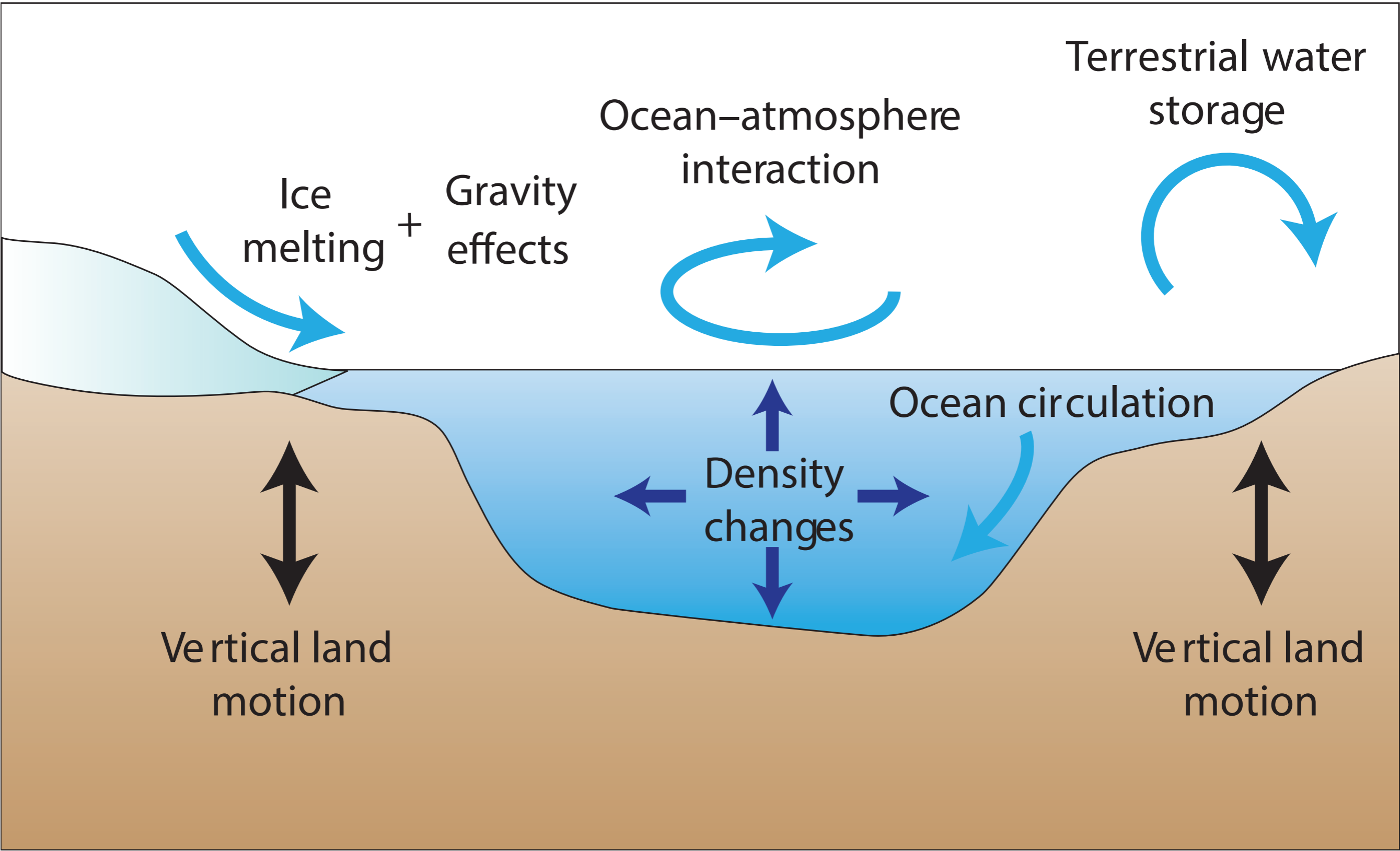
[Milne et al., 2009; IPCC AR5]



**Local sea-level change is more complex**



# Local sea-level change is more complex



[Milne et al., 2009]



# Future projections of sea-level rise

Approach: “bottom-up” accounting of components over time and their uncertainty

**Global mean sea-level rise [Kopp et al., 2014]**

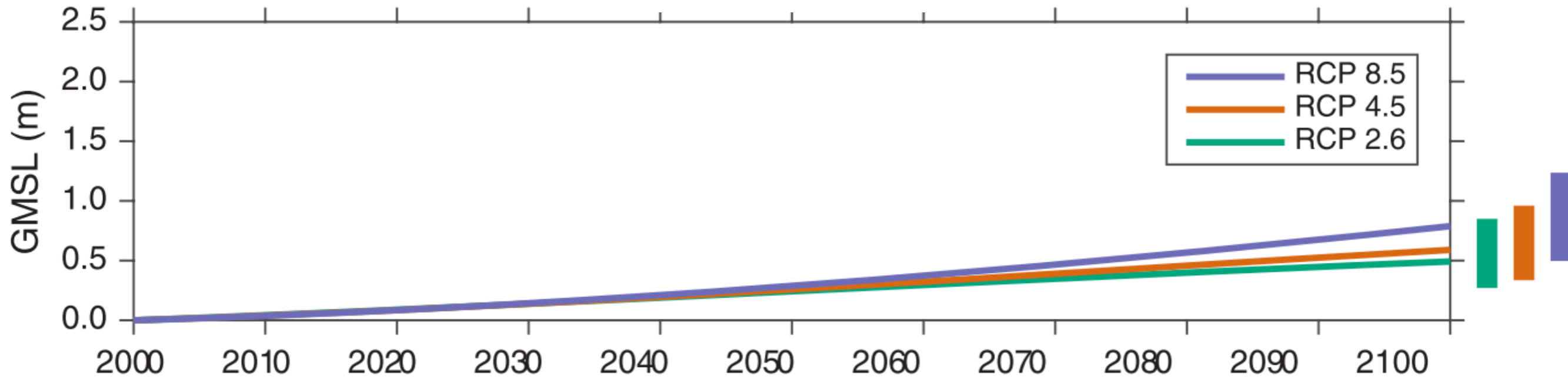


# Future projections of sea-level rise

Approach: “bottom-up” accounting of components over time and their uncertainty

## Global mean sea-level rise [Kopp et al., 2014]

*Amounts relative to 2000*



Year	Projected global-mean SLR (90% probability range; RCP 8.5)
------	------------------------------------------------------------

<b>2030</b>	0.1-0.2 m (0.3-0.6 ft)
-------------	------------------------

<b>2050</b>	0.2-0.4 m (0.7-1.3 ft)
-------------	------------------------

<b>2100</b>	0.5-1.2 m (1.6-4.0 ft)
-------------	------------------------

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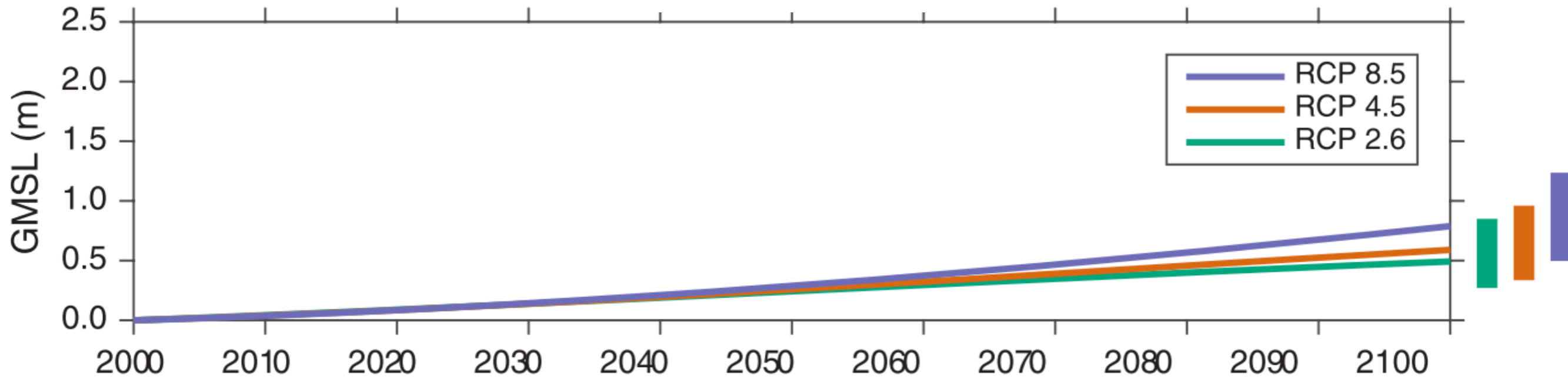


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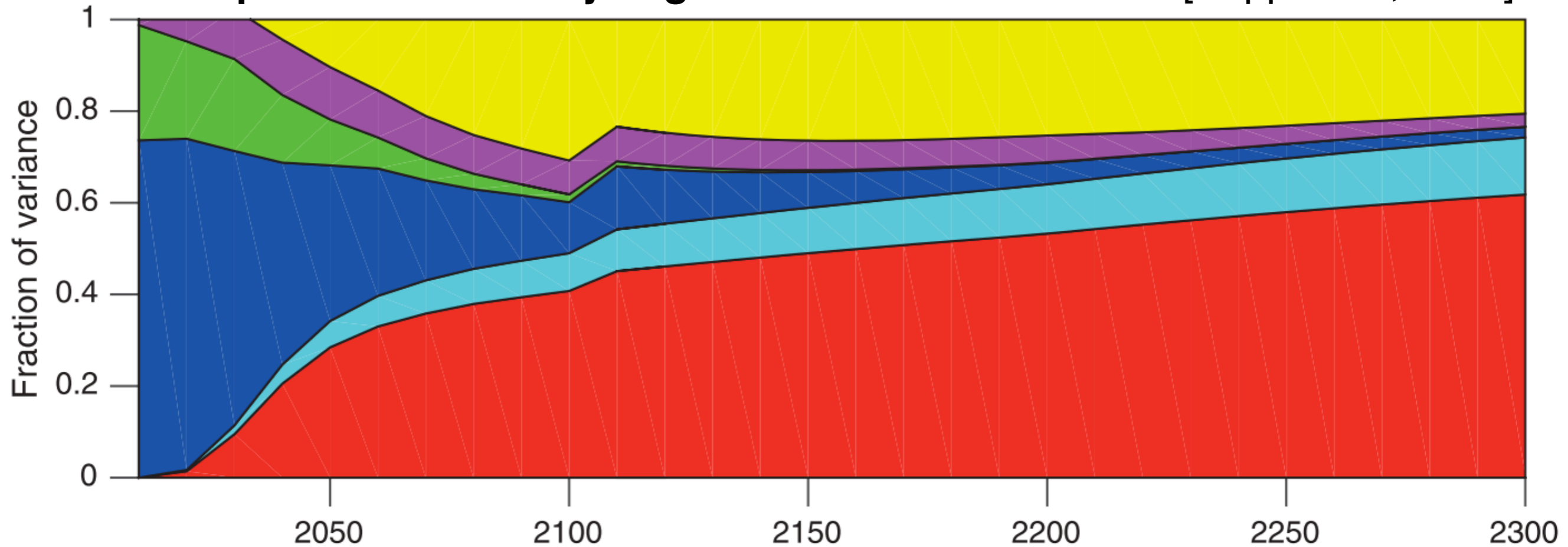
*Amounts relative to 2000*

**The rate and amount of future sea-level rise is uncertain**



# Antarctic ice sheet dominates future sea-level rise uncertainty after 2050 (sea-level rise “wildcard”)

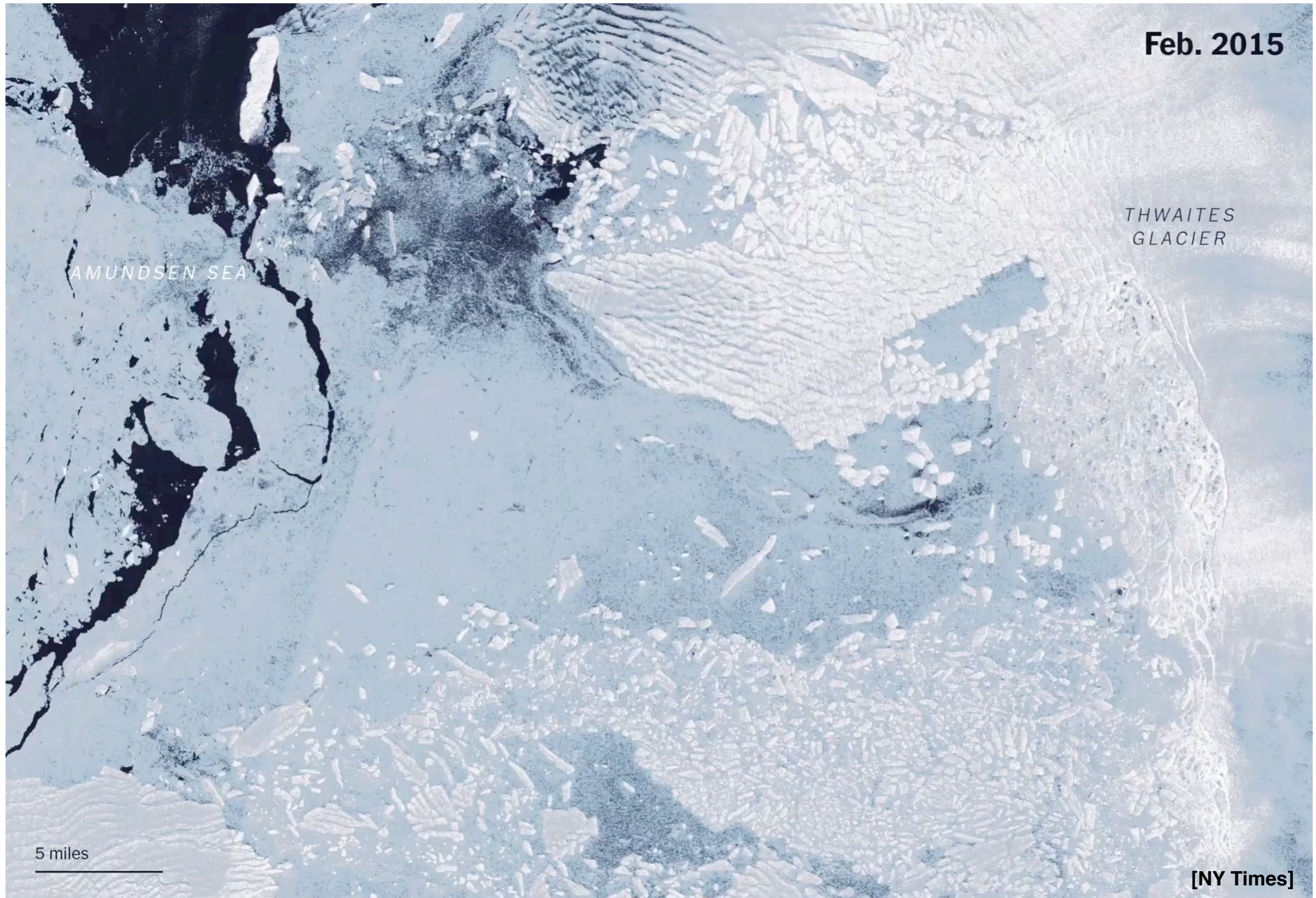
Component uncertainty in global mean sea-level rise [Kopp et al., 2014]



- Climate forcing (i.e., climate policy)
- Landwater Storage
- Glacier Ice Melt
- Thermal Expansion/ Density Change
- Greenland Ice Sheet
- Antarctic Ice Sheet



# What happens in Antarctica doesn't stay in Antarctica...



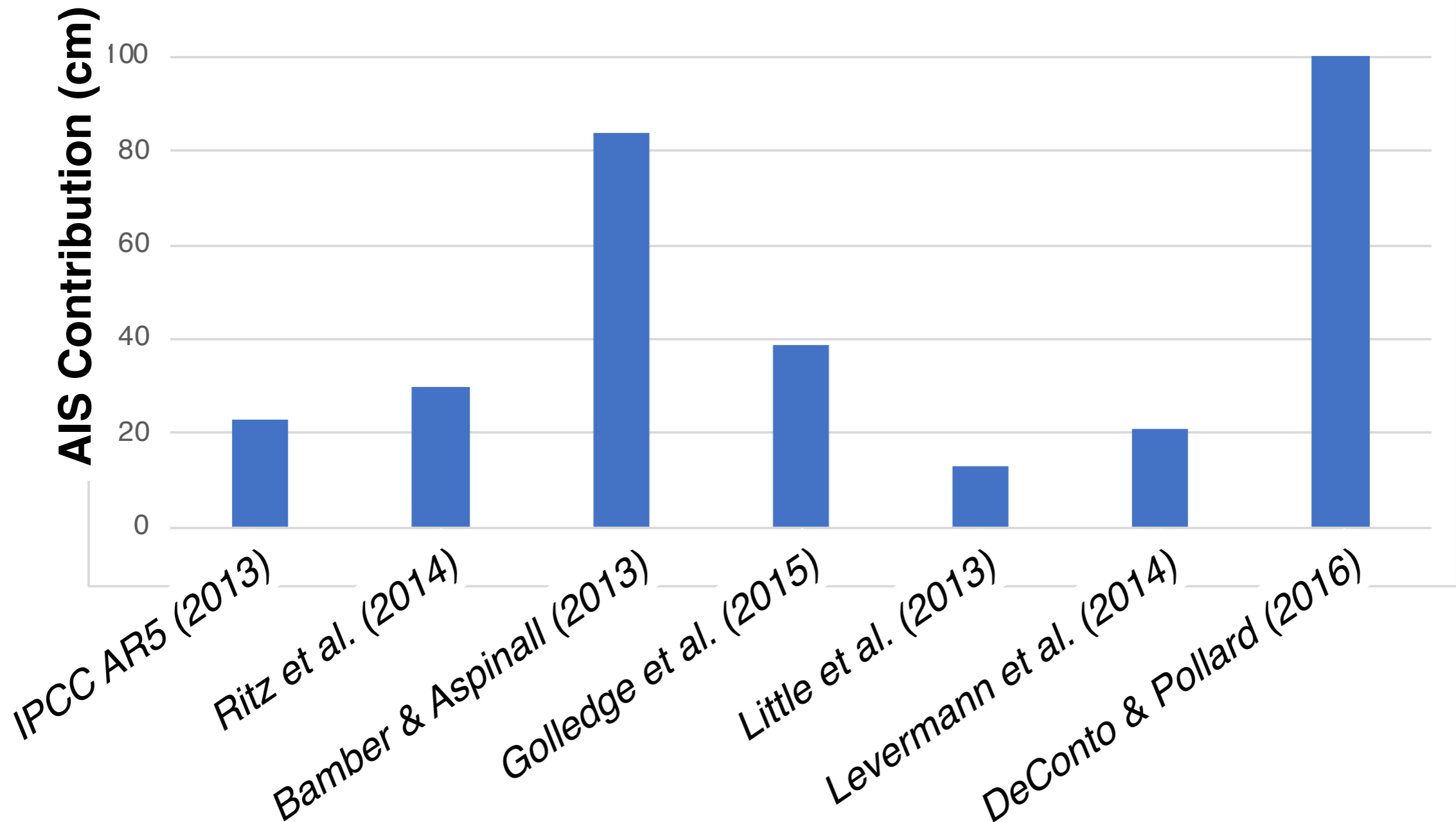
**Antarctic ice sheet (AIS) contribution to sea level is a rapidly evolving area of research, but remains deeply uncertain**



# “Deeply uncertain”: No single, unambiguous probability distribution of future Antarctic behavior exists

Contributions from AIS to future global mean sea-level by 2100 (95th percentile)

*relative to 2000*



**\*\*\*Applications using sea-level rise data (post-2050) should accommodate this ‘deep uncertainty’\*\*\***

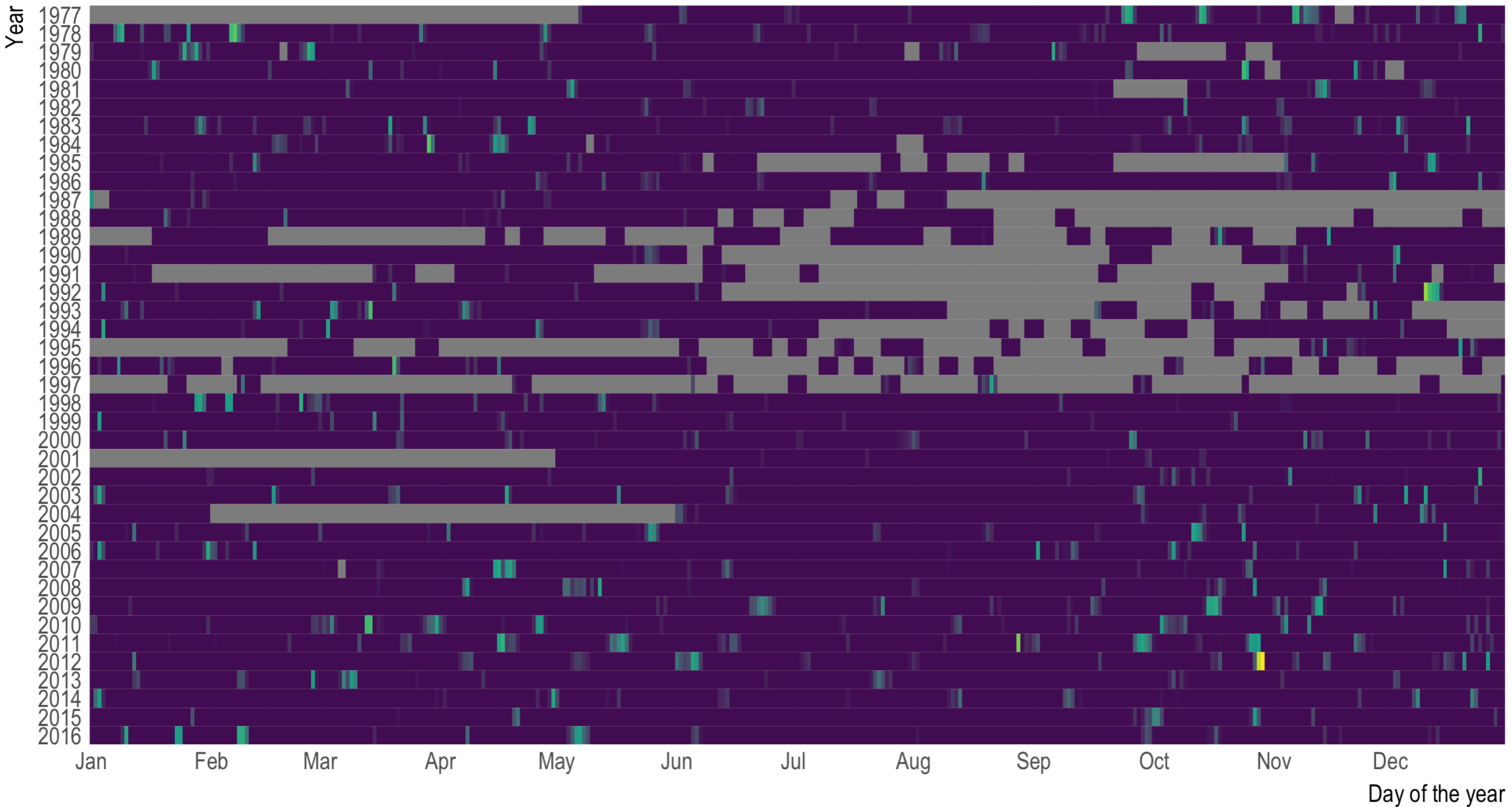


## 2. extreme coastal water levels



# Extreme coastal water level events at the Battery, New York City

1977-2016 (Grey are missing data)



0.0 0.5 1.0 1.5 2.0 2.5



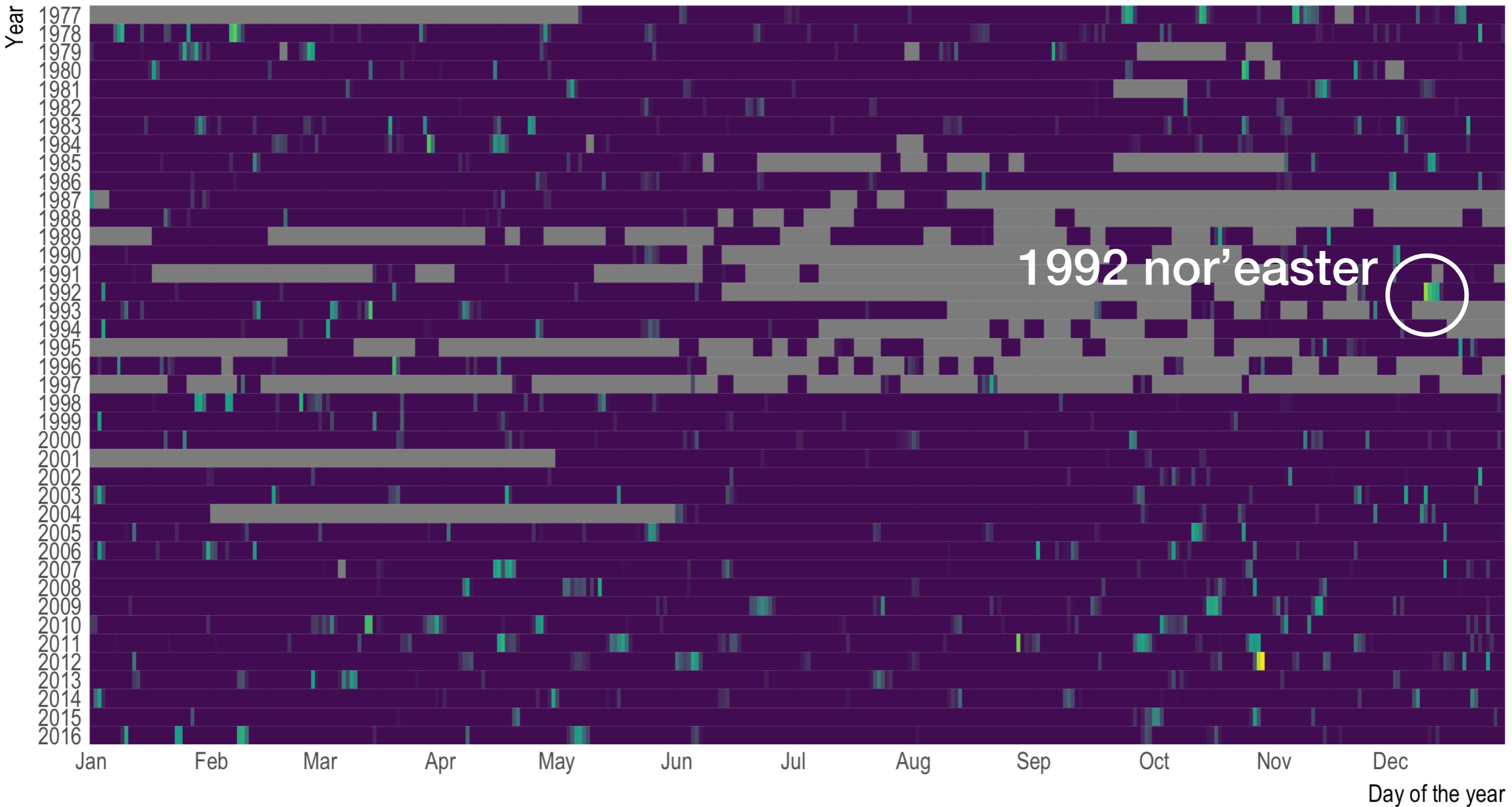
meters above average highest high tide

Source: U Hawaii Sea Level Center  
De-trended and registered to mean higher high water (MHHW; 1983-2001)  
D.J. Rasmussen  
@ClimateQuant



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1992 nor'easter

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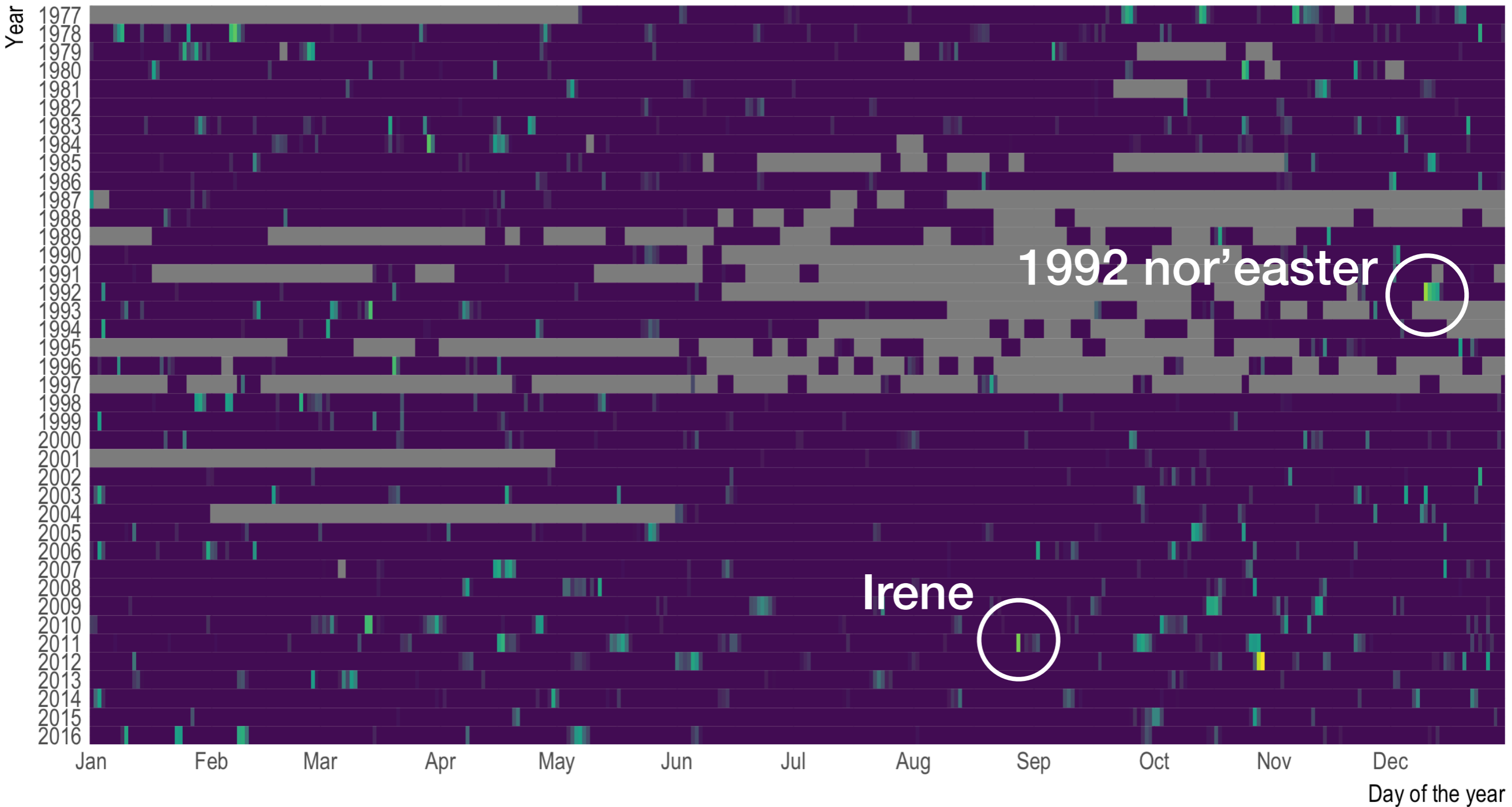
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1977-2016 (Grey are missing data)



1992 nor'easter

Irene

0.0 0.5 1.0 1.5 2.0 2.5



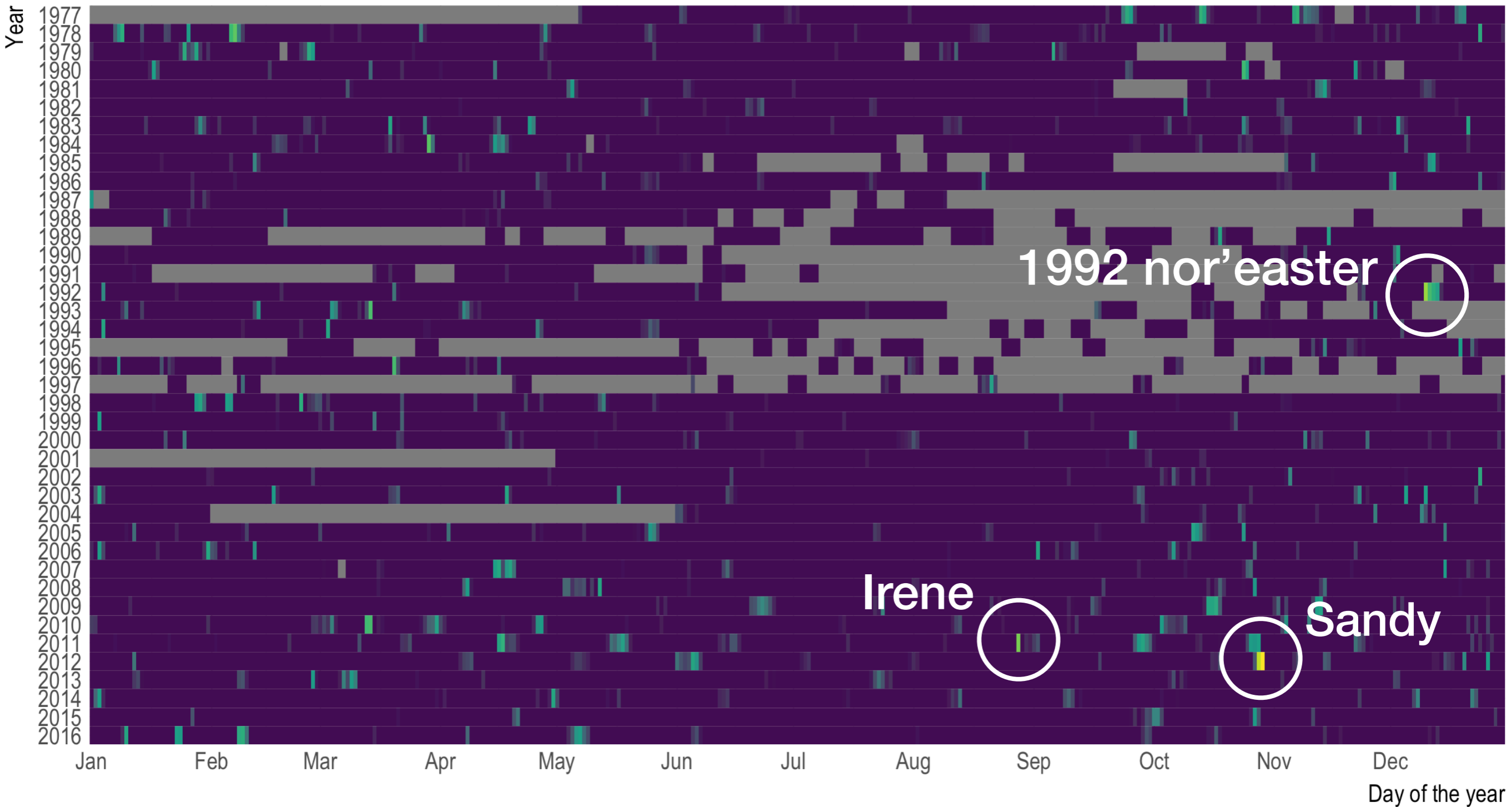
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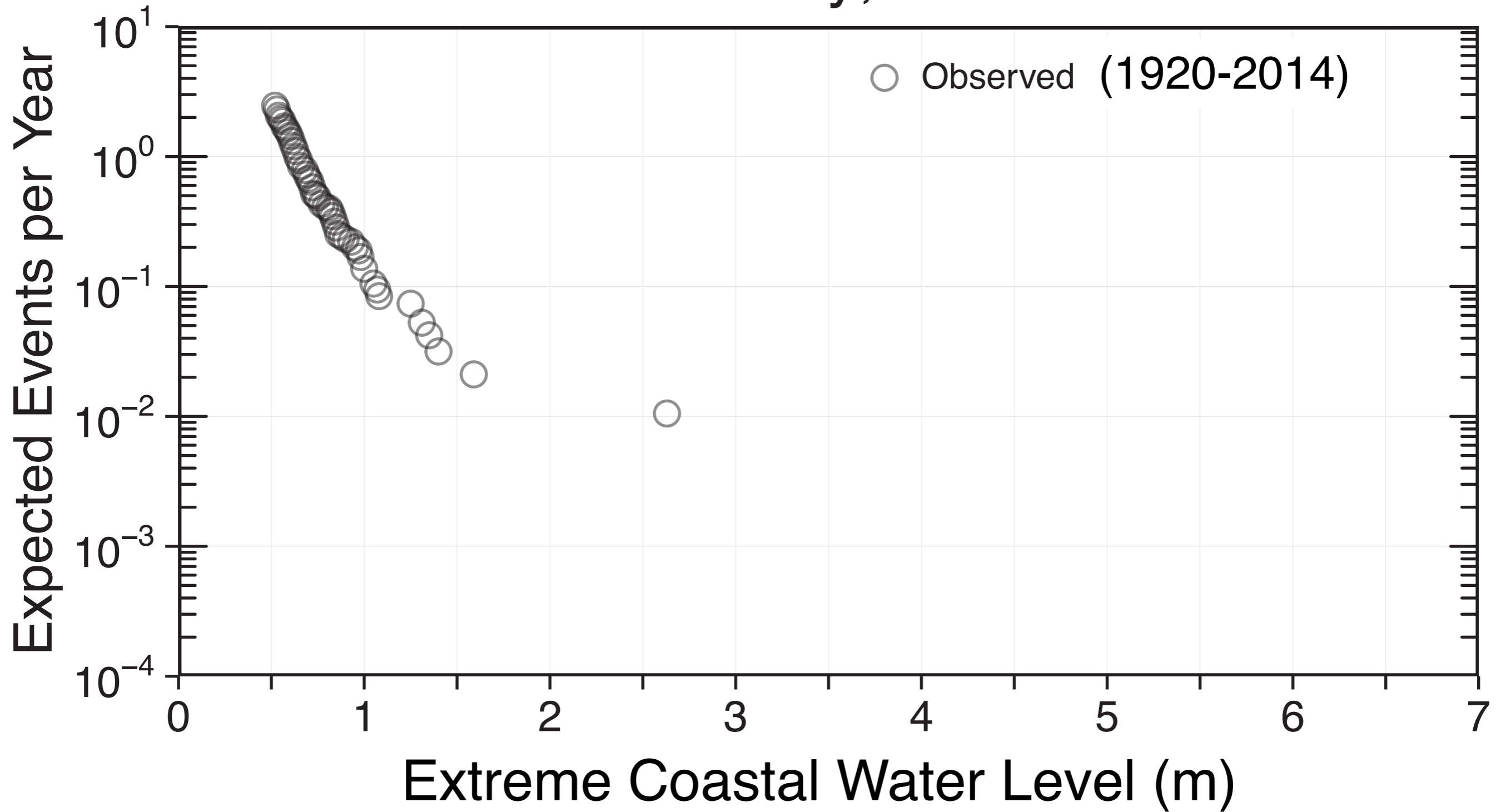
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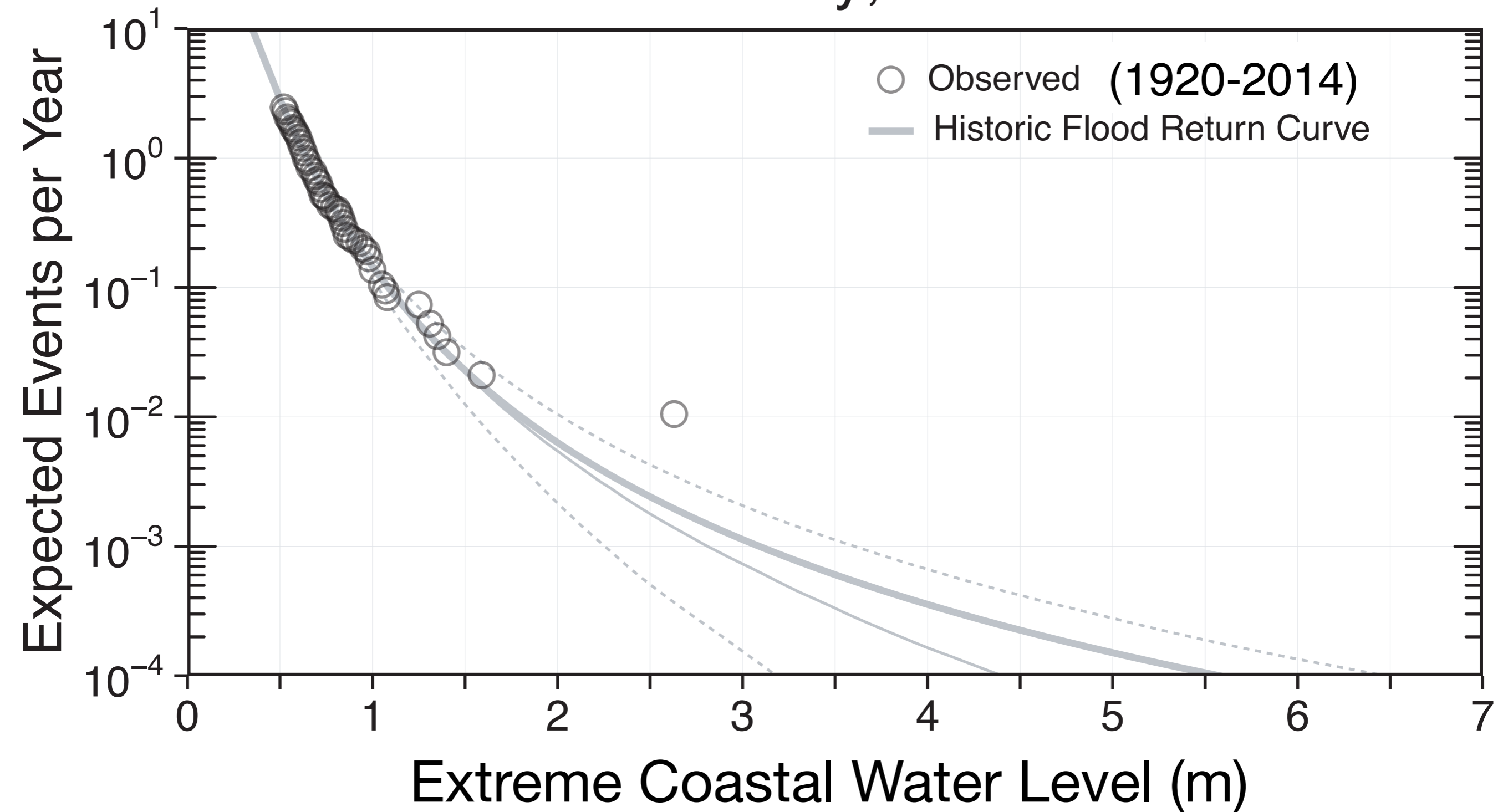
# Long-term hourly records of sea level contain information about extreme water levels that can lead to flooding

## New York City, U.S.A.





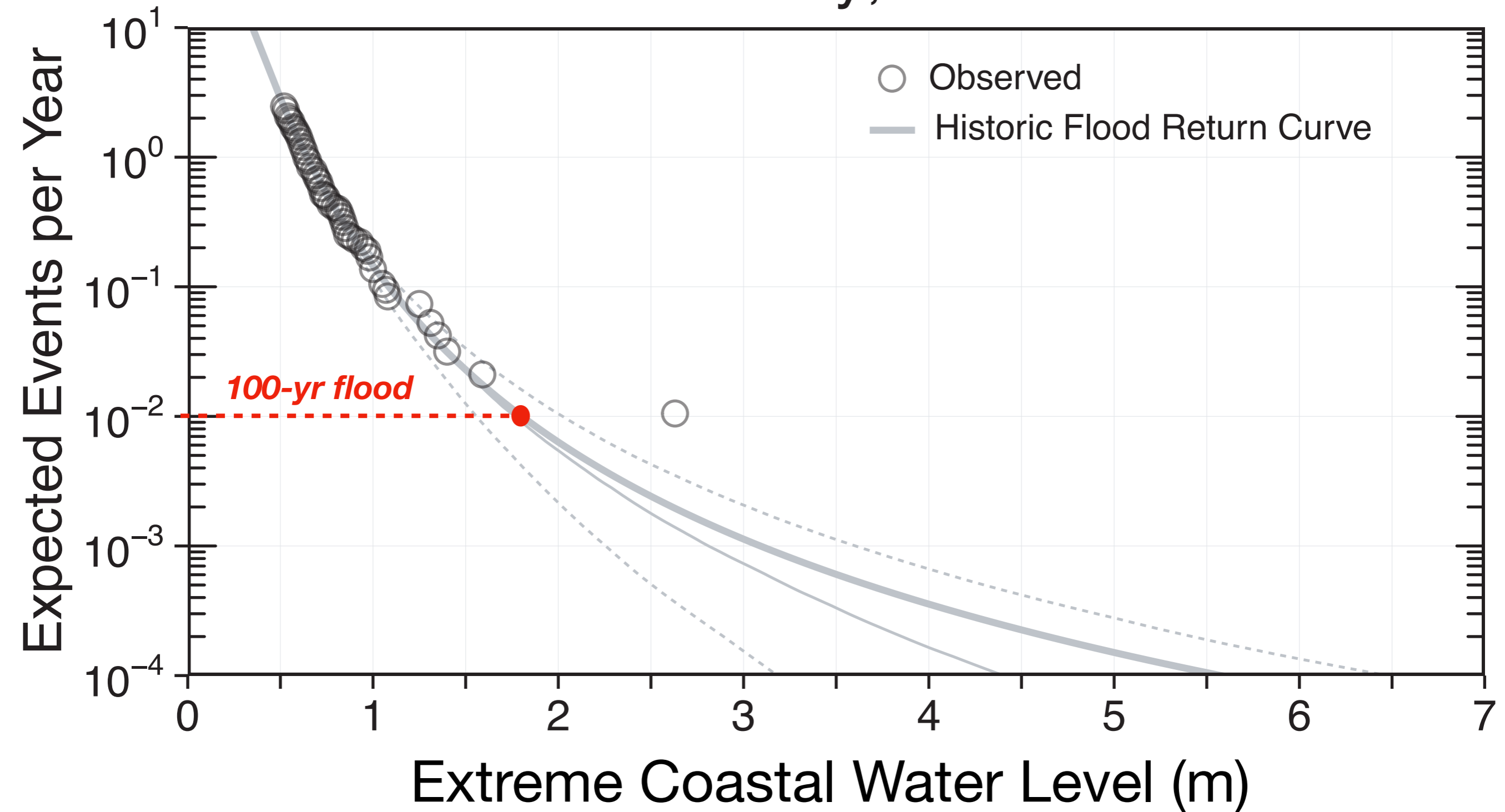
# Extreme value theory used to fit a probability distribution to observed extreme sea levels New York City, U.S.A.





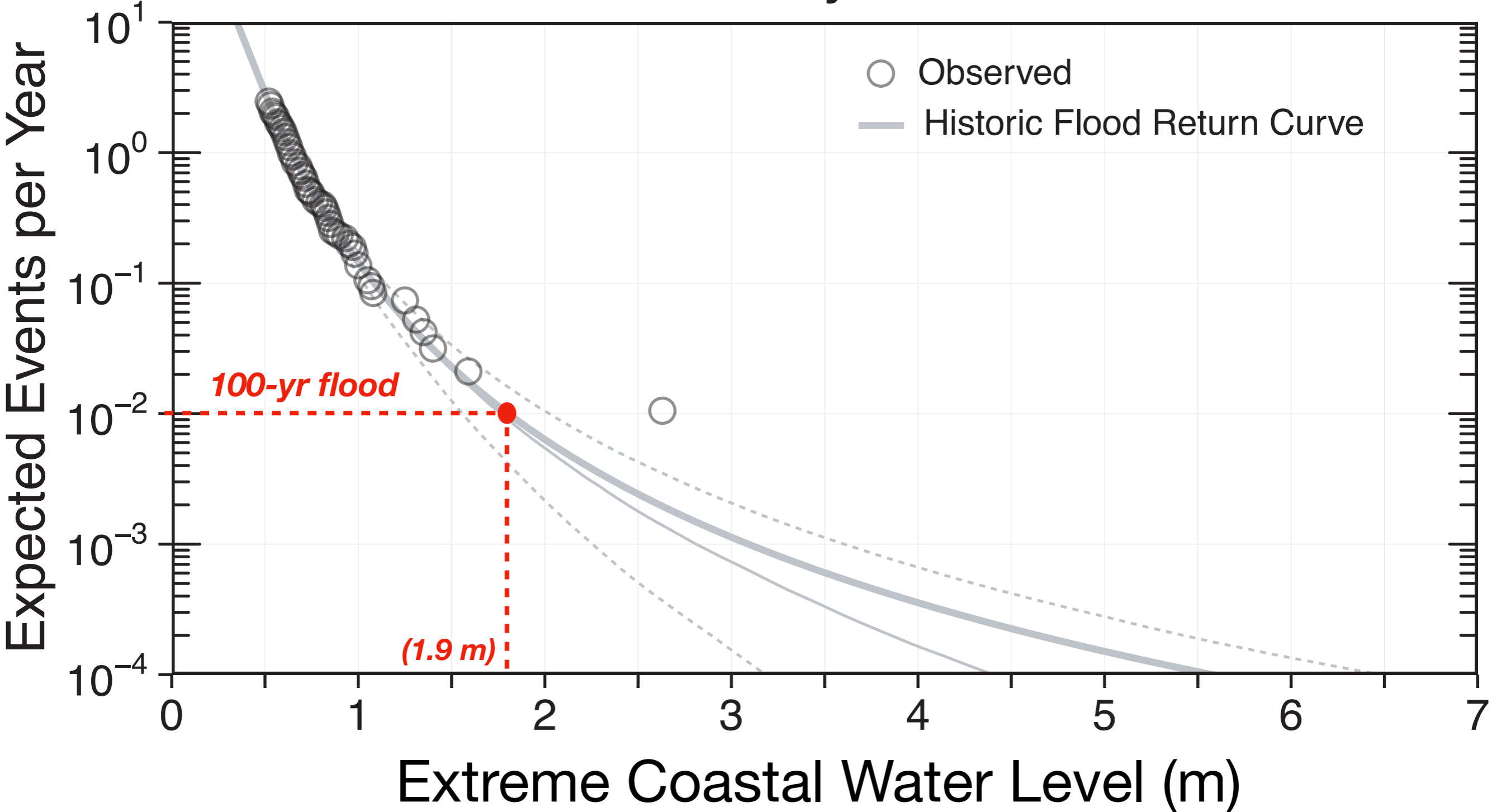
# Return periods of water levels of various heights can be estimated

## New York City, U.S.A.





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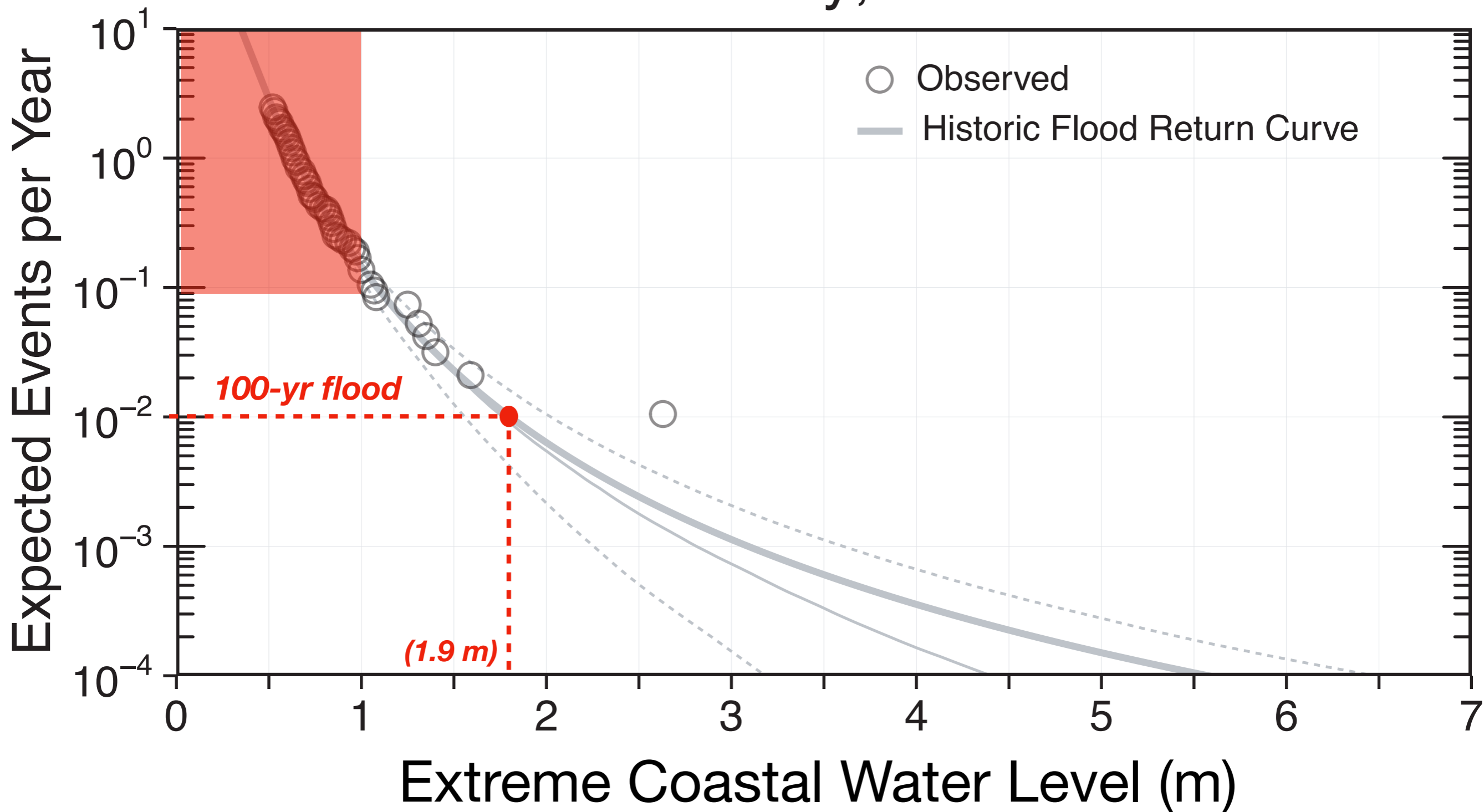


[Rasmussen, Oppenheimer, and Kopp, in prep]



# The most frequent events usually lead to minor damages

## New York City, U.S.A.





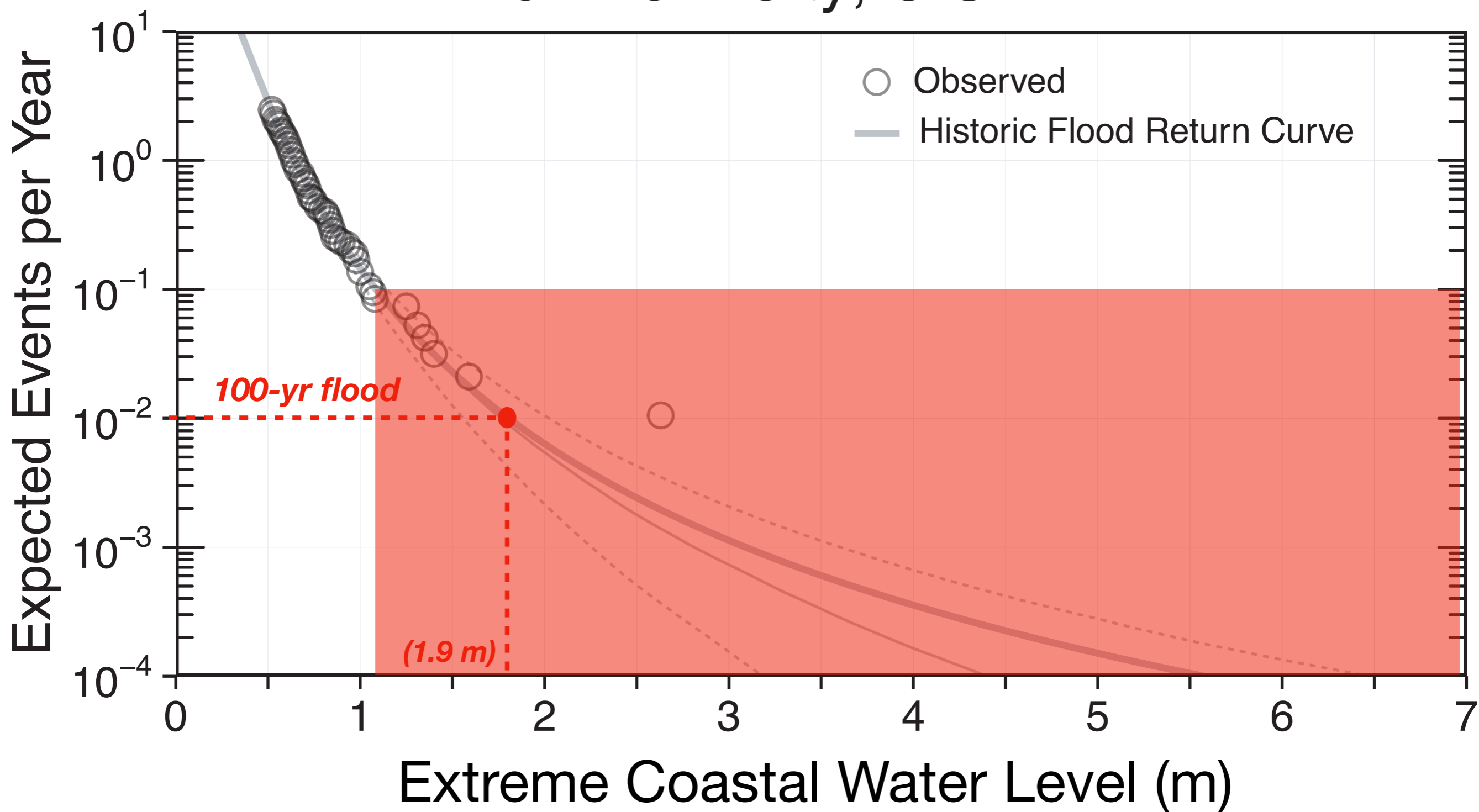


**High tide flooding**



# Rare events can lead to catastrophic damage, if not well protected

## New York City, U.S.A.







LaGuardia Airport (Nov. 1950)

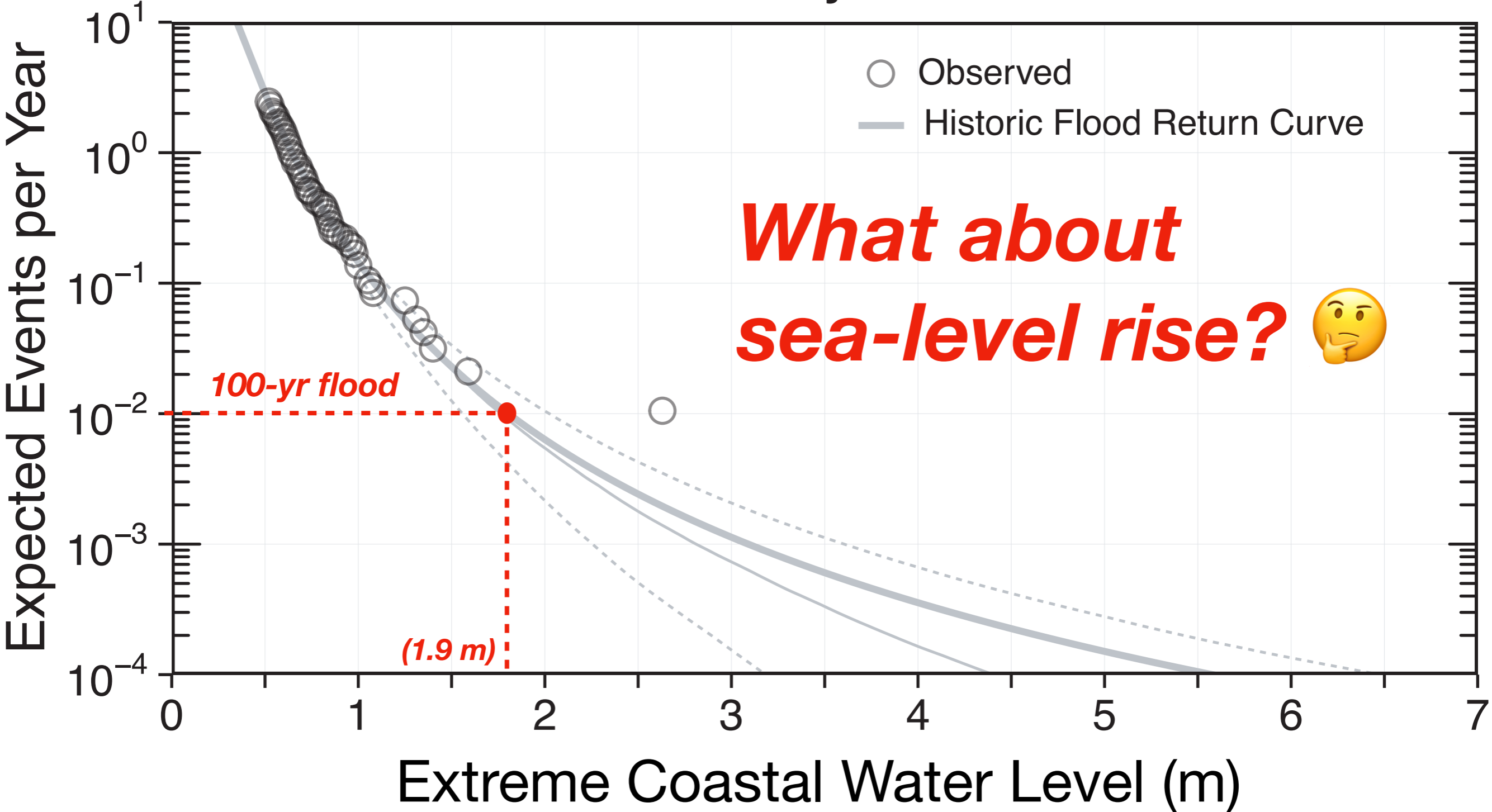


South Ferry Subway (Oct. 2012)

# Rare event flooding



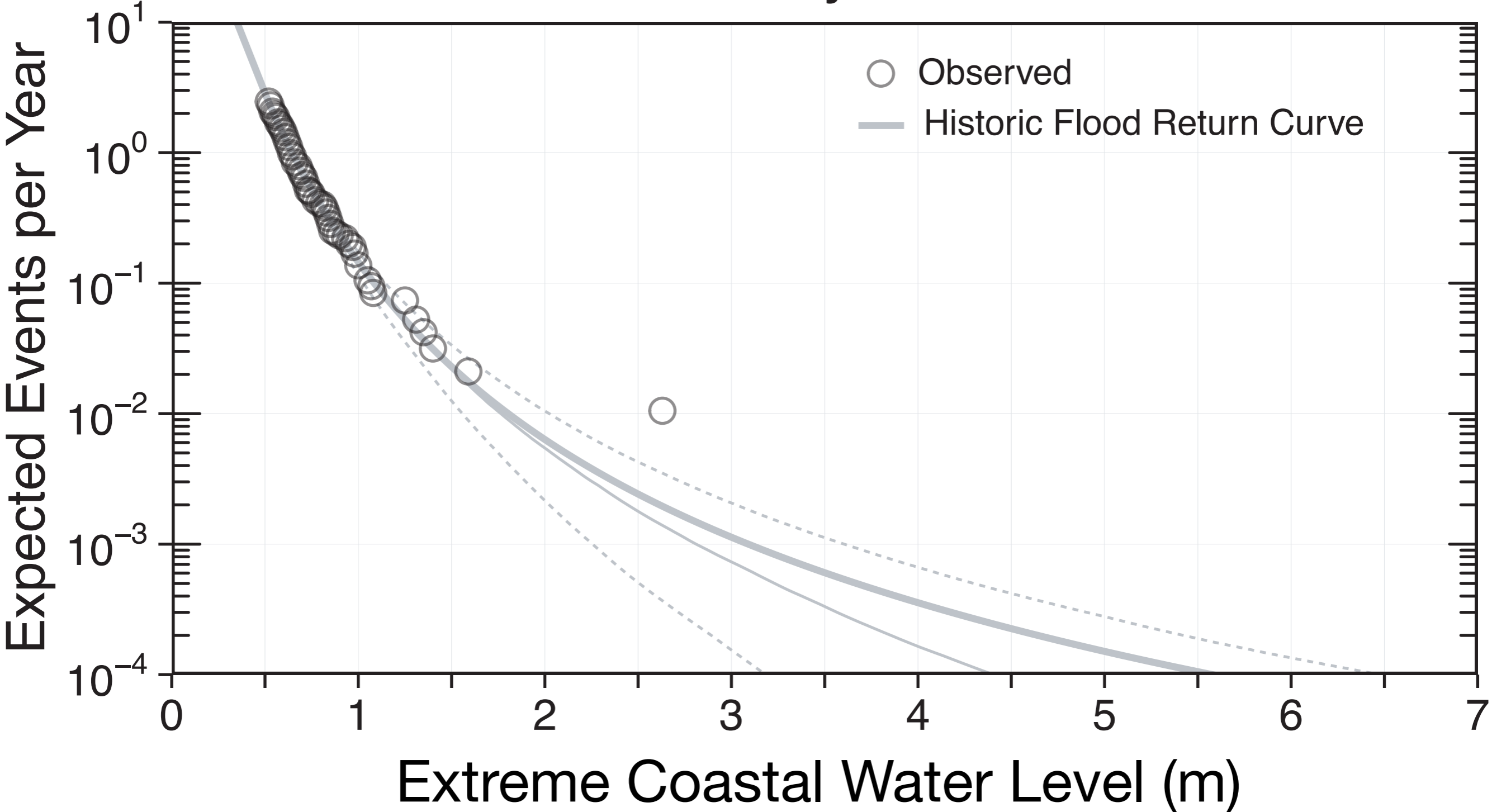
# New York City, U.S.A.



[Rasmussen, Oppenheimer, and Kopp, in prep]



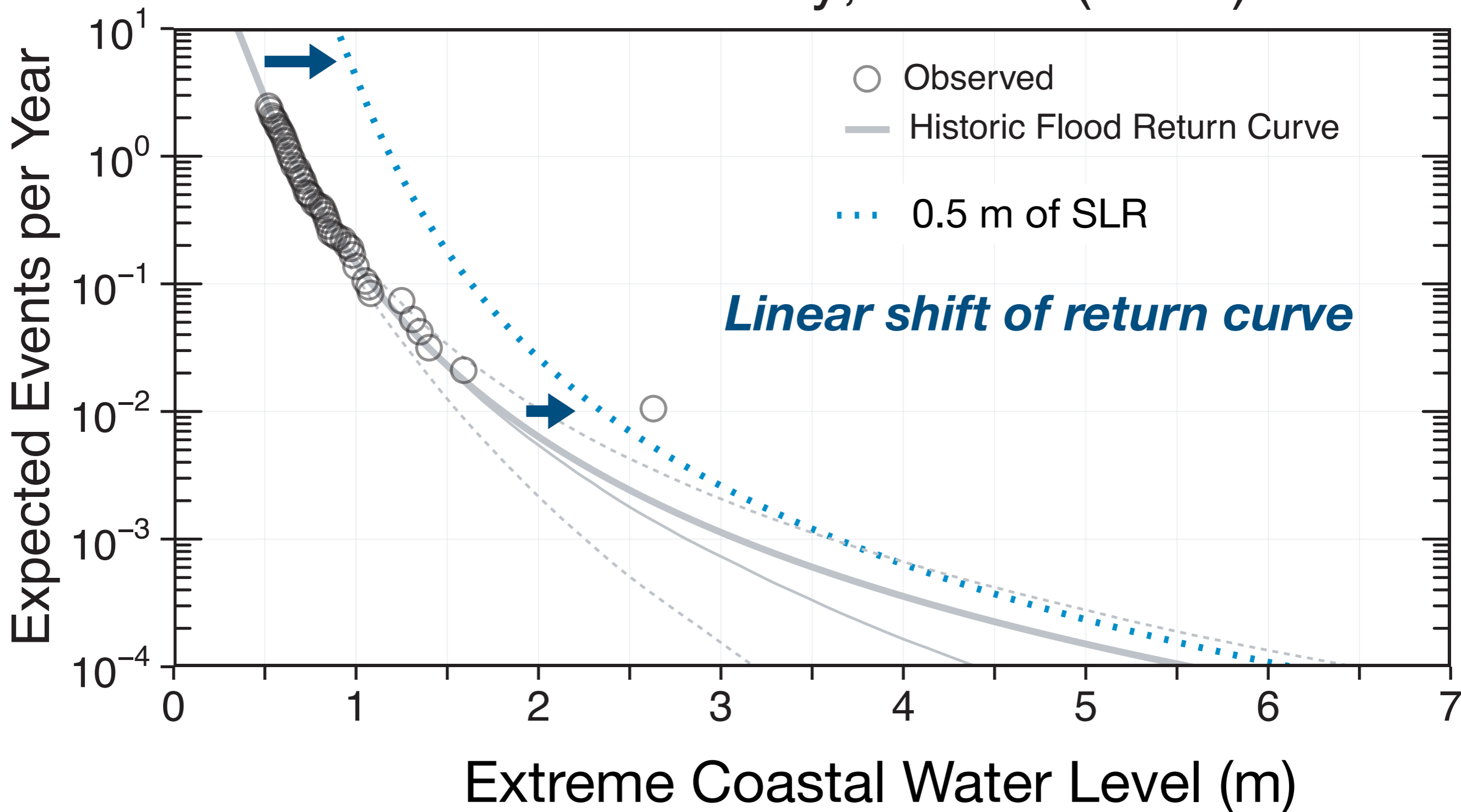
# New York City, U.S.A.





# Sea-level rise increases the frequency of all extreme water level events

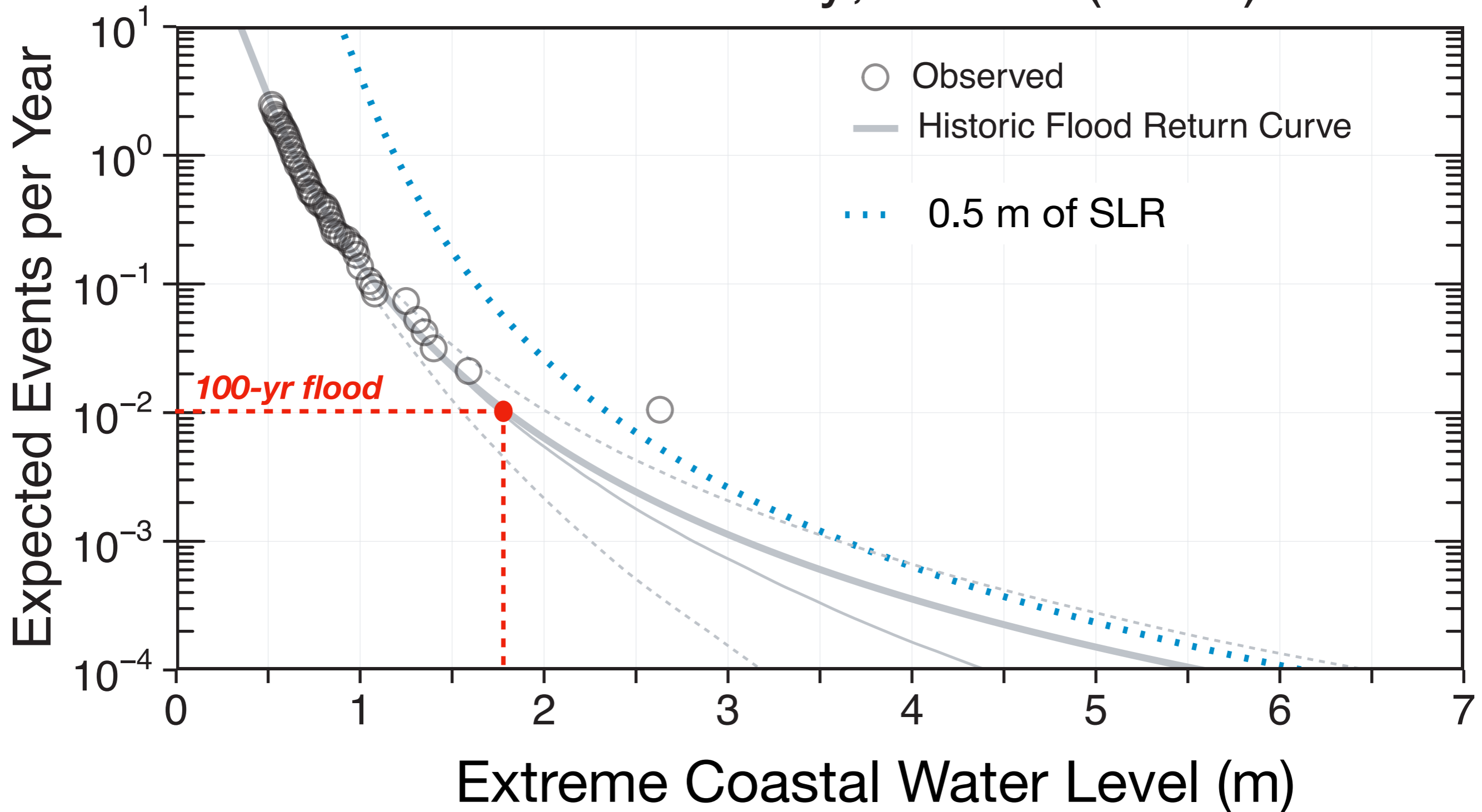
## New York City, U.S.A. (2100)





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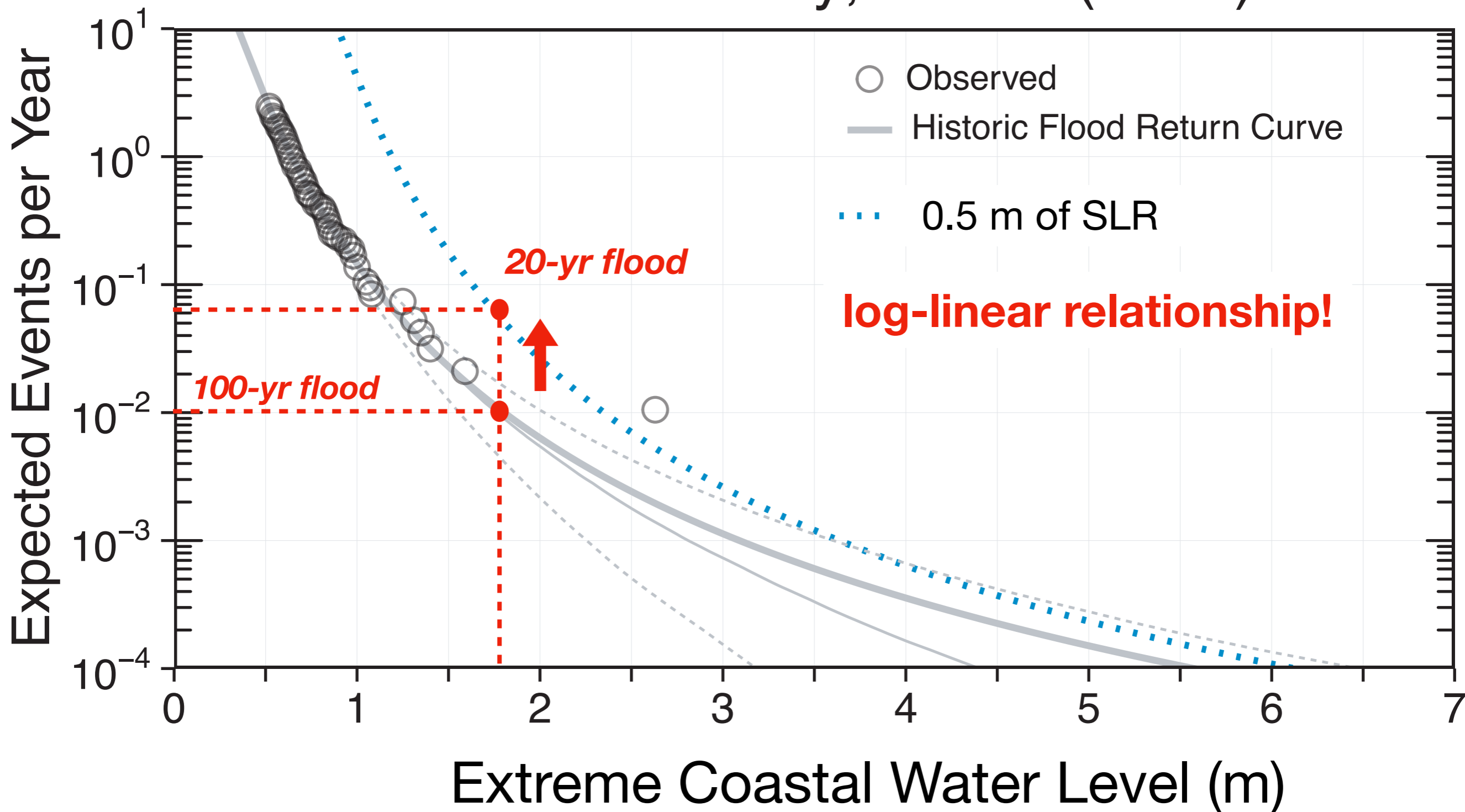
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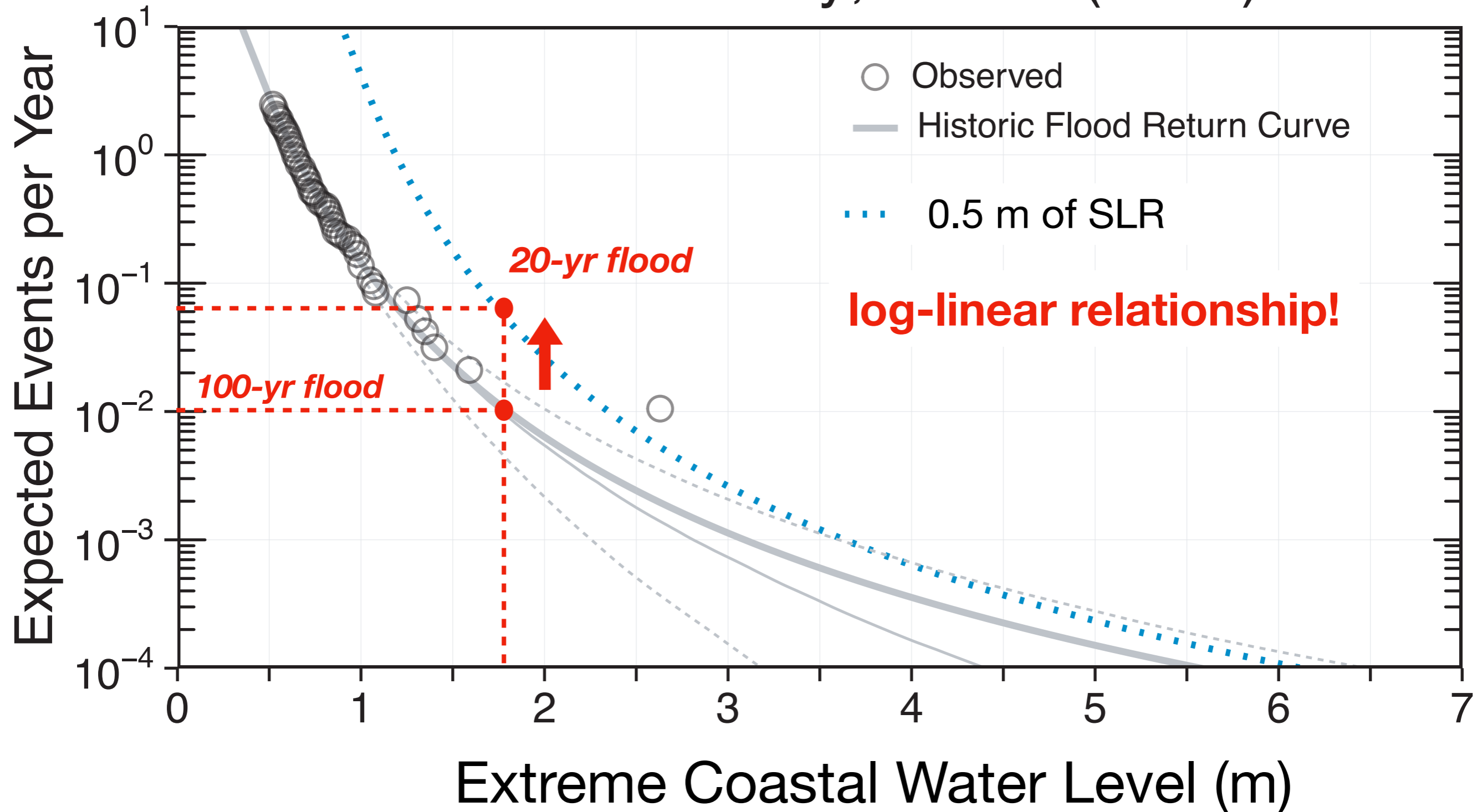
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# Sea-level rise increases the frequency of all extreme water level events

## New York City, U.S.A. (2100)



How to design to a 'moving' target?

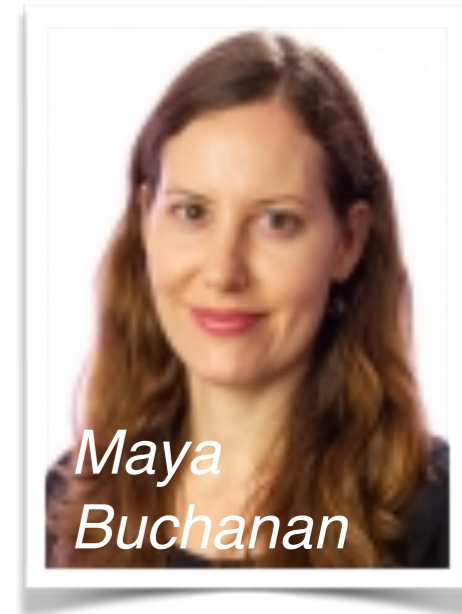
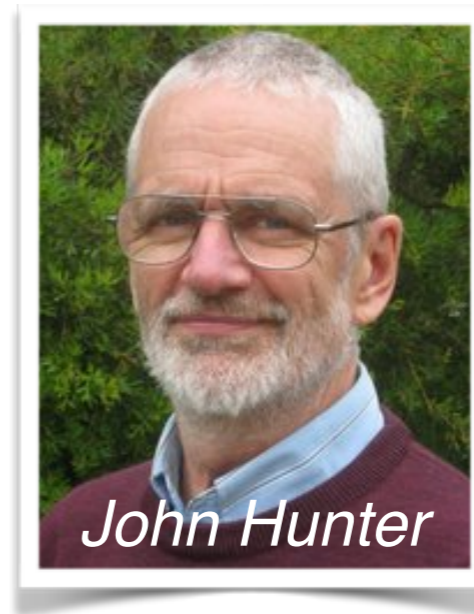


# A 'flood allowance' accommodates changing frequency of extreme water levels

*[Hunter, 2012; Buchanan et al., 2016]*

**Flood allowance** (*noun*): the vertical required to keep the expected number of extreme coastal water level events constant under uncertain sea-level change

*Engineering metric: "How high to build the levee?"*





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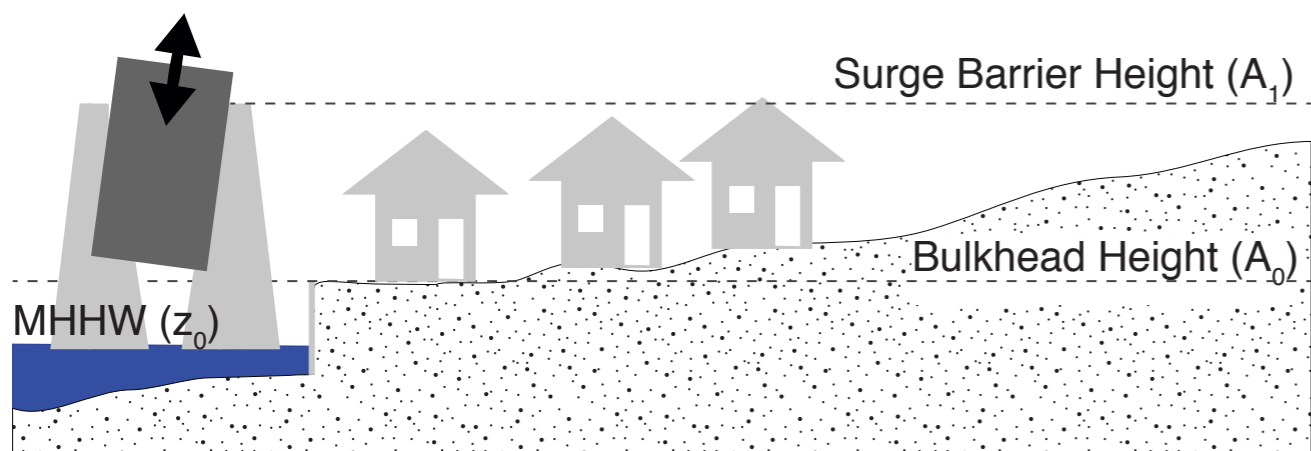
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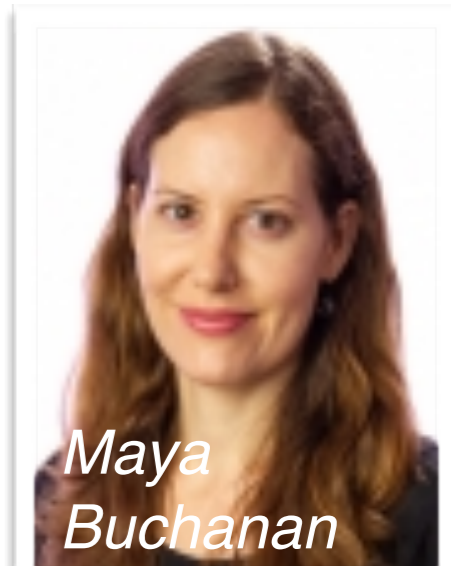
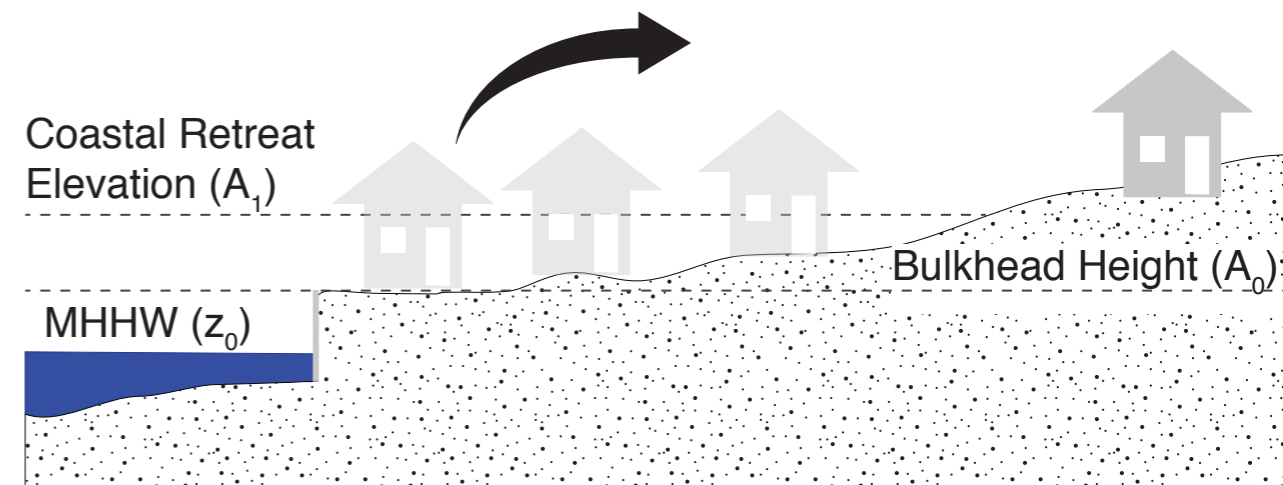
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Examples:

Surge Barrier



Coastal Retreat





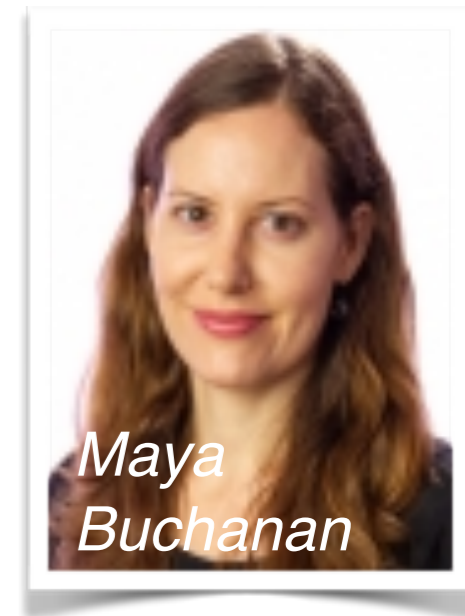
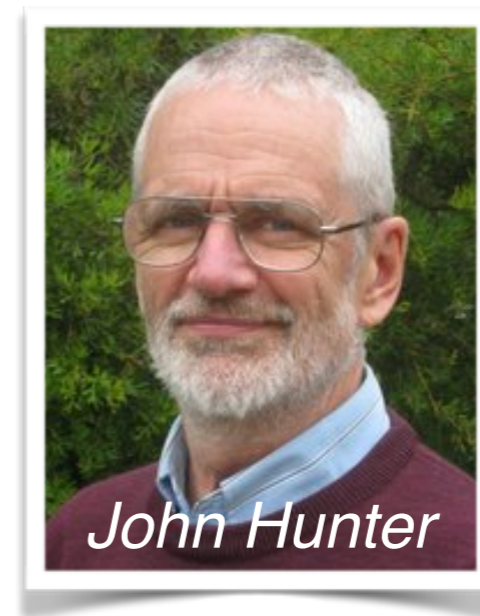
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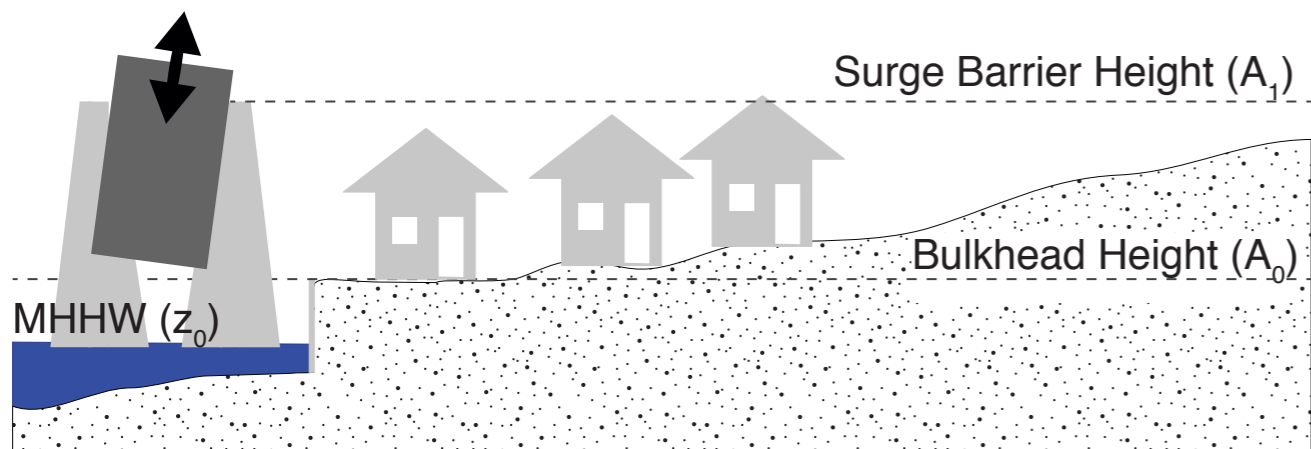
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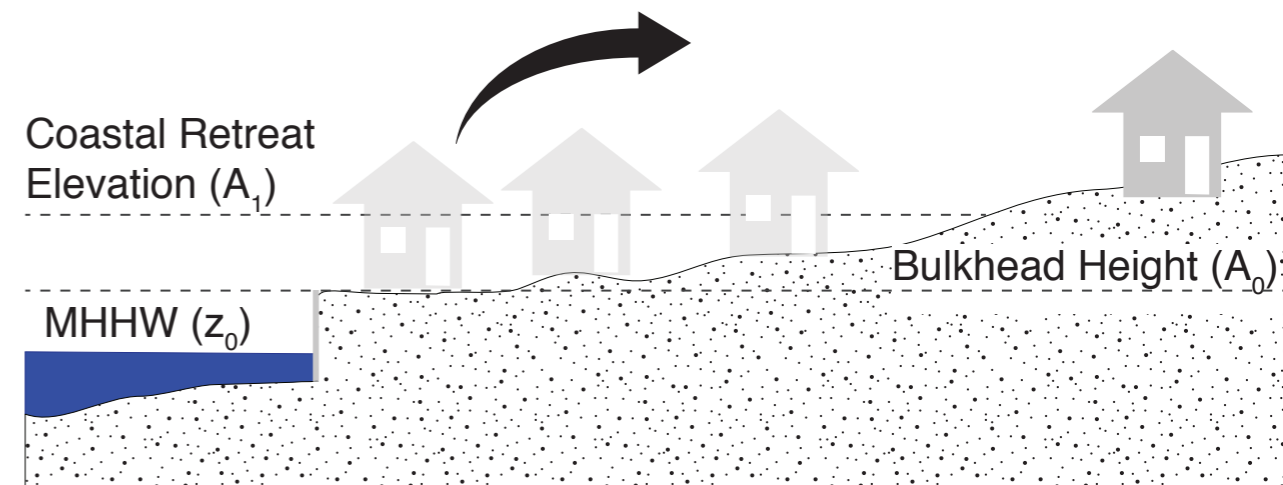
Examples:



Surge Barrier



Coastal Retreat



**Type of flood allowances:**

1. For a given point in time ("instantaneous")
2. Over a given time period ("design life")



# Flood allowance illustrated with basic math

Sea-level rise increases exceedance probability of  $z$ :  $f(z-\Delta)$

$$f(z^*) = f(z^* - \Delta + A(z^*))$$

Height of extreme water level:  $z^* = 1.9 \text{ m}$

Current exceedance probability of  $z^*$ :  $f(z^*) = 0.01$

Known amount of local sea-level rise:  $\Delta = 0.5 \text{ m}$

Vertical adjustment to maintain  $f(z^*)$ :  $A(z^*)$  *the “allowance”*



# Flood allowance illustrated with basic math

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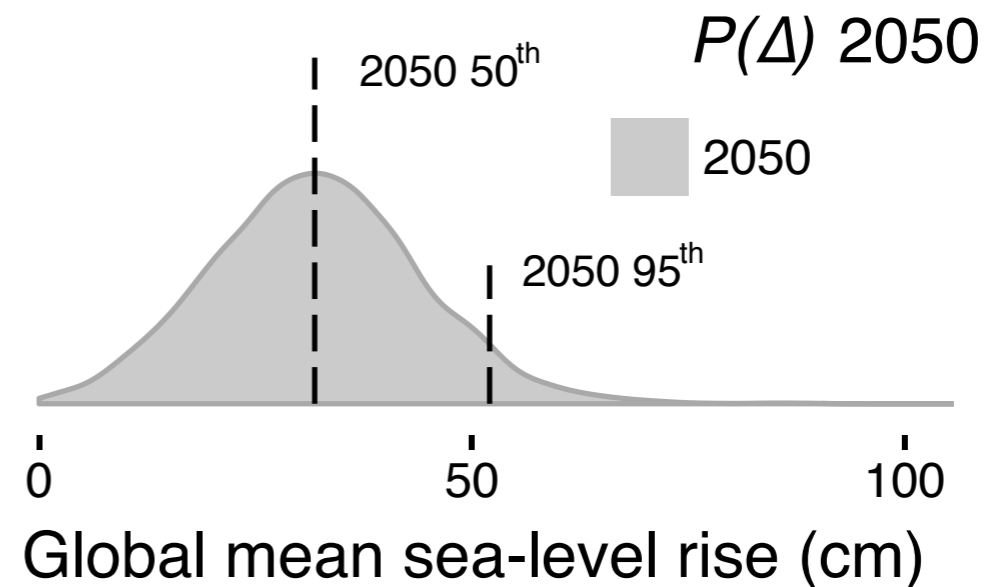
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***Unknown* amount of local sea-level rise**





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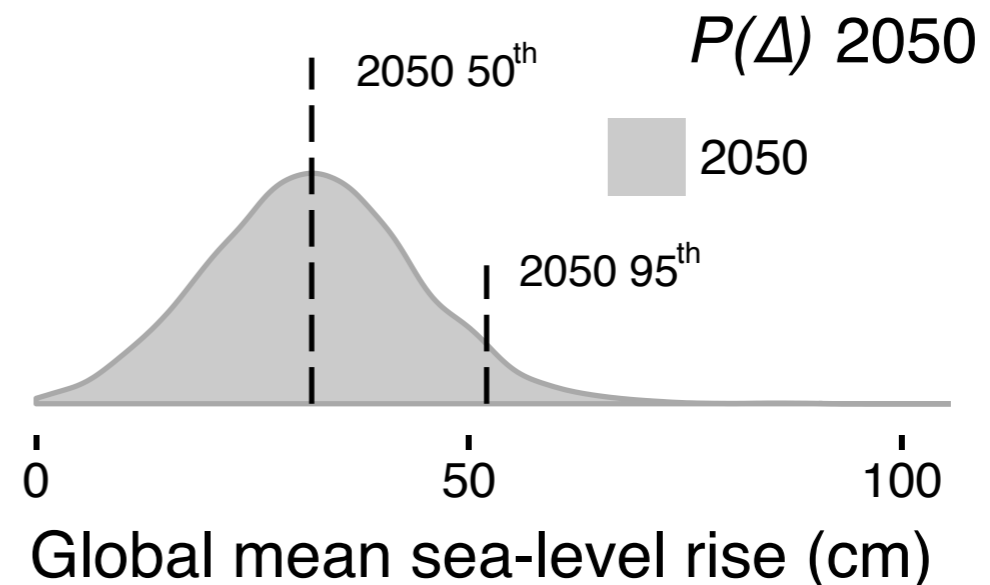
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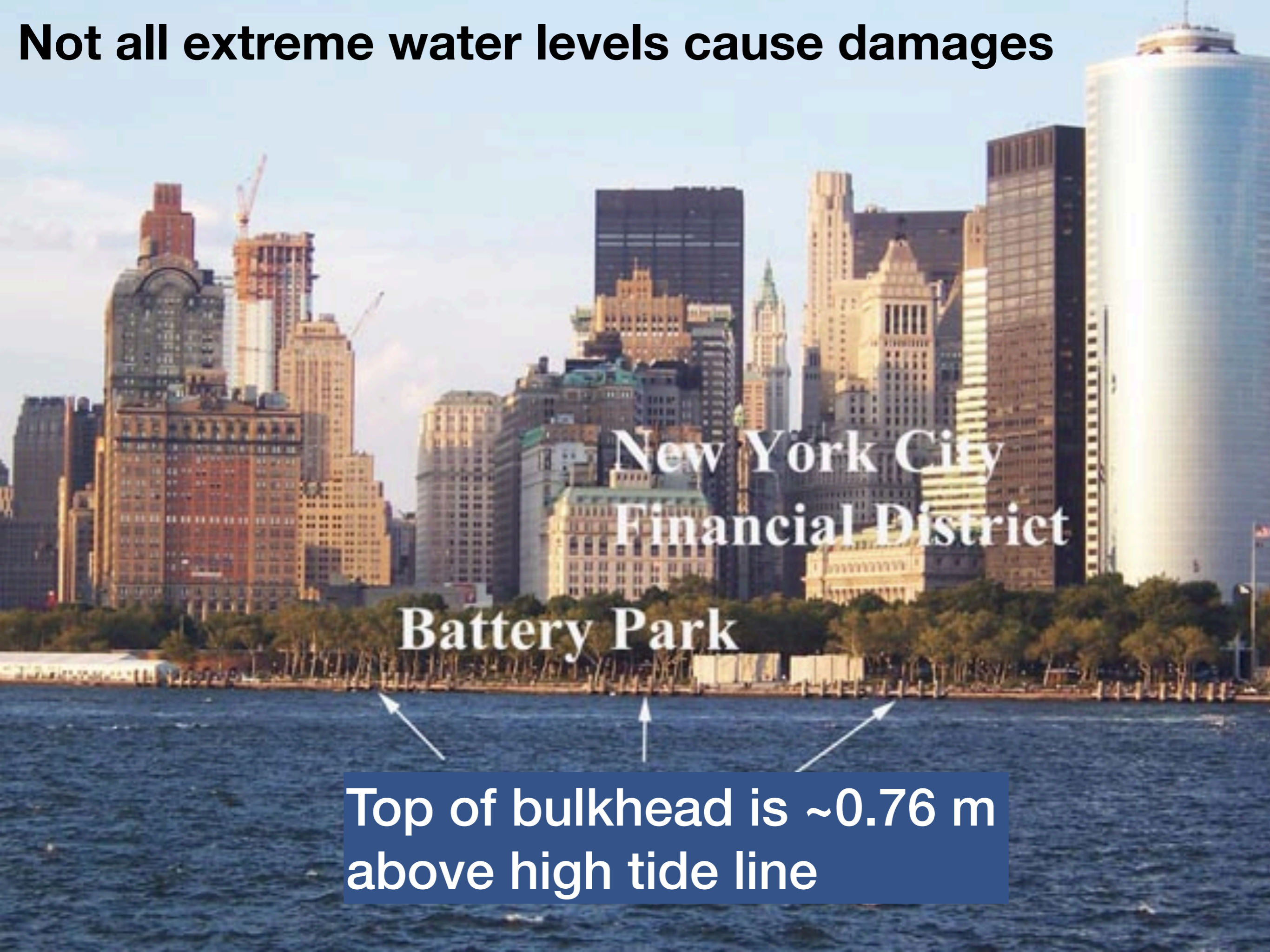


$$f(z^*) = \int_{\Delta} f(z^* - \Delta + A(z^*)) P(\Delta) d\Delta$$

*Solve for A numerically*



# Not all extreme water levels cause damages



New York City  
Financial District

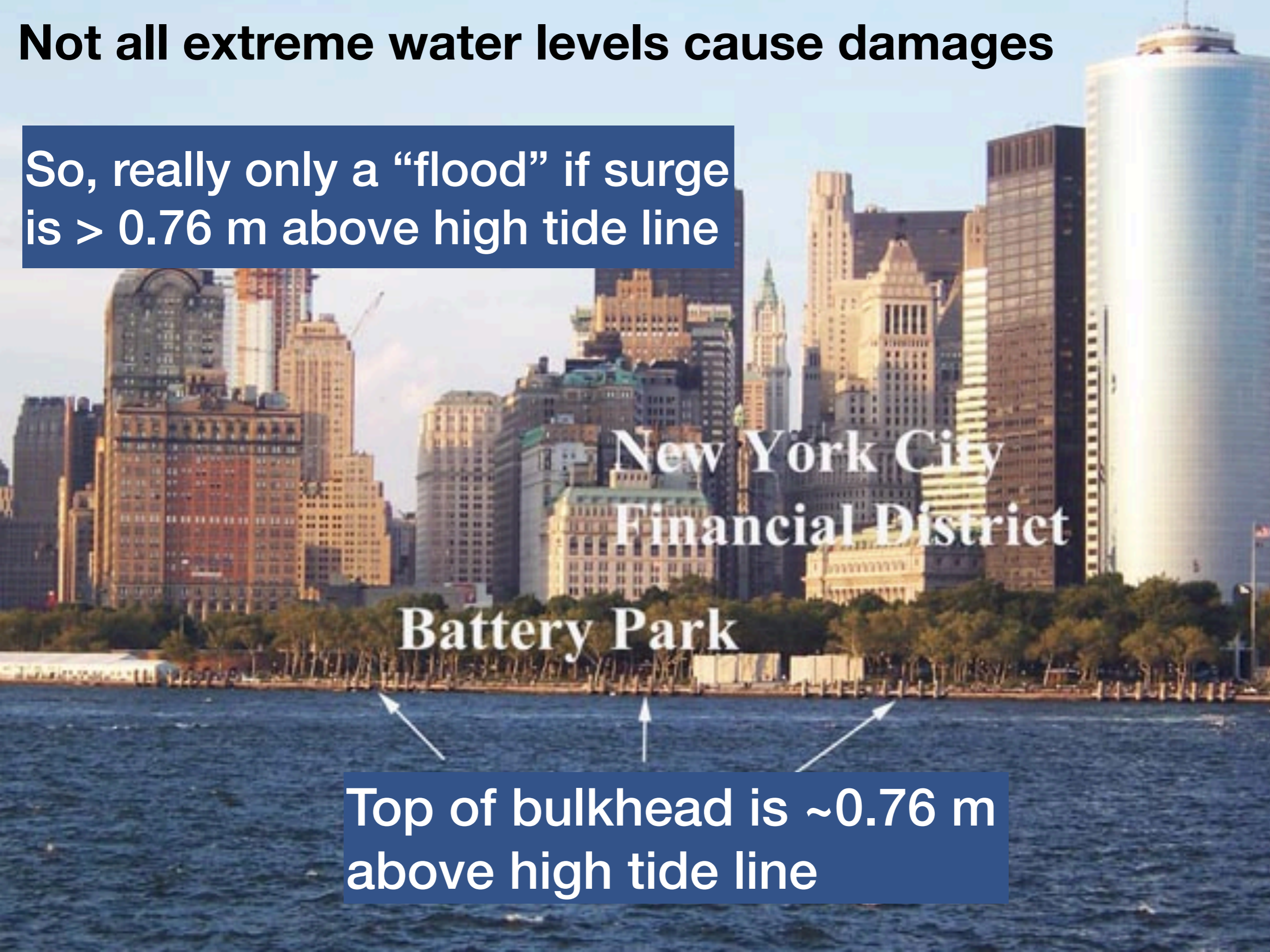
Battery Park

Top of bulkhead is ~0.76 m  
above high tide line



# Not all extreme water levels cause damages

So, really only a “flood” if surge is  $> 0.76$  m above high tide line



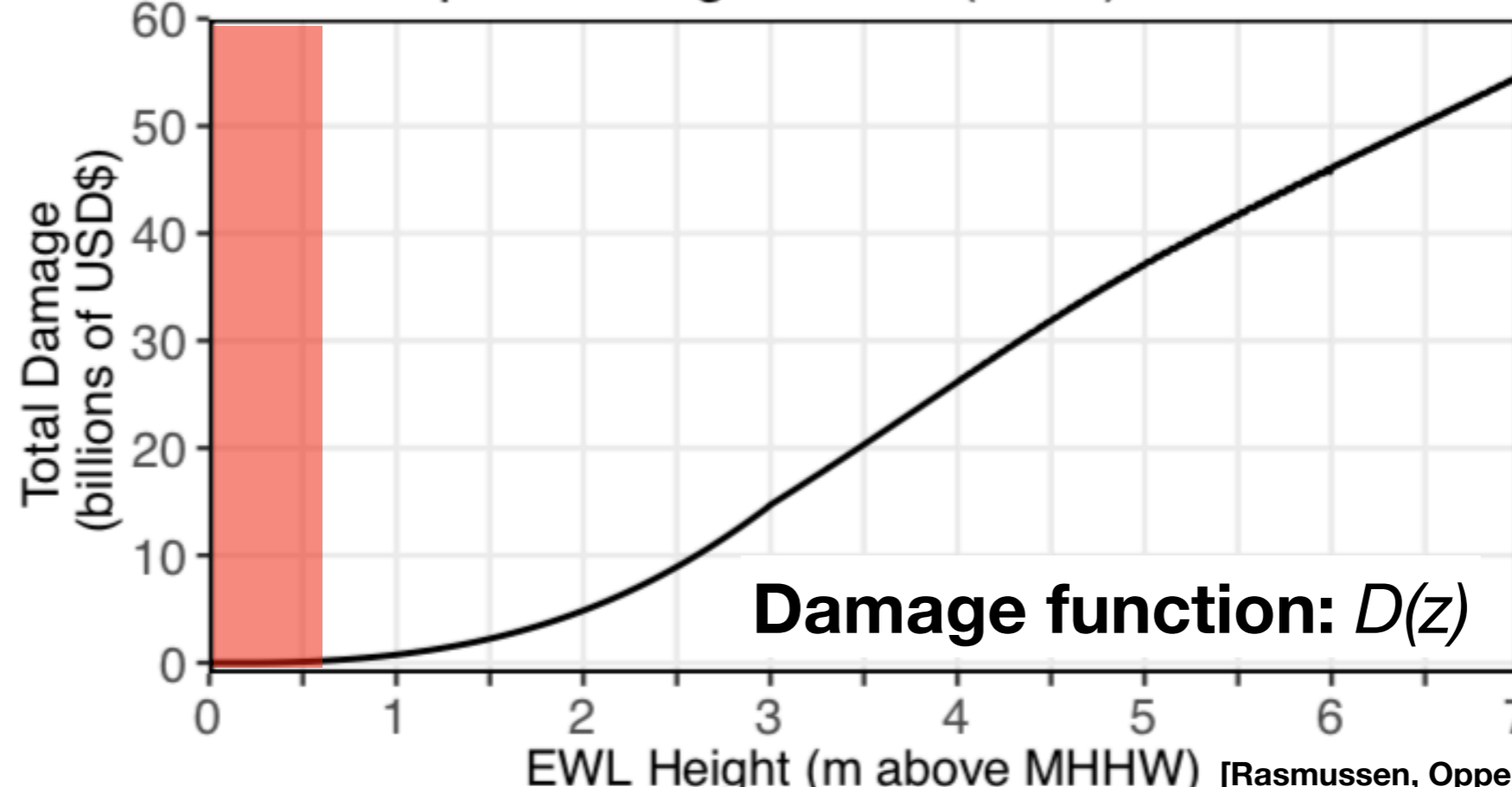
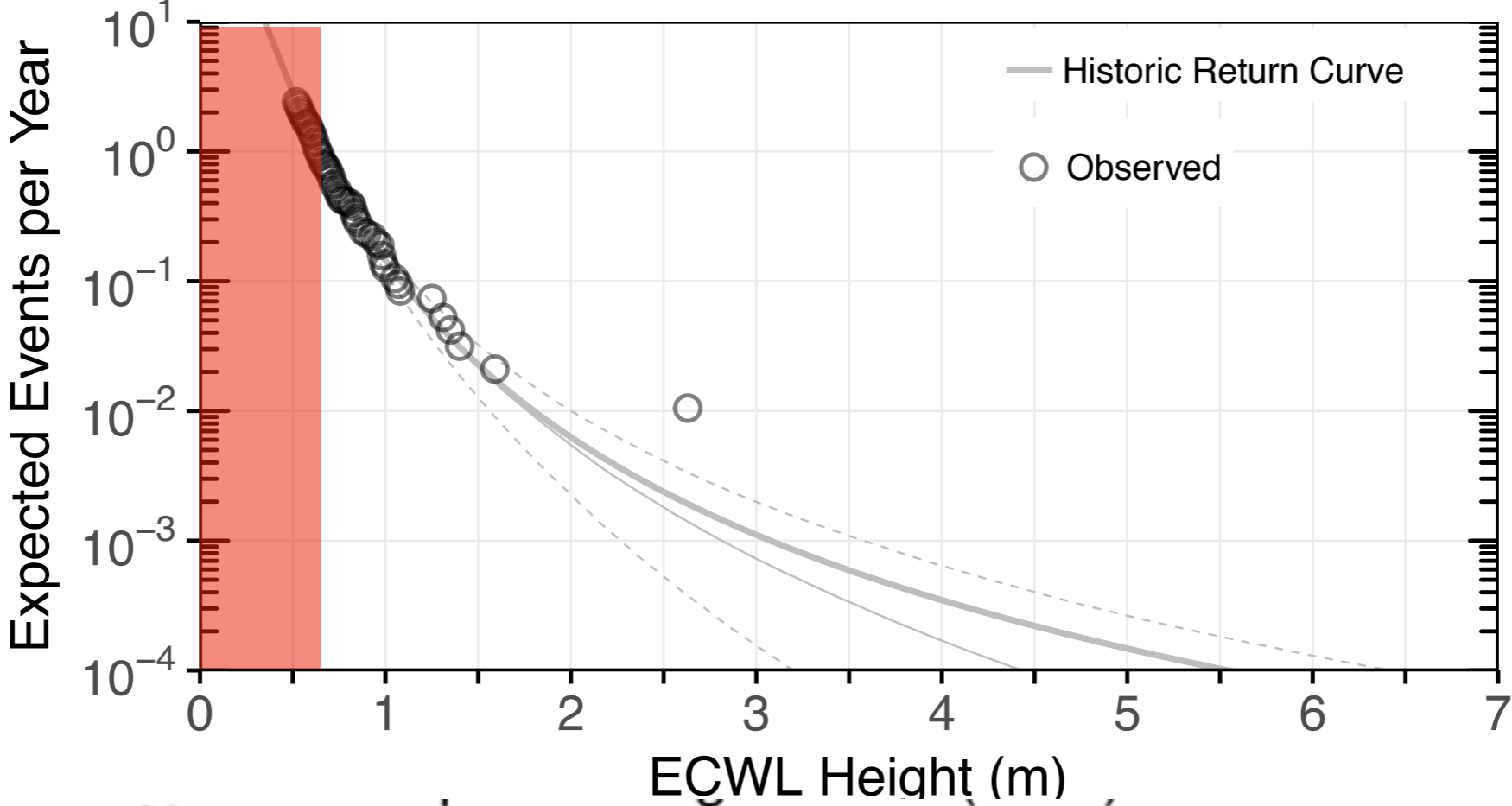
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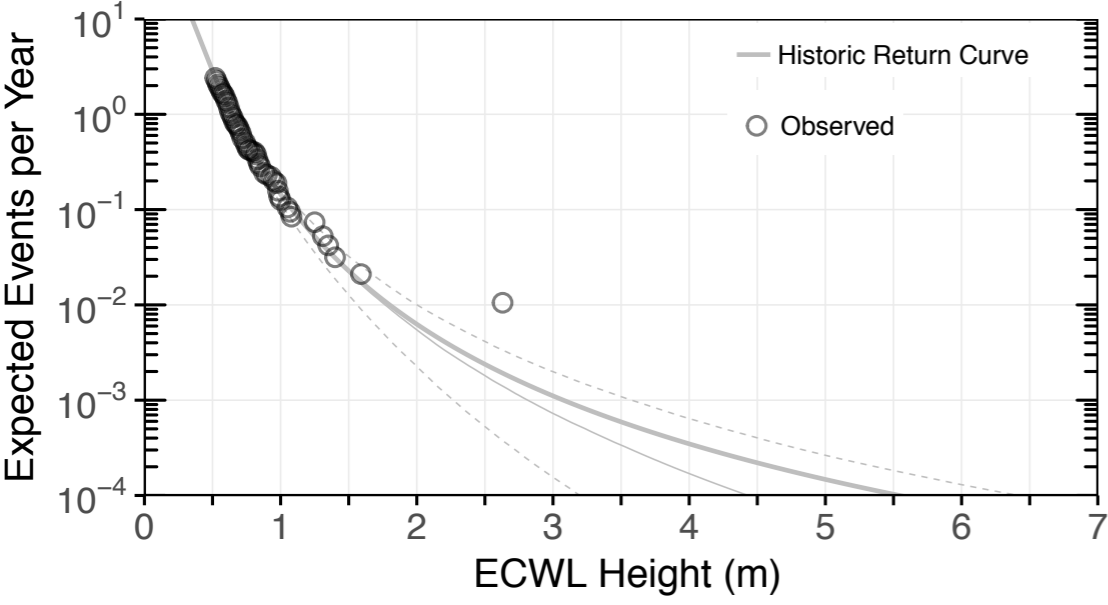
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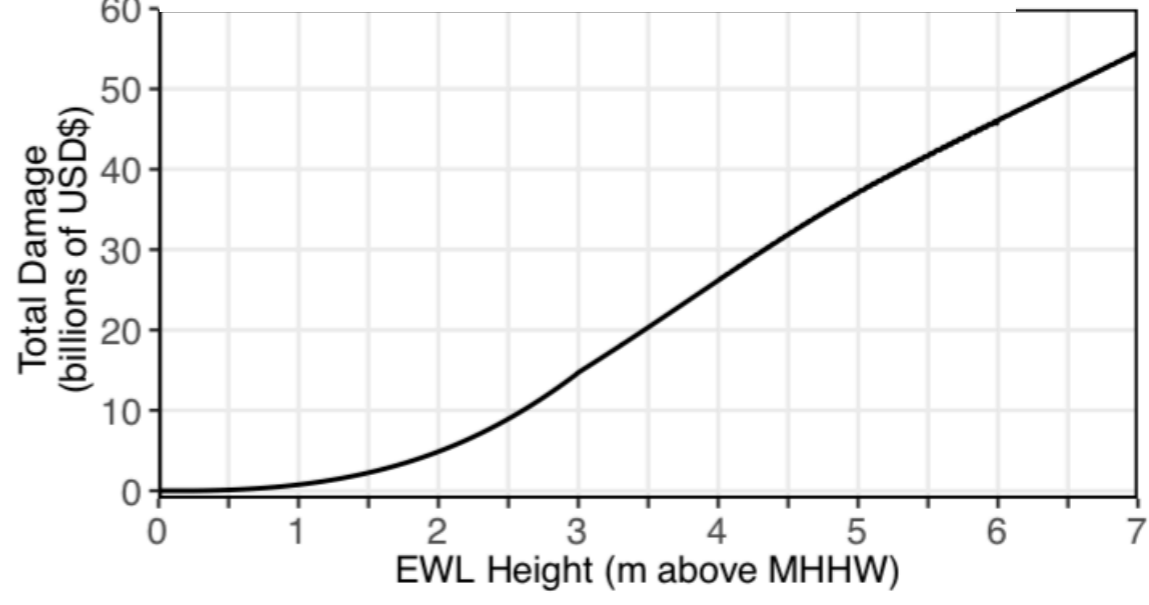
# Coastal managers may be more interested in financial metrics

## Probability of water level $z$ : $f(z)$



**X**

## Damage function: $D(z)$



**=**



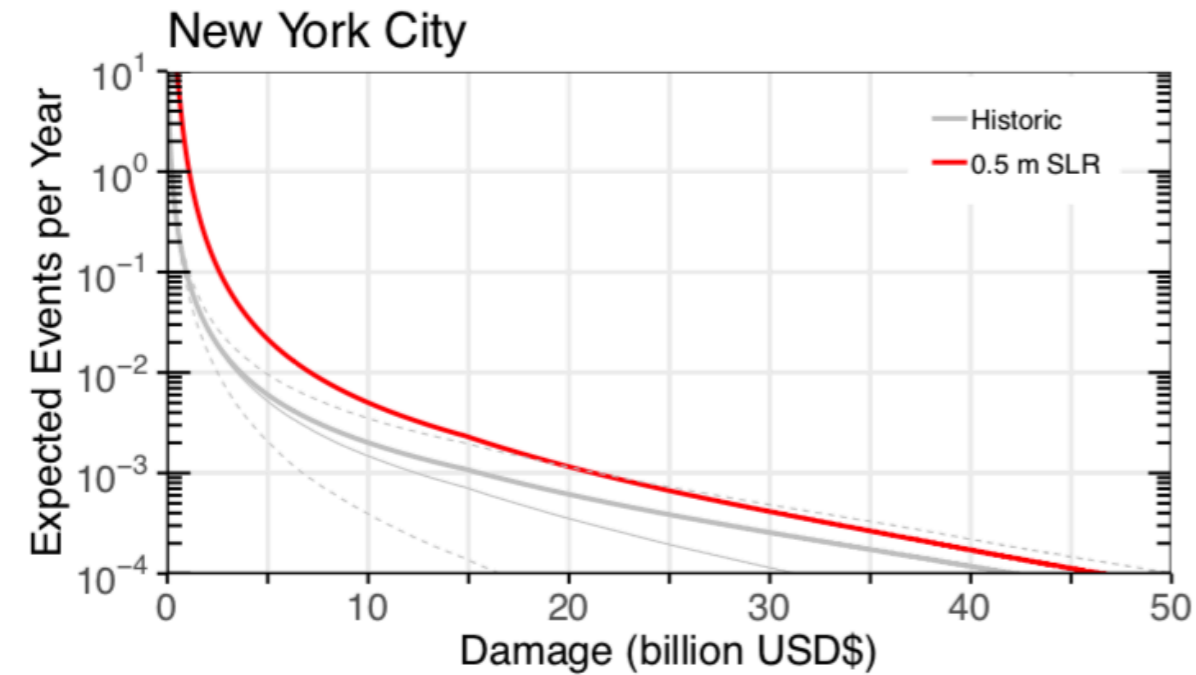
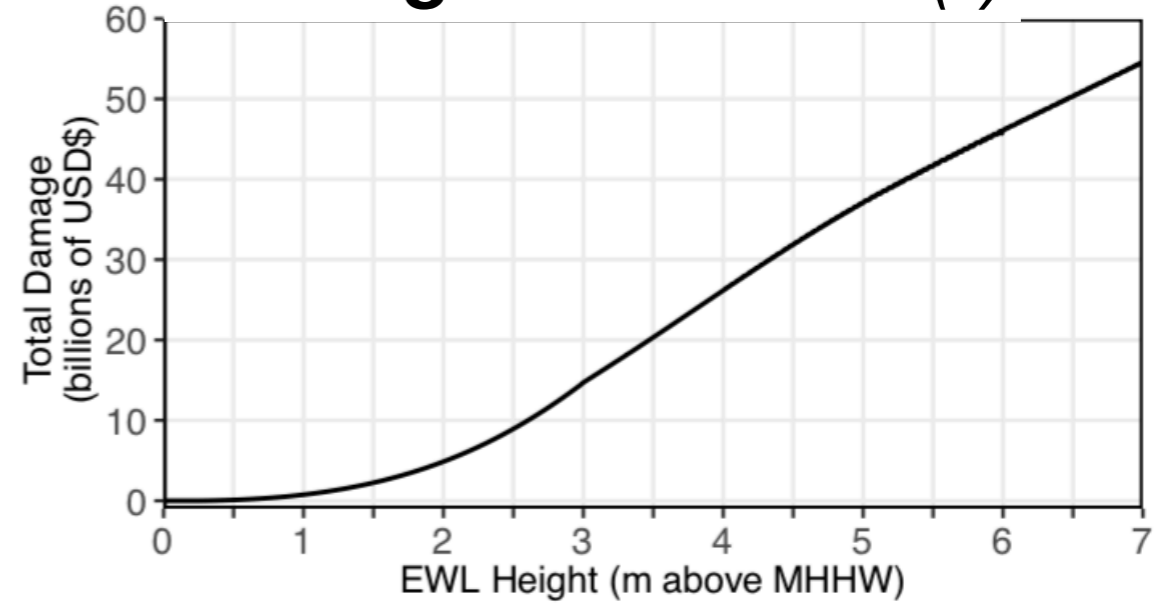
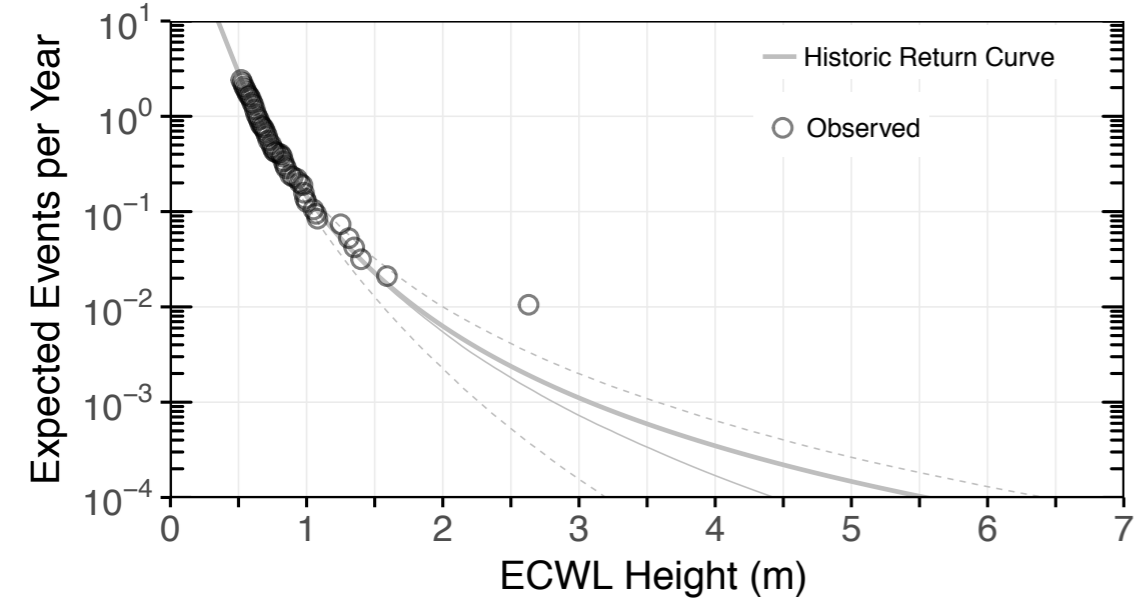
# Coastal managers may be more interested in financial metrics

Probability of water level  $z$ :  $f(z)$

Damage function:  $D(z)$

**X**

**=**

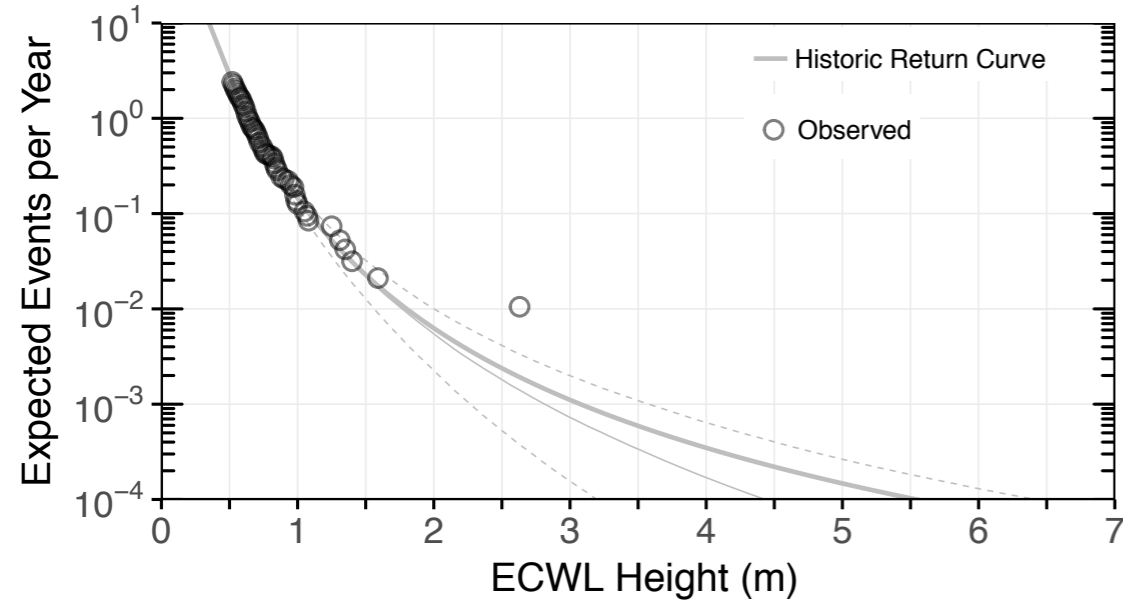




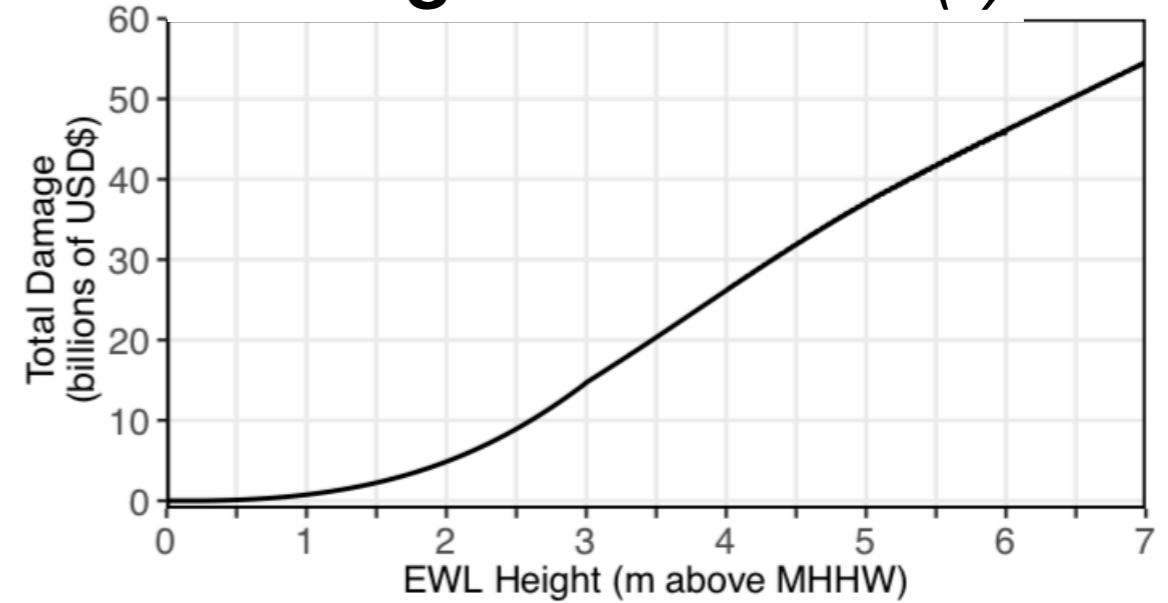
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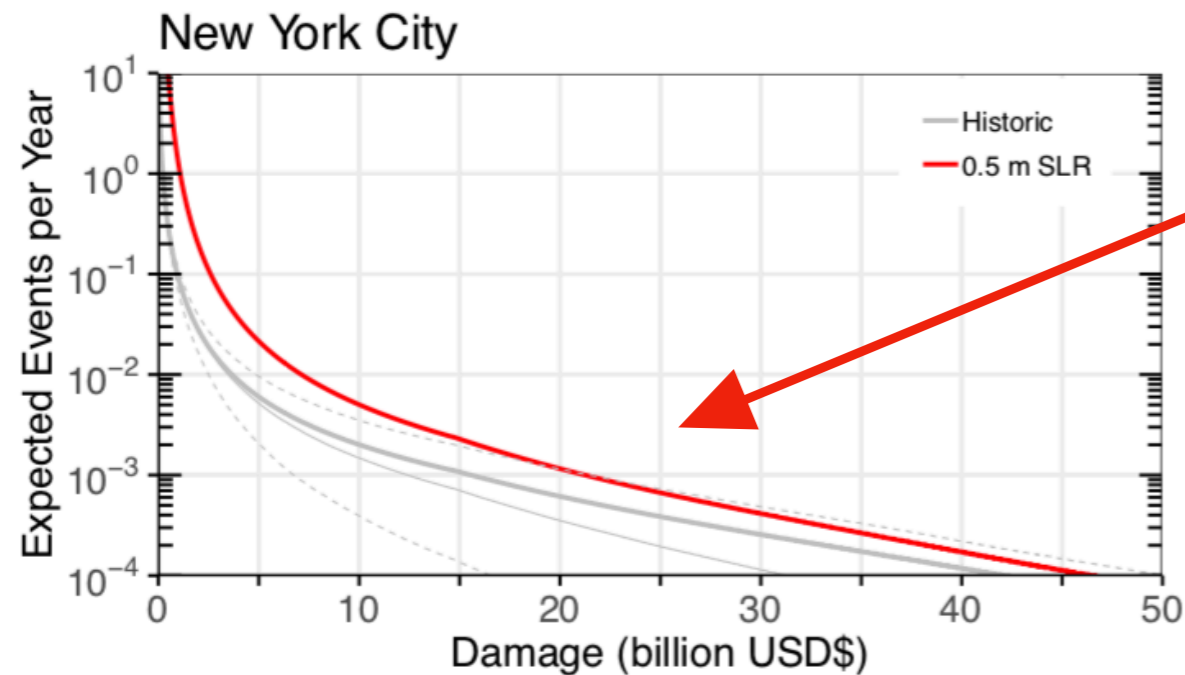
Damage function:  $D(z)$



**X**



**=**



*Area under each curve is the annual average loss (AAL)*

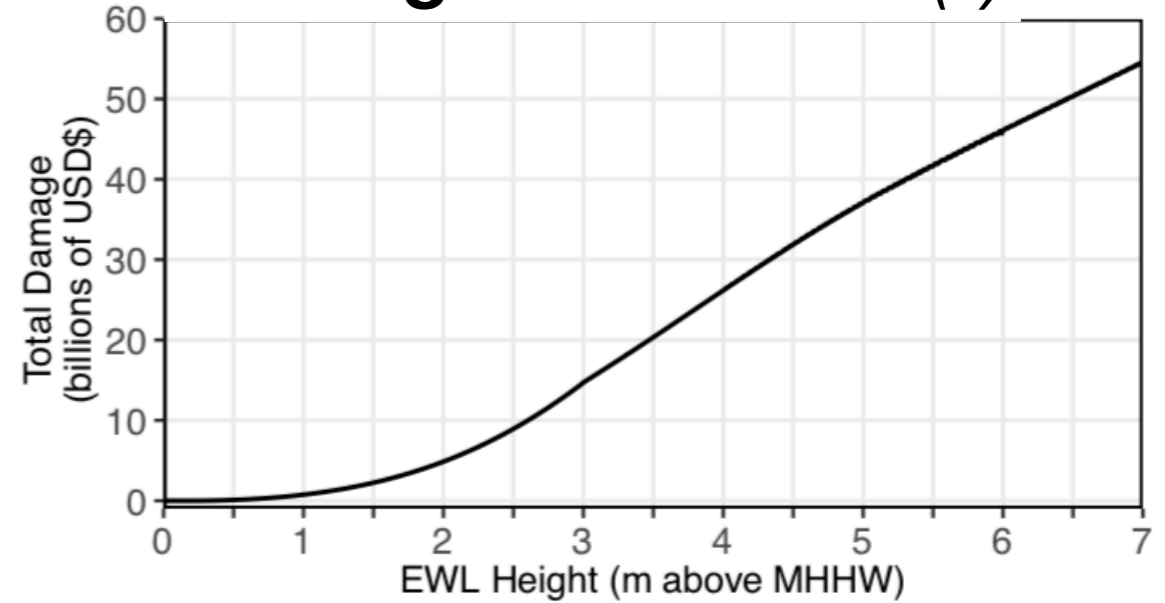
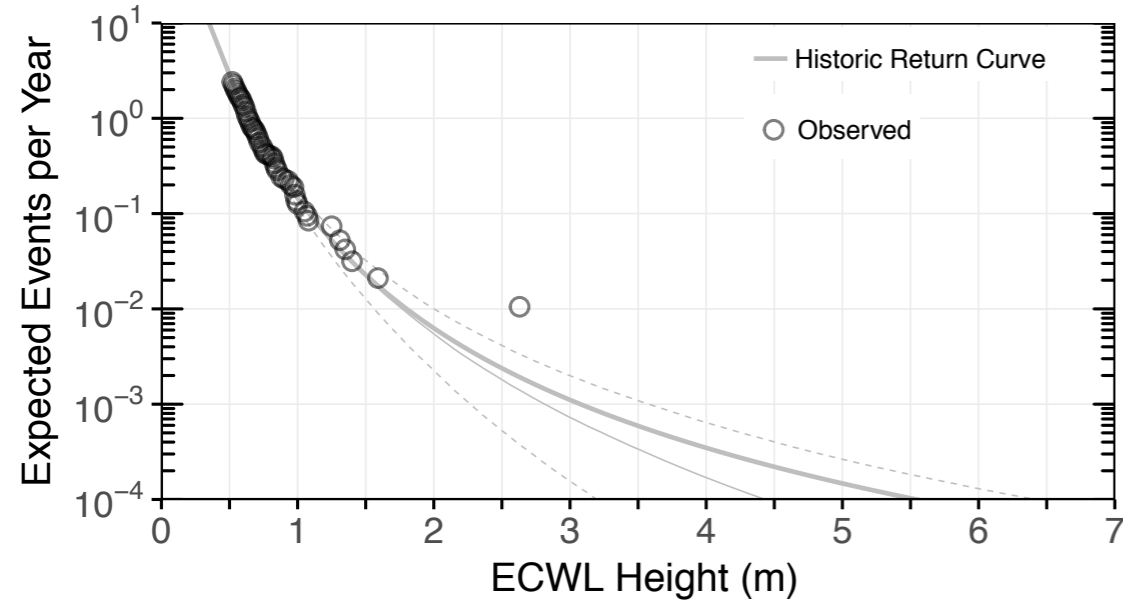
$$\mathbb{E}[D(z)] = \int_z D(z) f(z) dz$$



# Coastal managers may be more interested in financial metrics

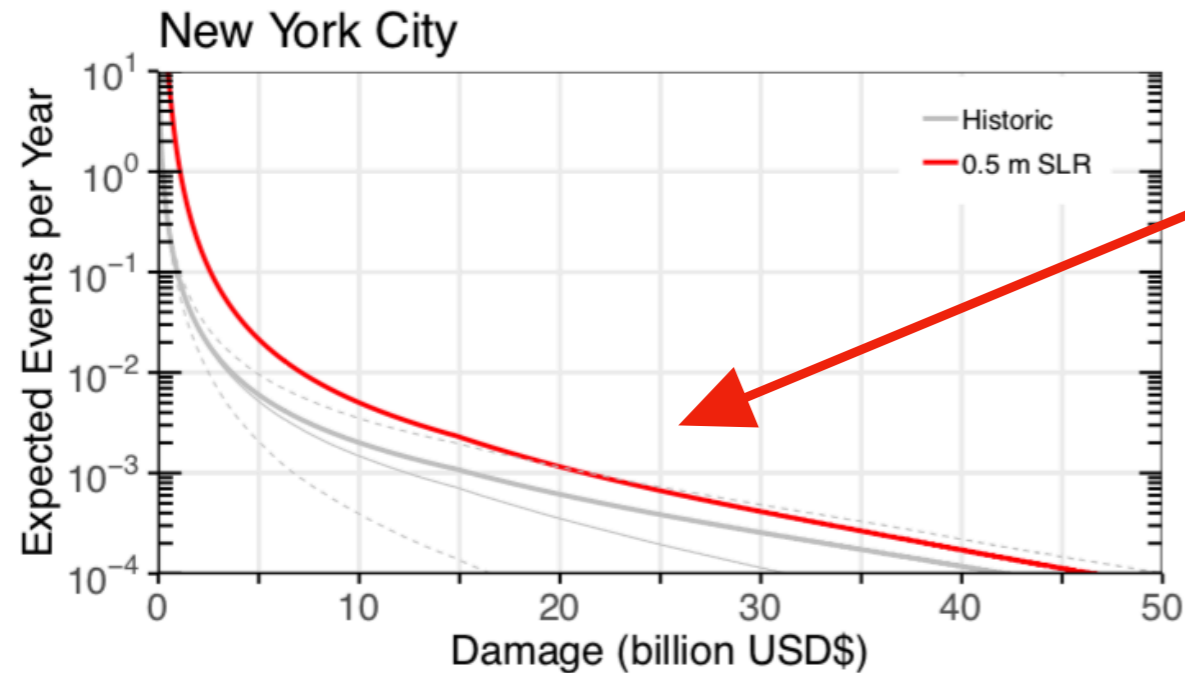
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*Present-day AAL for New York City ~ \$0.5 billion*

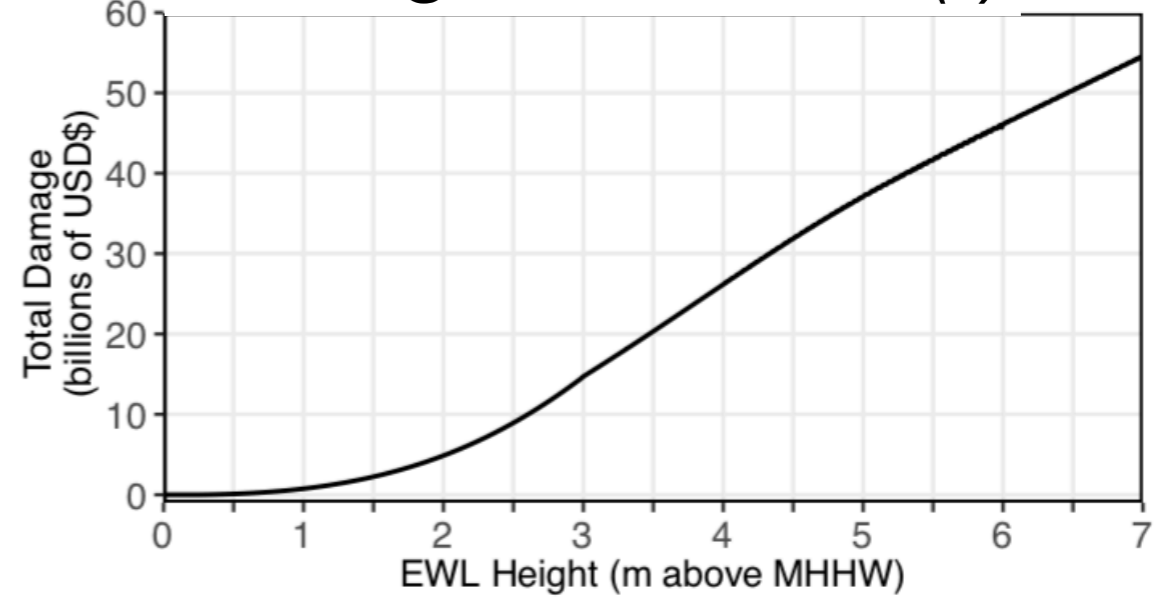
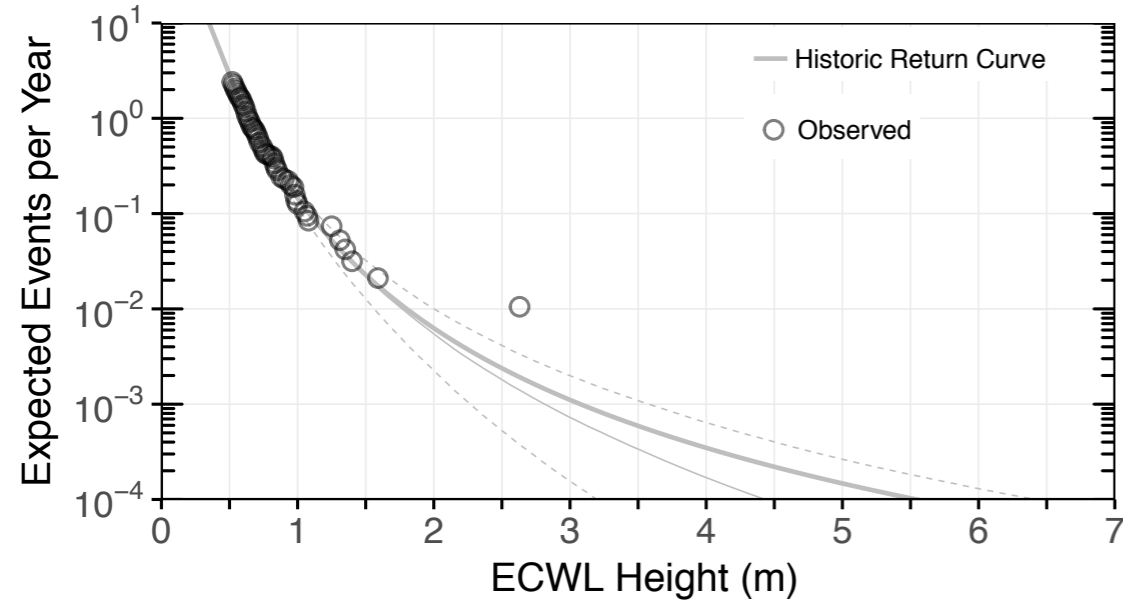
*AAL for New York City with 0.5 m of sea-level rise ~ \$1.5 billion*



# Coastal managers may be more interested in financial metrics

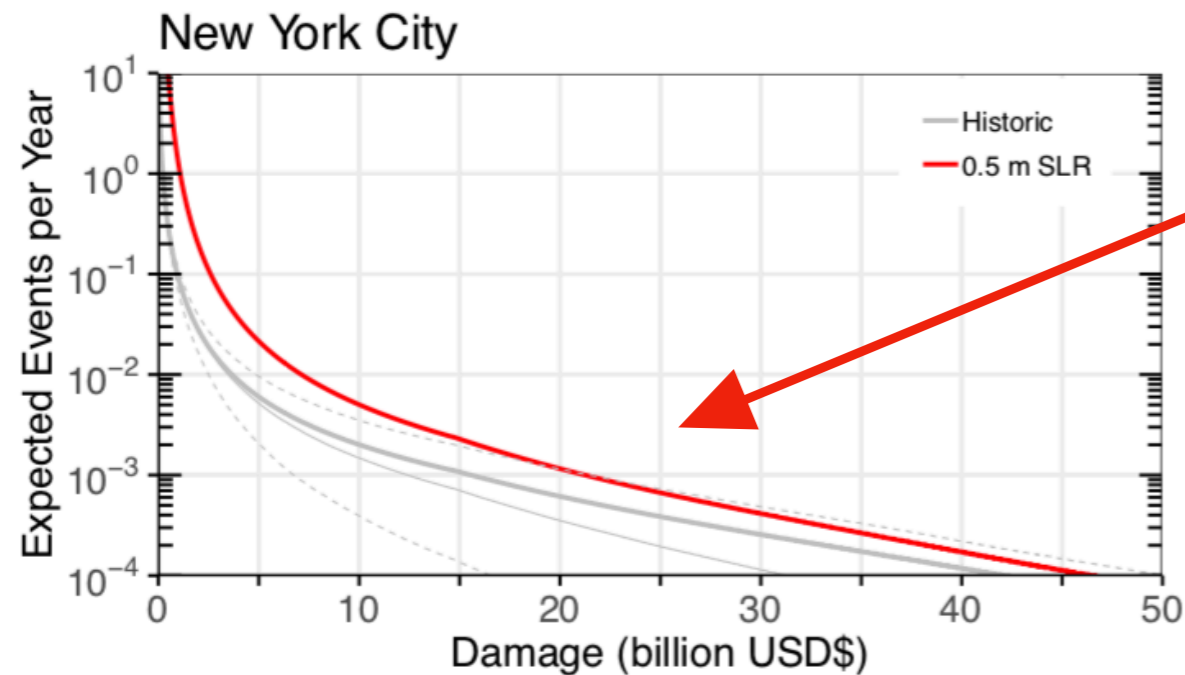
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*Present-day AAL for New York City ~ \$0.5 billion*

*AAL for New York City with 0.5 m of sea-level rise ~ \$1.5 billion*

***How to maintain the current AAL as sea-levels rise?***



# Research questions

**#1: How to incorporate deeply uncertain projections of AIS into a framework to design coastal flood defenses?**



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**#1: How to incorporate deeply uncertain projections of AIS into a framework to design coastal flood defenses?**

**#2: How to account for damages in flood allowances?**



# #1: How to incorporate deeply uncertain projections of AIS into a framework to design coastal flood defenses?

*Unknown* amount of local sea-level rise:  $P(\Delta)$

$$f(z^*) = \int_{\Delta} f(z^* - \Delta + A(z^*)) P(\Delta) d\Delta$$

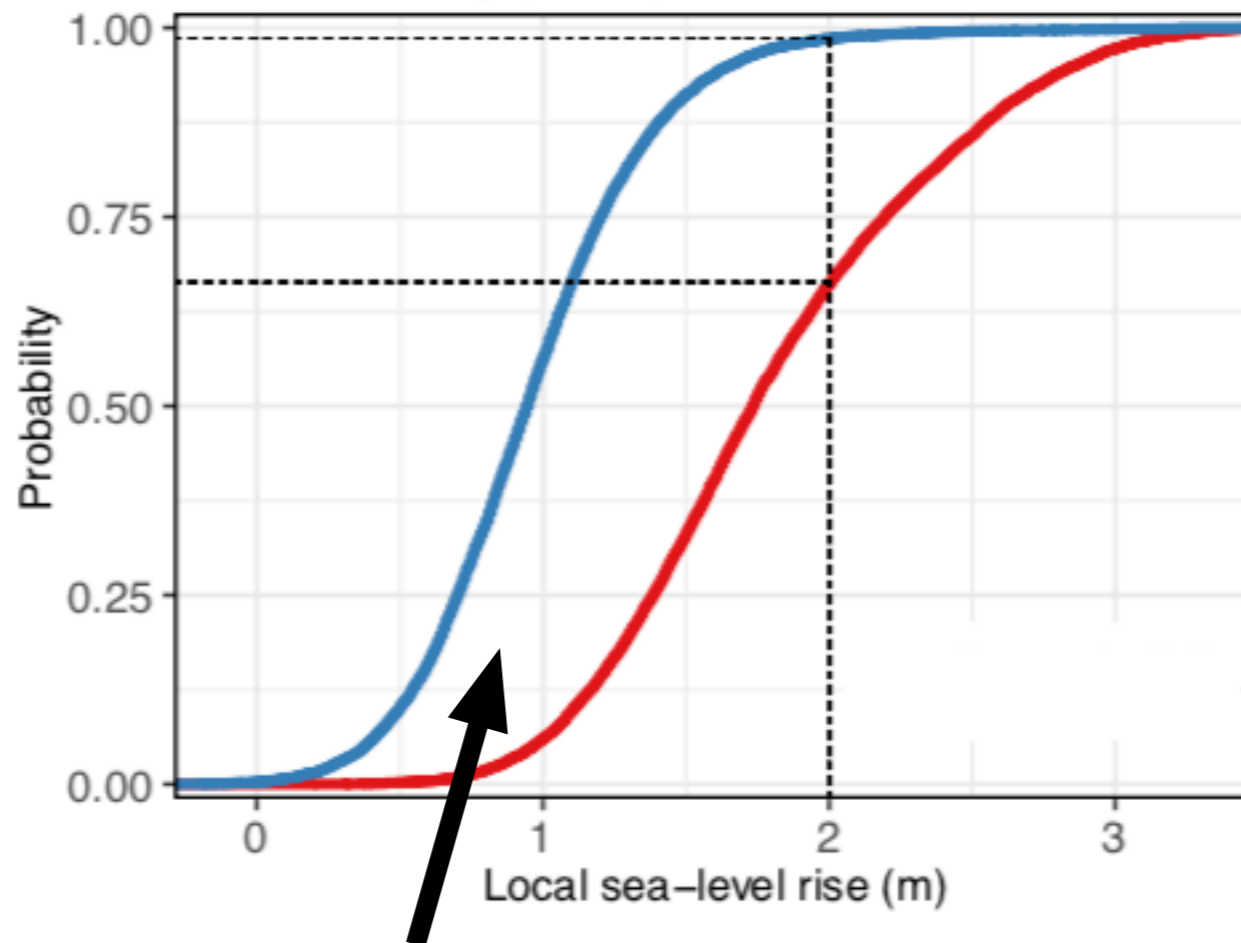
*Objective:* Create an ‘effective’ probability distribution  $\tilde{P}(\Delta)$  based on a *subjective view* of future Antarctic behavior



**“Possibilistic” approaches can be used to express uncertainty**  
*e.g., a probability box, or ‘p-box’*

[Baudrit et al., 2007; Le Cozannet et al., 2017]

The ‘p-box’ is defined by CDFs

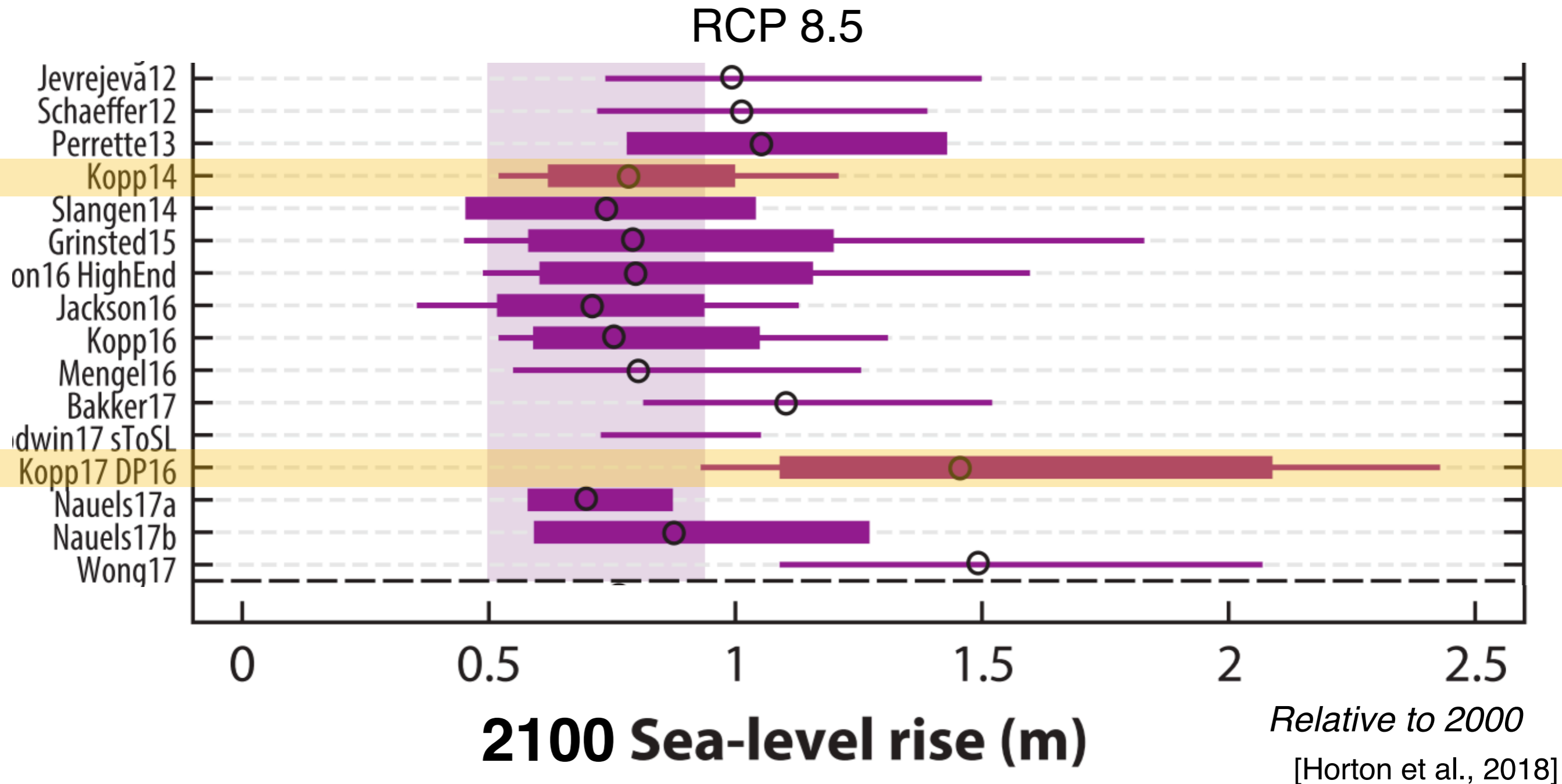


‘True’ value of 2100 sea-level rise lies somewhere in-between the CDFs

How to choose CDF bounds?



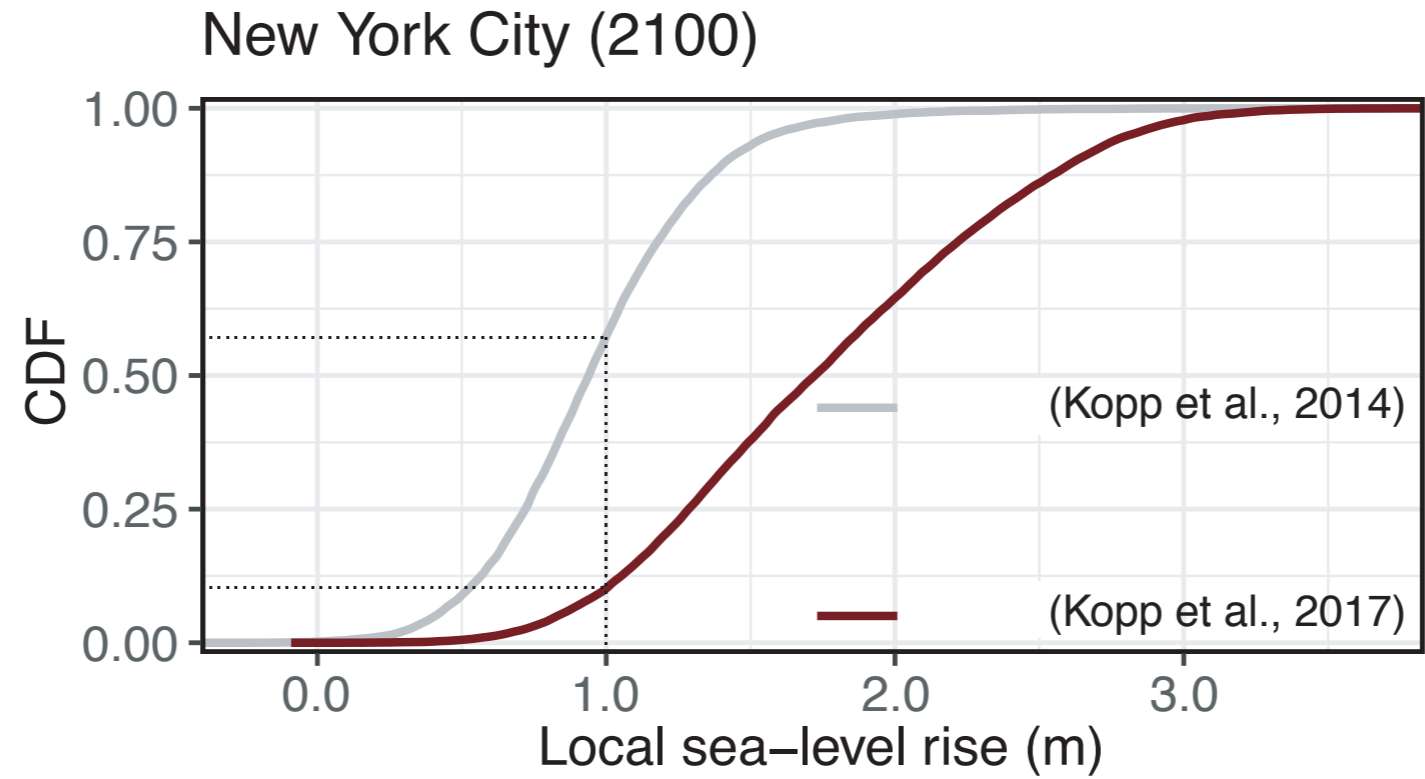
# Kopp et al. (2014) and Kopp et al. (2017) span the range of possible sea-level rise values



Kopp et al. 2014 & 2017 frameworks identical, except for treatment of Antarctic ice melt



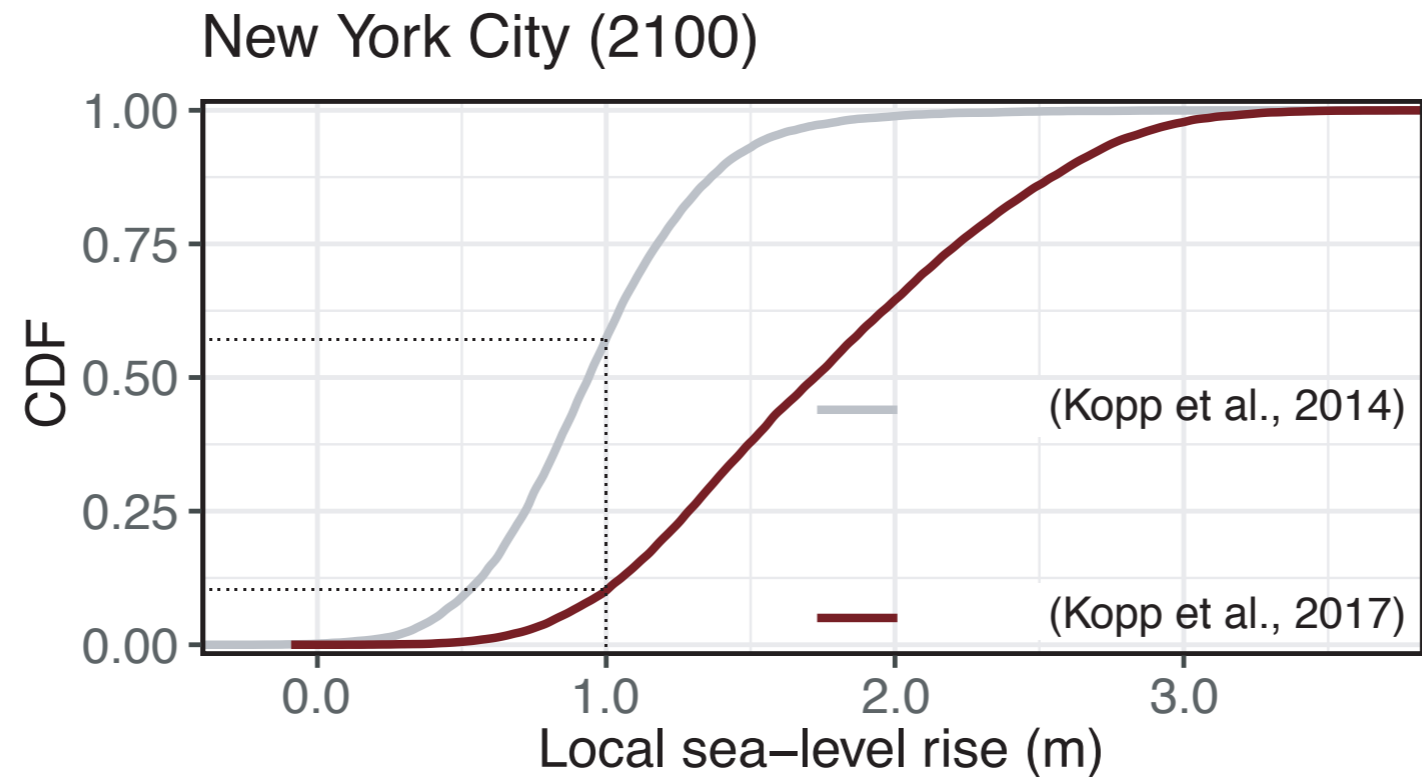
# “Possibilistic” approaches can be used to express incertitude



***Probability of 1-m of local sea-level rise: 45% - 90%***



# “Possibilistic” approaches can be used to express incertitude



## Two parameters:

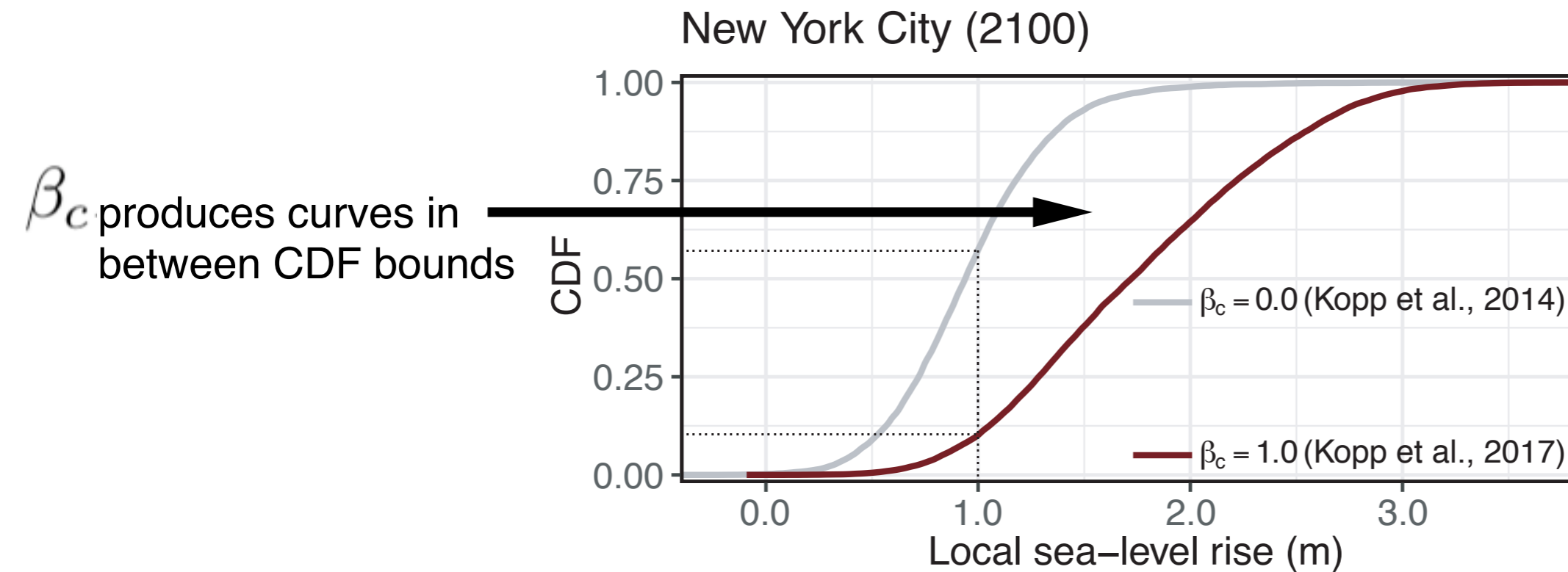
1. Maximum 2100 AIS contribution:  $AIS_{max}$  [25, 50, 100, 150, 175 cm]

*(i.e., where to cut off the tail of the AIS distribution)*

2. Likelihood of AIS collapse initiation:  $\beta_c$  [0-1]



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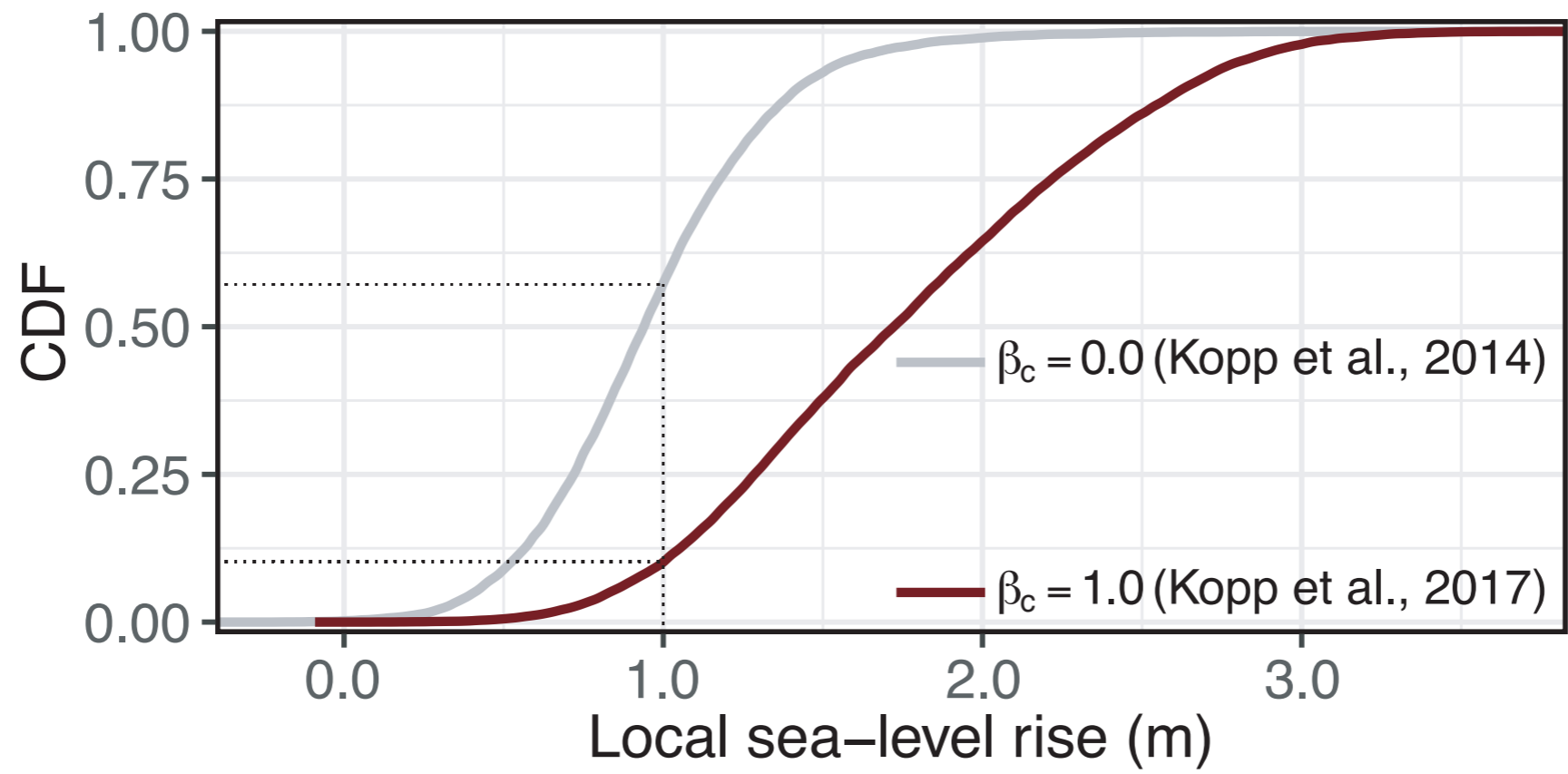
2. Likelihood of AIS collapse initiation:  $\beta_c$  [0-1]

Effective SLR probability distribution:  $\tilde{P}(\beta_c, AIS_{max}, t)$

$$\tilde{P}(\beta_c, AIS_{max}, t) = \beta_c P_{high}(AIS_{max}, t) + (1 - \beta_c) P_{low}(AIS_{max}, t)$$

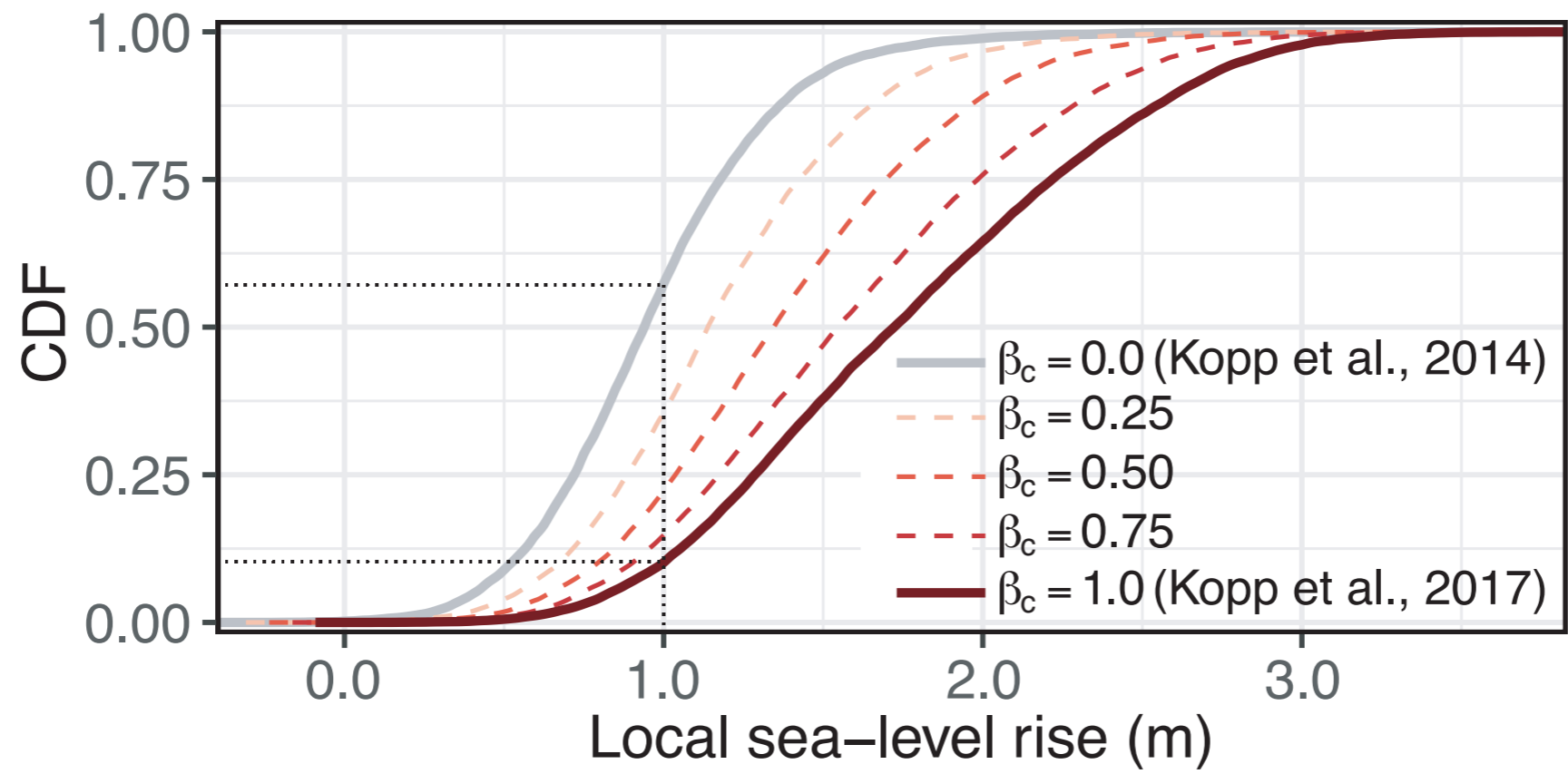


### New York City (2100) AIS<sub>max</sub> = 1.75 m





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# **#2: How to account for damages in flood allowances?**

*Our answer: 'damage allowance'*



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*Our answer: 'damage allowance' Annual average loss*

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**Damage function:  $D(z)$**   
**Probability of water level  $z$ :  $f(z)$**



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**“Protected” damage function:  $D^*(z)$**

*$D^*(z)$  takes on different forms based on protection strategy*

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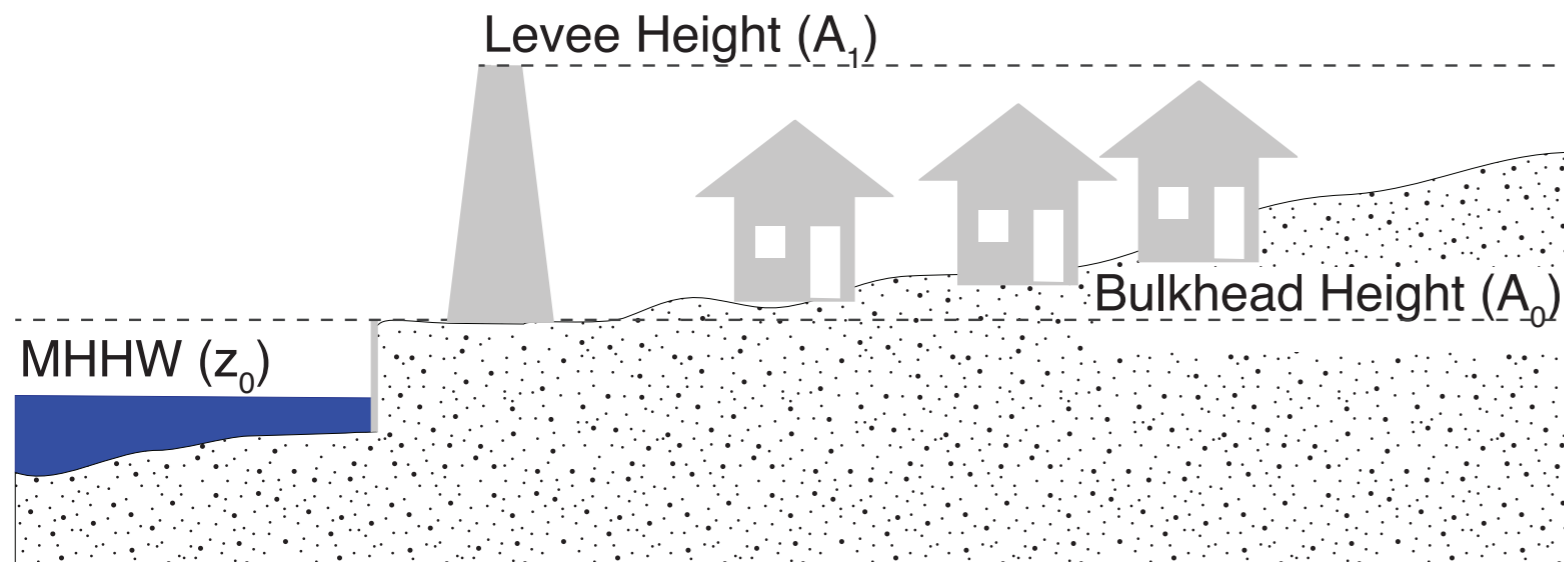
**Unknown amount of local sea-level rise:  $\tilde{P}(\Delta)$**

**Objective: find a “protected” damage function  $D^*(z)$  that produces an AAL that is equal to a given target**

*Strategies: elevation, levee/dike, storm surge barrier, coastal retreat*

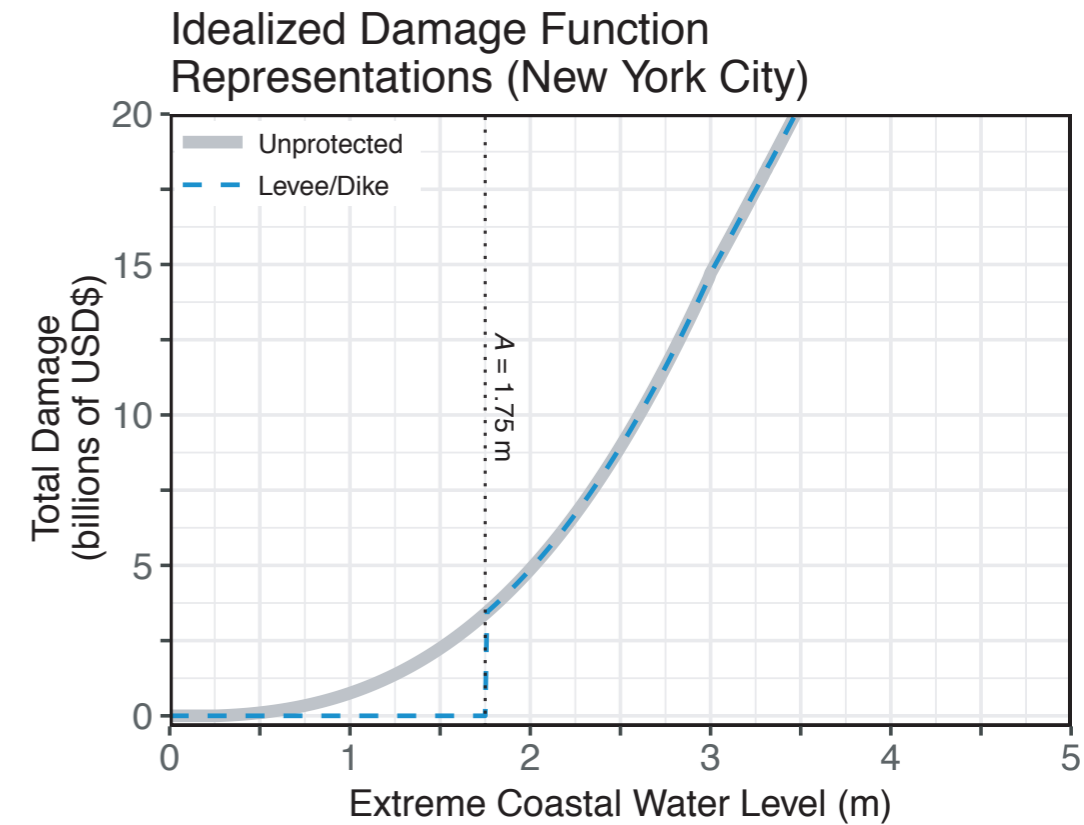
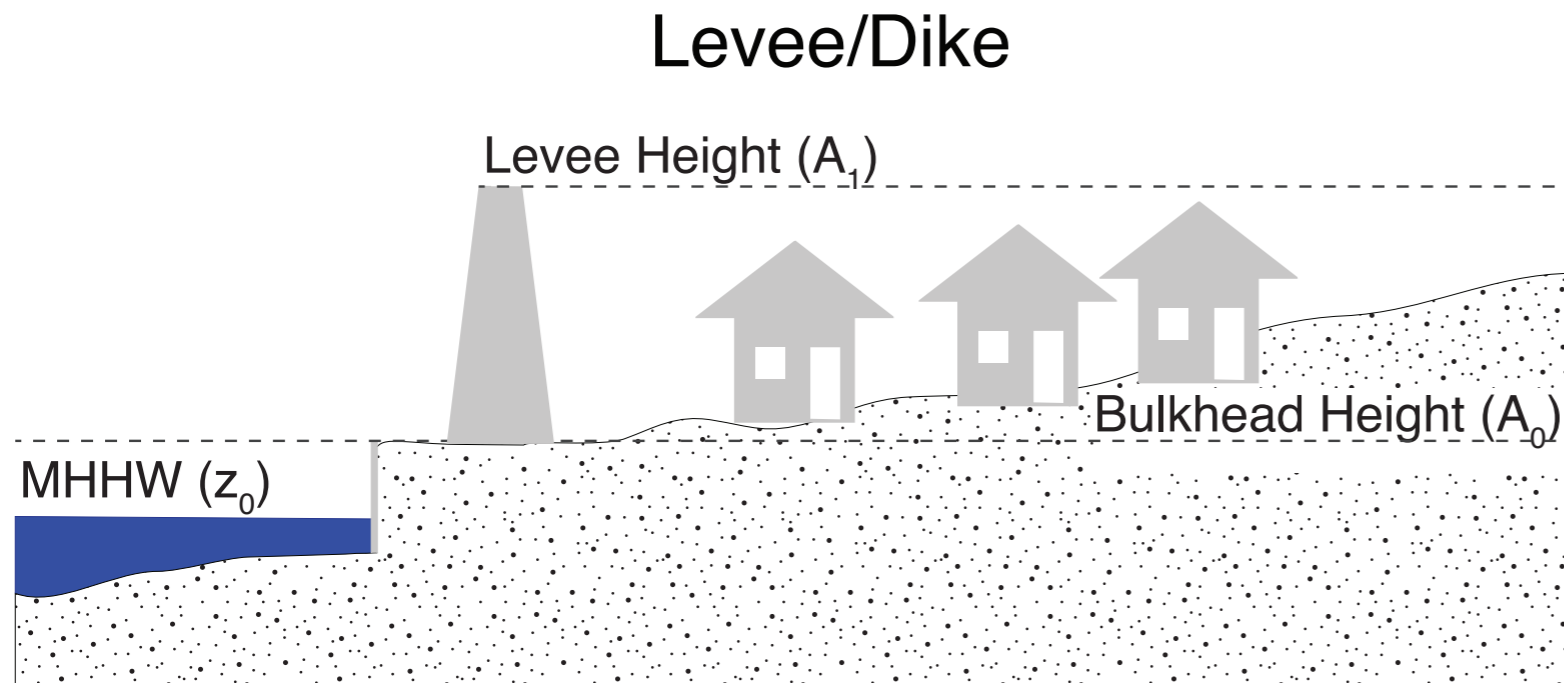
# Example damage allowance calculation for a levee/dike

## Levee/Dike





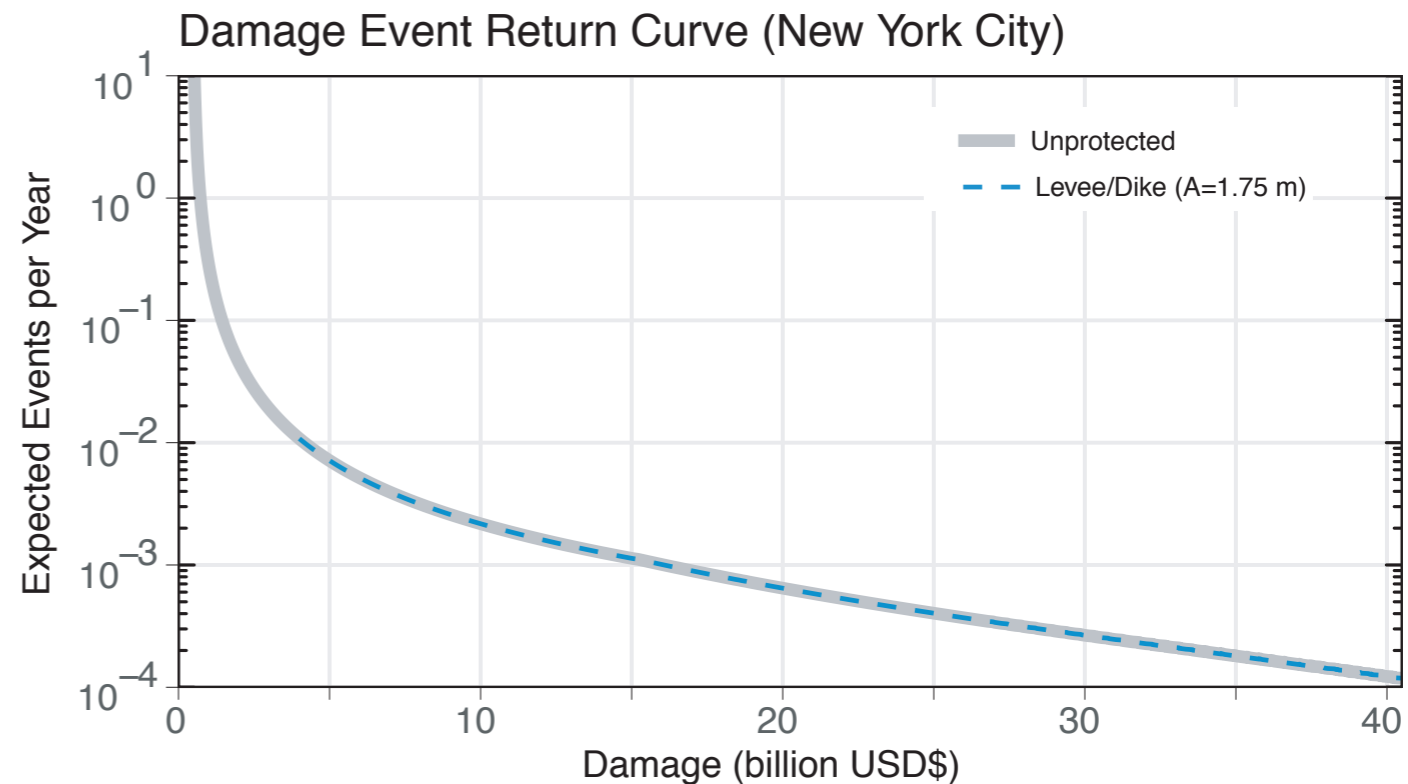
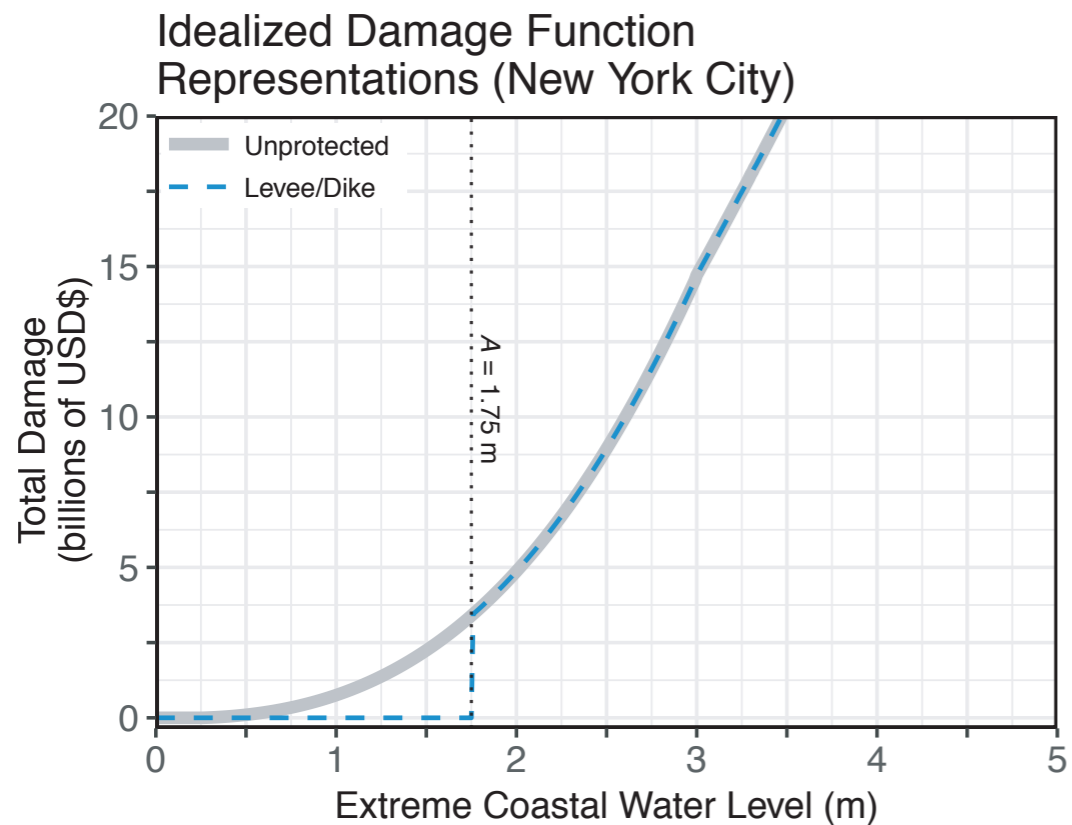
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$$D^*(z) = p_f(z, A)D(z)$$

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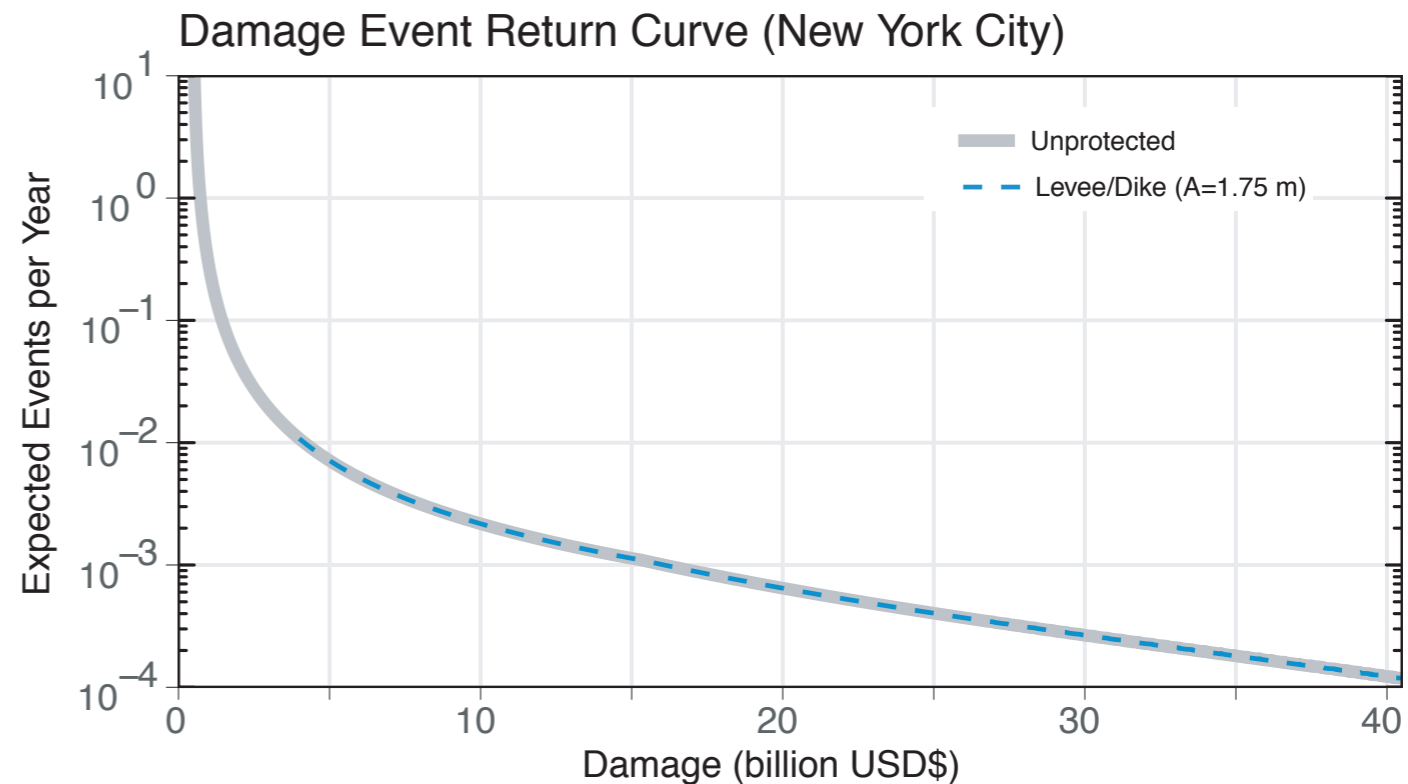
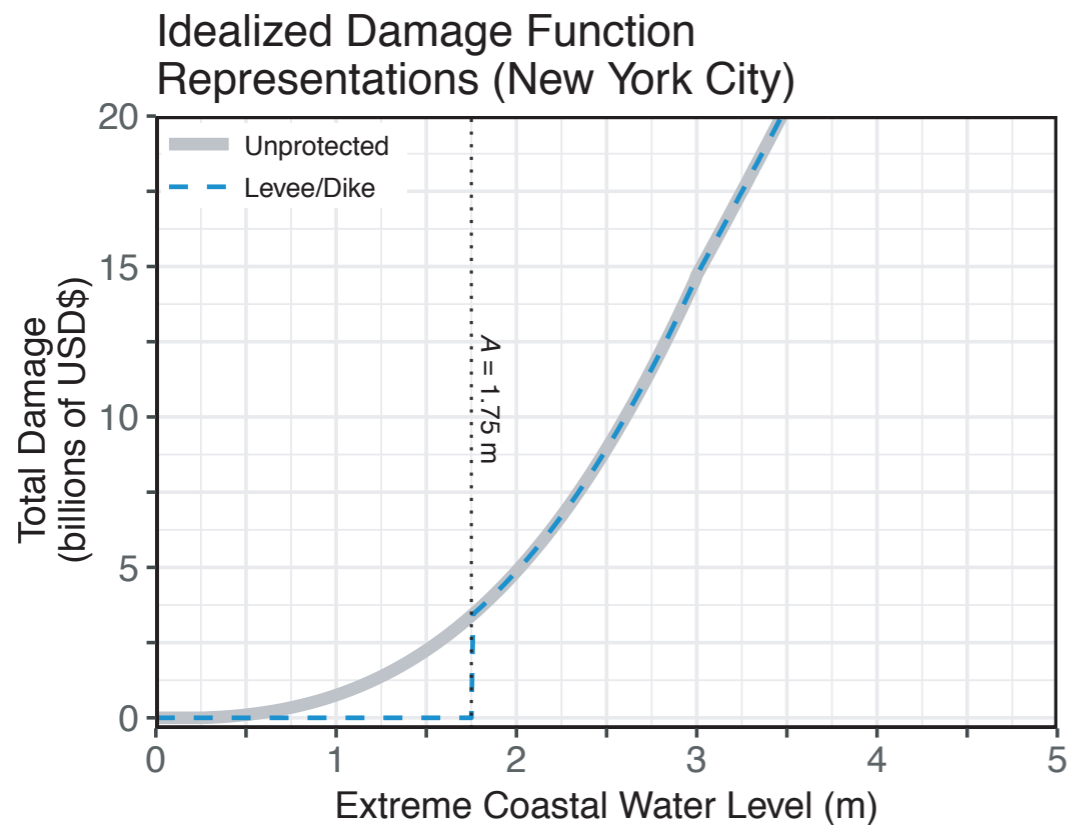


$$D^*(z) = p_f(z, A) D(z)$$

$$\int_z \int_{\Delta} D^*(z) f(z - \Delta) P(\Delta) d\Delta dz = \int_z D(z) f(z) dz$$



# Example damage allowance calculation for a levee/dike



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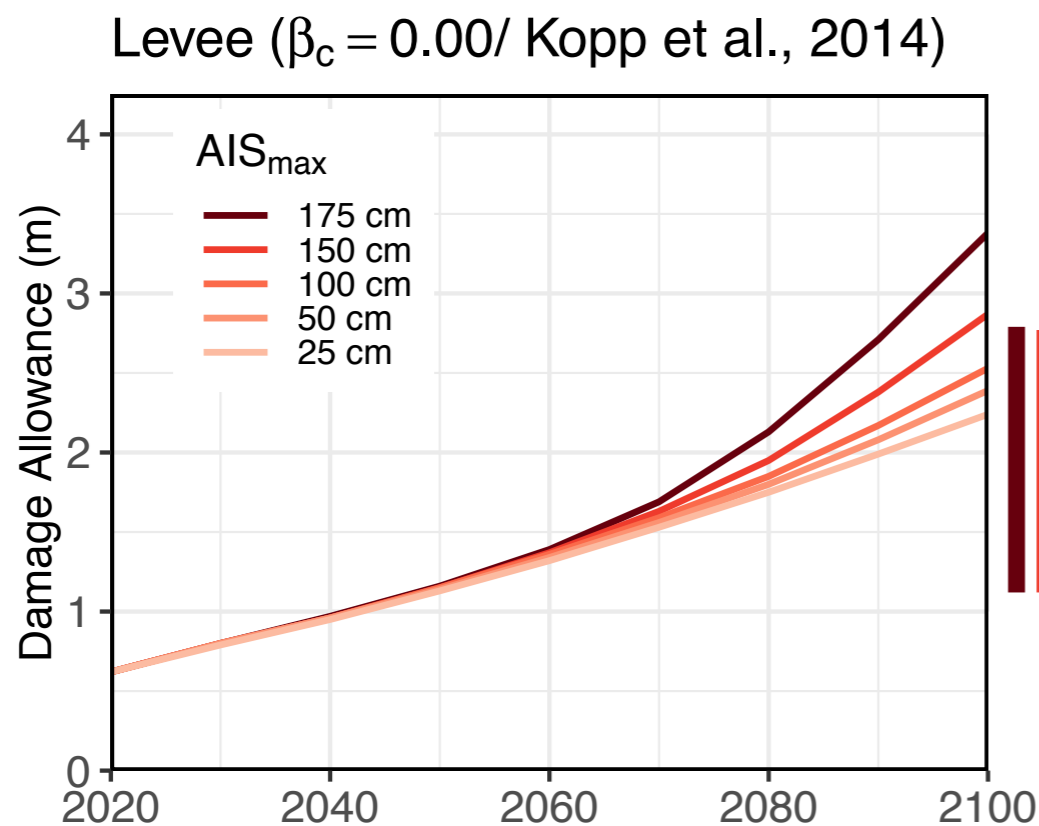
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$$\int_z \int_{\Delta} p_f(z, A) D(z) f(z - \Delta) P(\Delta) d\Delta dz = \int_z D(z) f(z) dz$$

*Solve for A numerically*

# Damage allowance for levee/dike strongly depends on where the 'tail' of the AIS is cut off (i.e., $AIS_{max}$ )

## 'instantaneous' allowance



*Lines = full PDF of sea-level rise*

*Bars =*

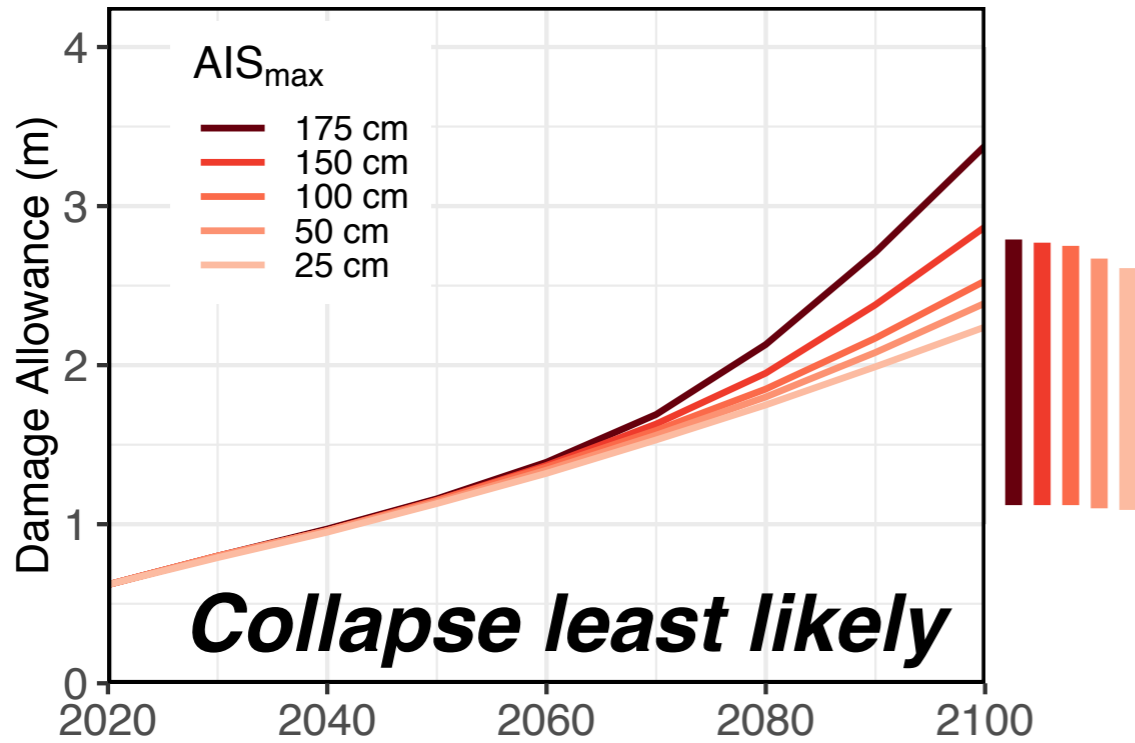
*95th percentile of sea-level rise*

*5th percentile of sea-level rise*

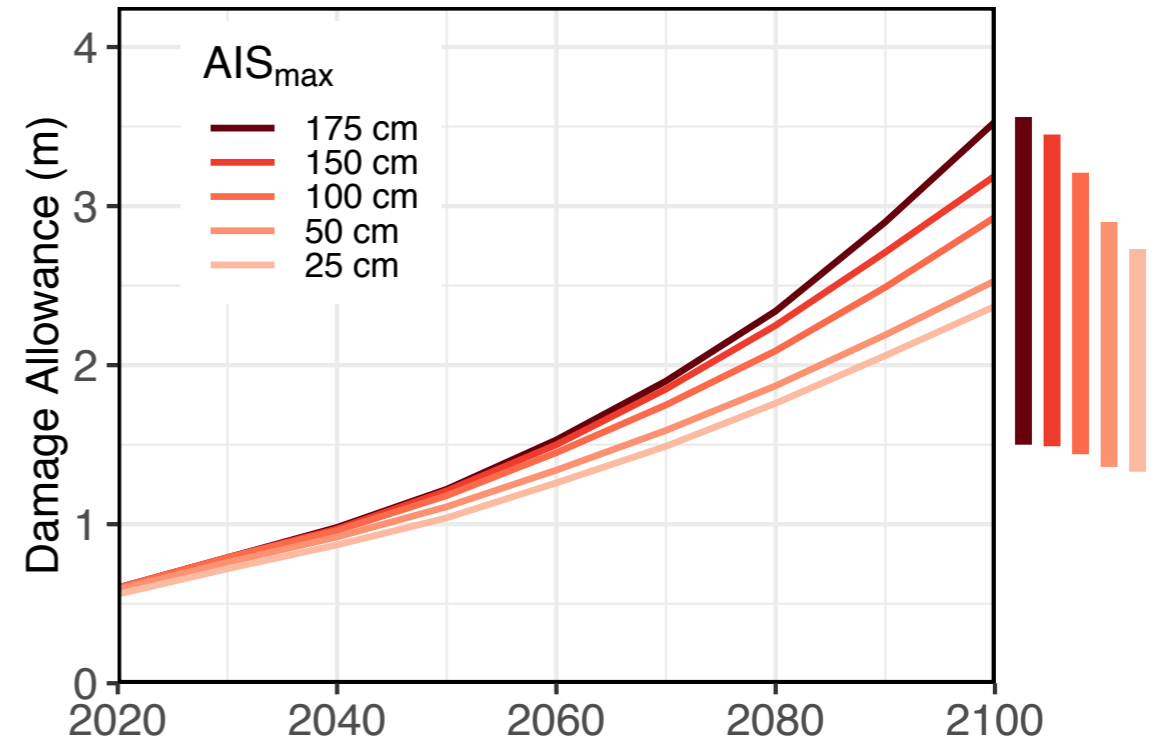


# Damage allowance for levee/dike also depends on perceived likelihood of AIS collapse (i.e., $\beta_c$ )

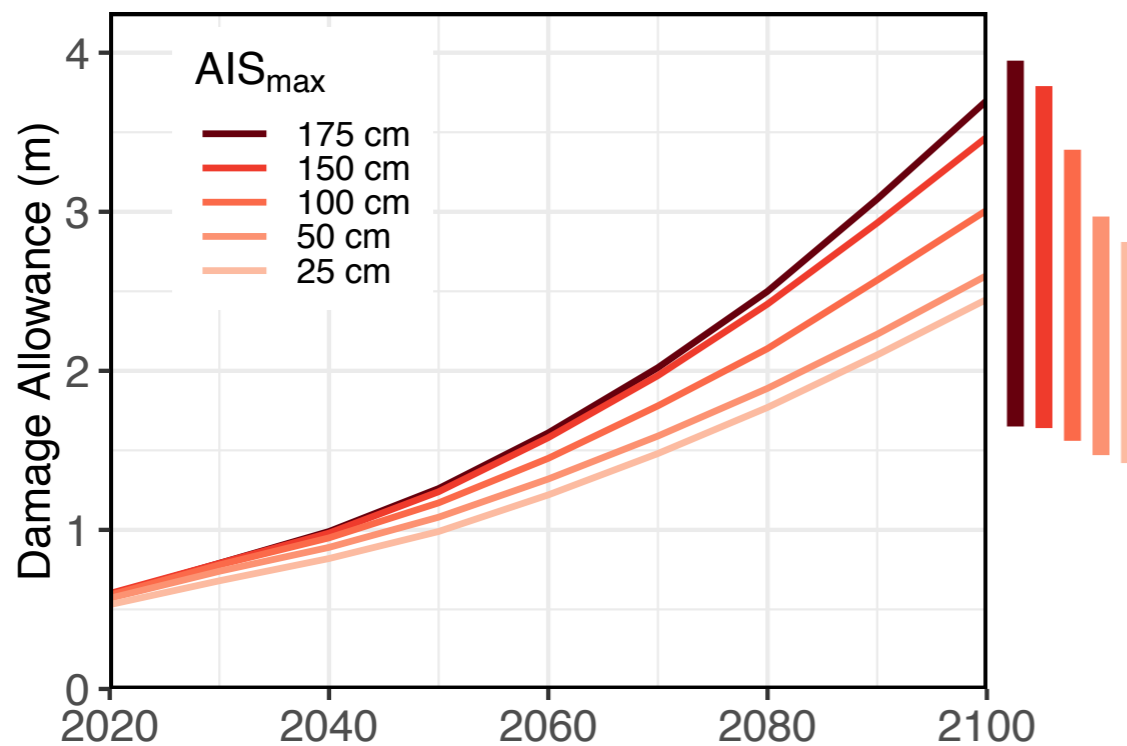
Levee ( $\beta_c = 0.00$ / Kopp et al., 2014)



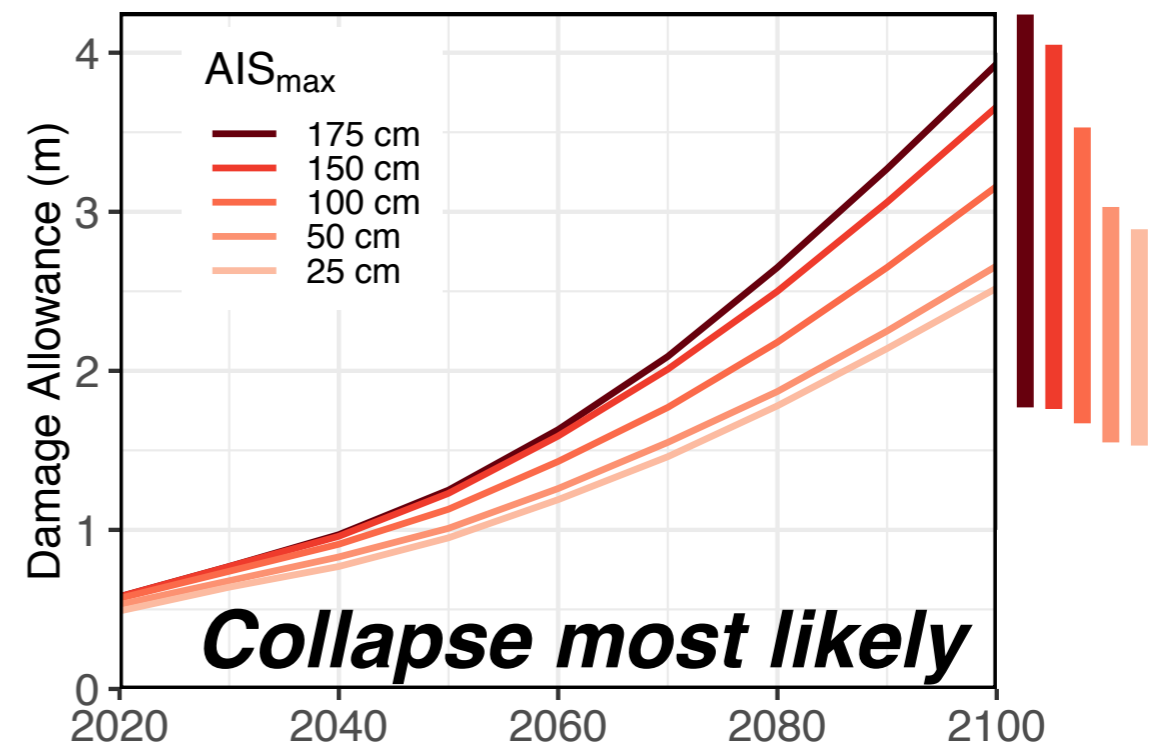
Levee ( $\beta_c = 0.50$ )



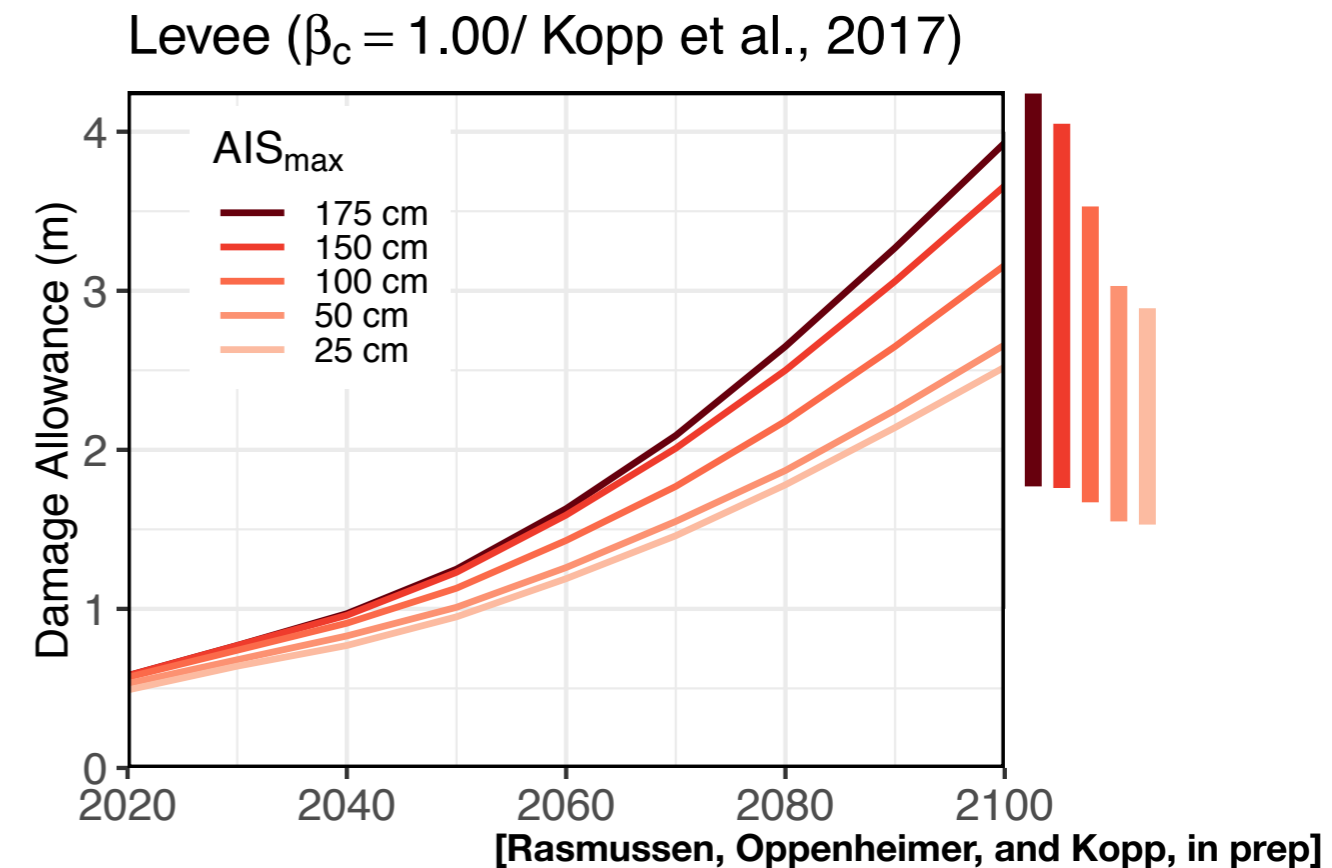
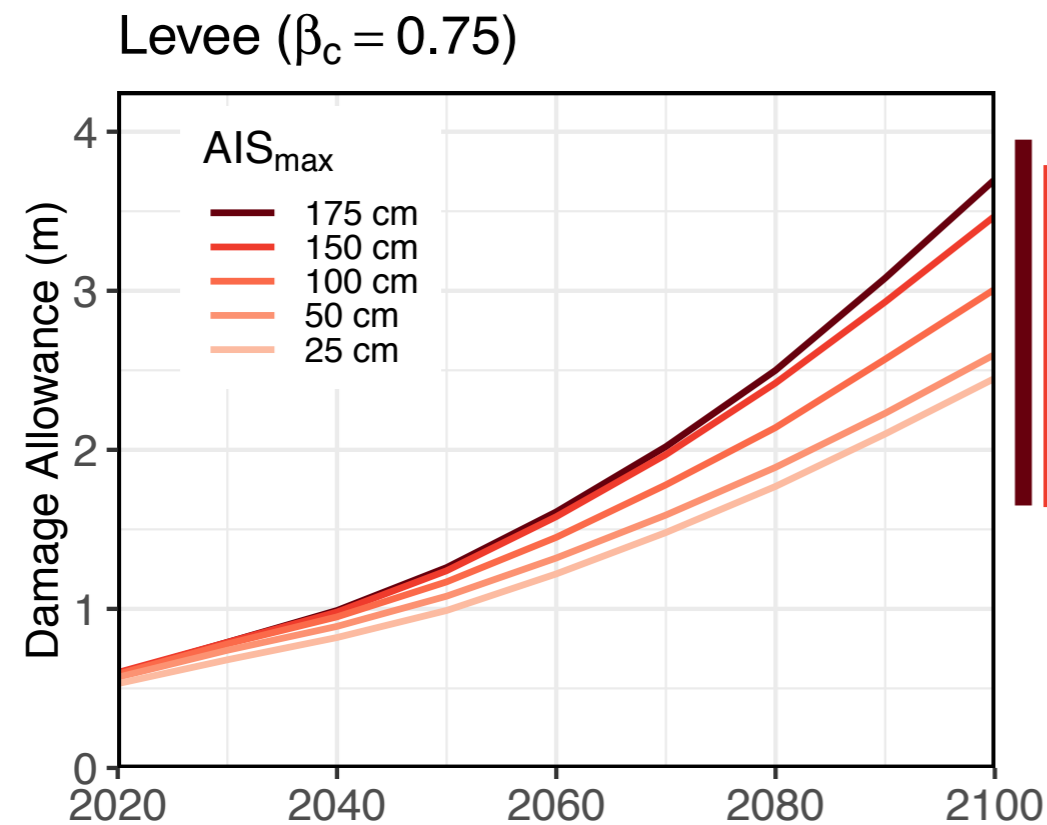
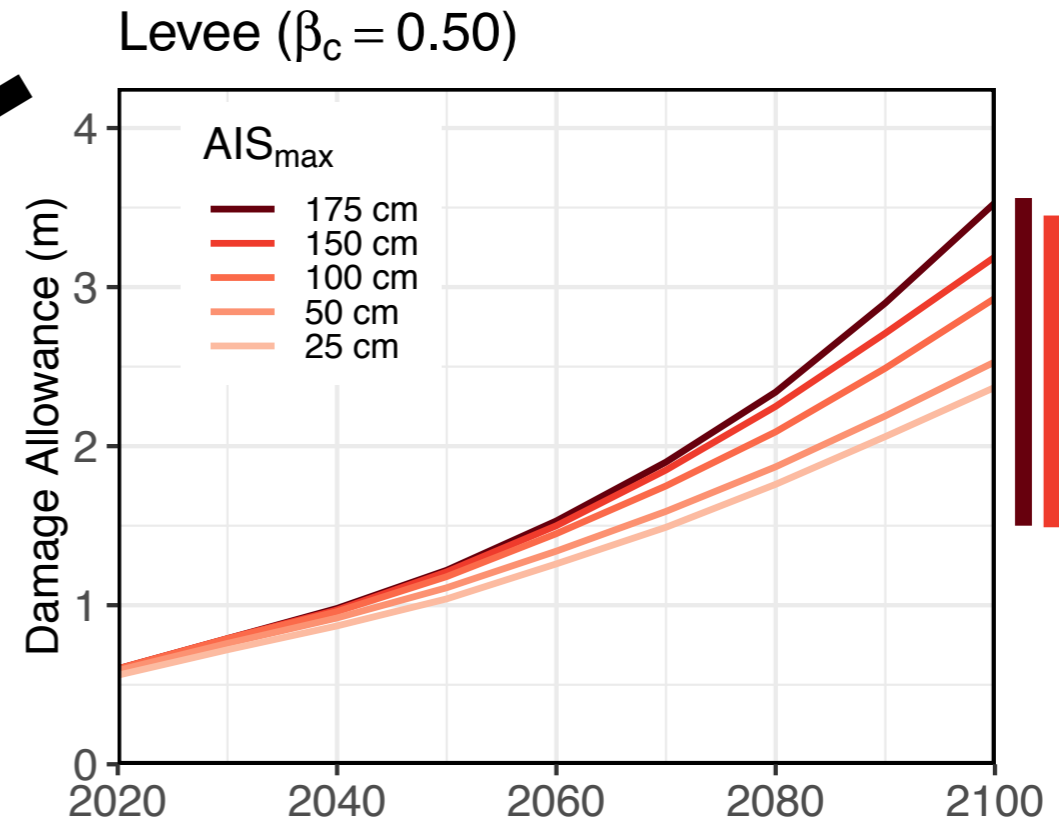
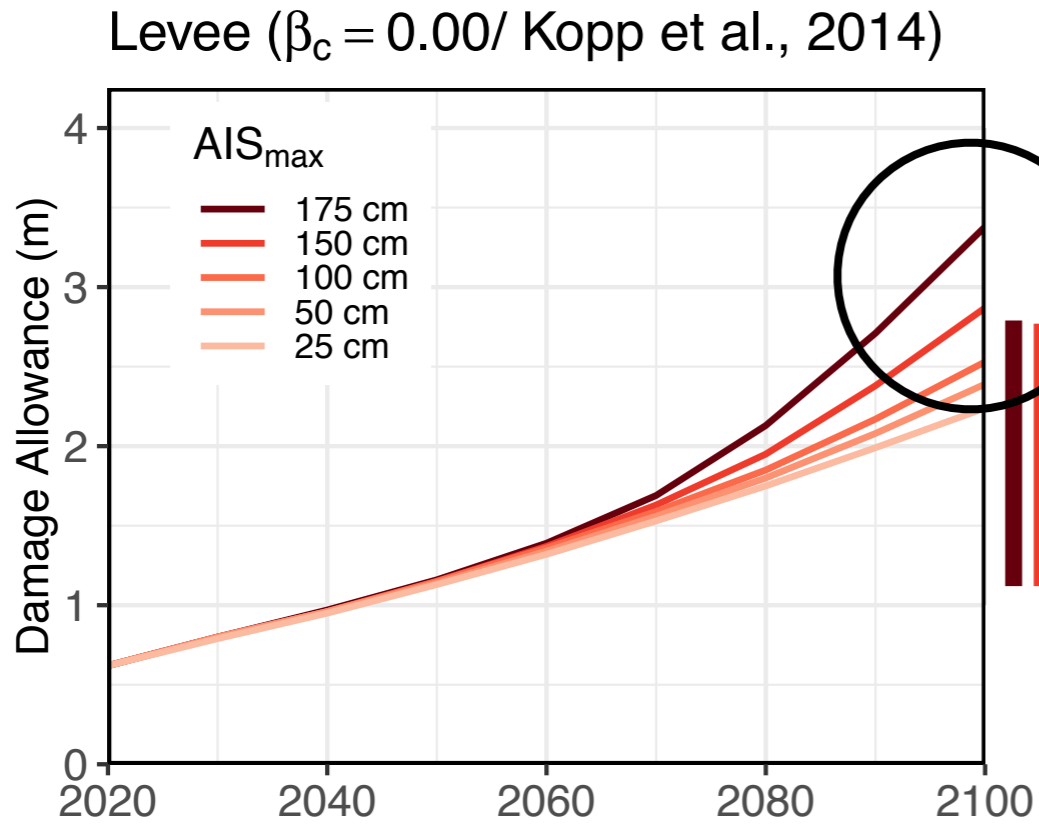
Levee ( $\beta_c = 0.75$ )



Levee ( $\beta_c = 1.00$ / Kopp et al., 2017)



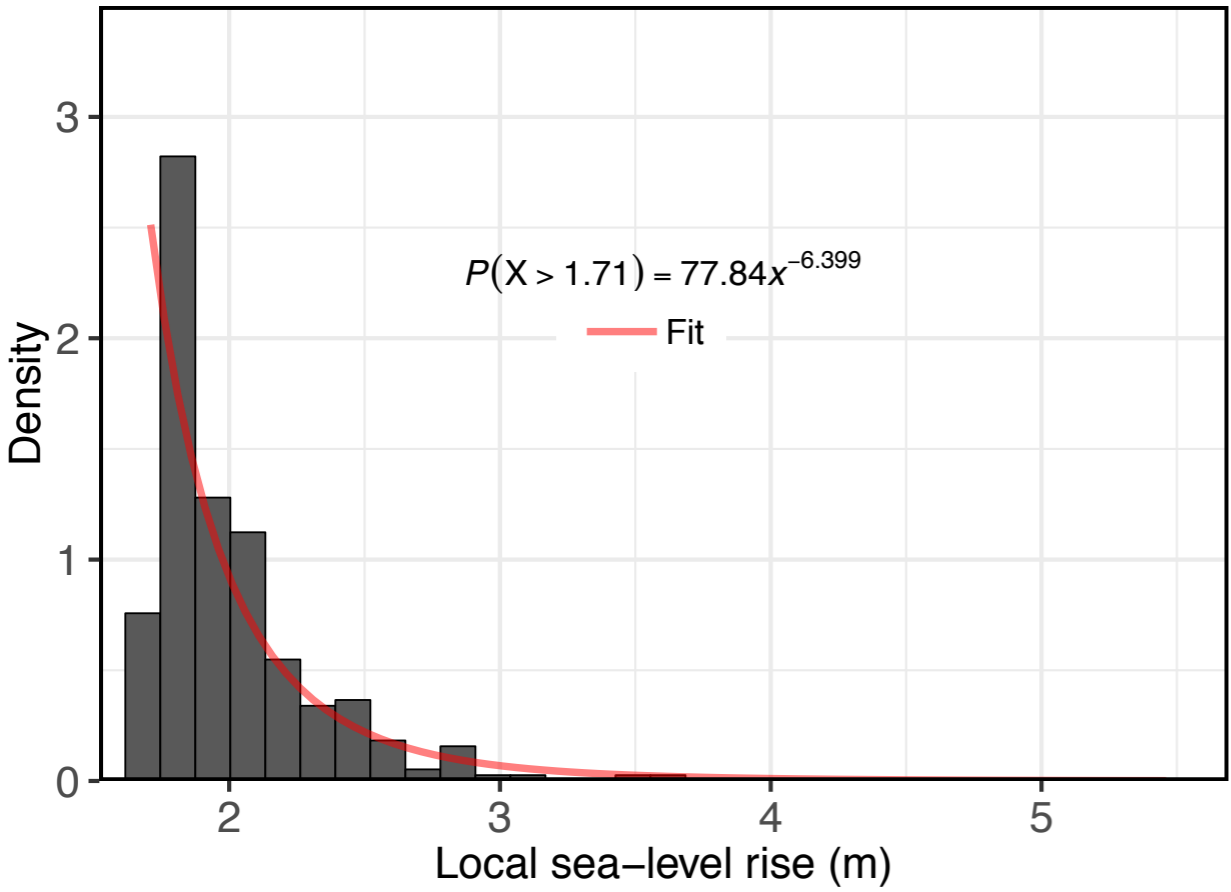
# Expected values driven by sea level extremes in tail



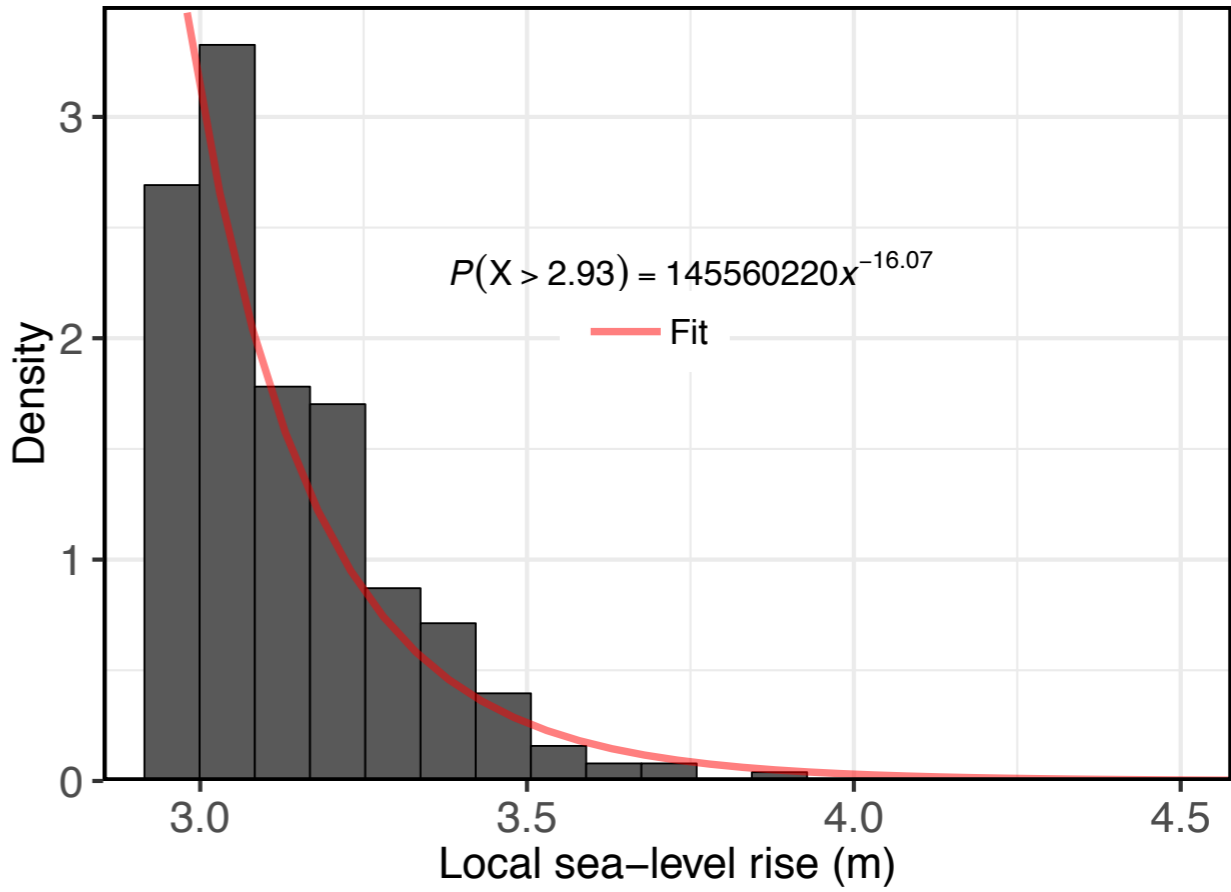


# Length of the tail on the sea-level rise PDF matters

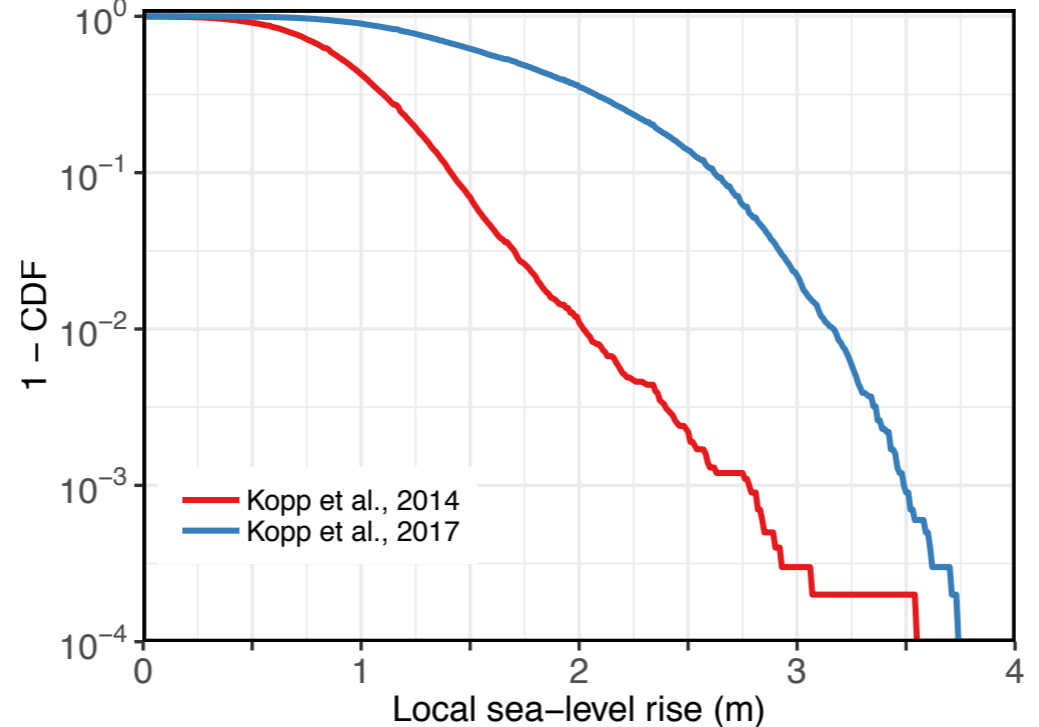
New York City (2100); Kopp et al., 2014



New York City (2100); Kopp et al., 2017



New York City (2100)



# Lessons learned:



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**‘Damage allowances’ are another tool for coastal risk manager’s or engineer’s tool box**

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**Planners must be cognizant of deep uncertainty, and recognize when it should be taken into account (e.g., beyond 2050)**



# **Lessons learned:**

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**Planners must be cognizant of deep uncertainty, and recognize when it should be taken into account (e.g., beyond 2050)**

**Subjective assessment of AIS stability necessary under deep uncertainty**

# **Lessons learned:**

**‘Damage allowances’ are another tool for coastal risk manager’s or engineer’s tool box**

**Planners must be cognizant of deep uncertainty, and recognize when it should be taken into account (e.g., beyond 2050)**

**Subjective assessment of AIS stability necessary under deep uncertainty**

**There is value in reducing this uncertainty in terms of lower levee heights that are less expensive**



